



Security Council

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Letter dated 17 December 2018 from the Chair of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities addressed to the President of the Security Council

I have the honour to transmit herewith the report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, containing an account of the Committee's activities from 1 January to 31 December 2018. The report, which was approved by the Committee, is being submitted in accordance with the note by the President of the Security Council of 29 March 1995 ([S/1995/234](#)).

I should be grateful if the present letter and the report were brought to the attention of the members of the Security Council and issued as a document of the Council.

(Signed) Kairat **Umarov**
Chair

Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities



Report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities

I. Introduction

1. The present report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities covers the period from 1 January to 31 December 2018.
2. The Bureau of the Committee consisted of Kairat Umarov (Kazakhstan) as Chair and the representatives of the Russian Federation and Uruguay as Vice-Chairs.

II. Background

3. By its resolution [1267 \(1999\)](#), the Security Council imposed limited air and financial embargoes to compel the Taliban to cease providing sanctuary and training to terrorists, including Usama bin Laden. The Council subsequently modified the regime by its resolutions [1333 \(2000\)](#) and [1390 \(2002\)](#) and imposed an arms embargo, a travel ban and an assets freeze on designated individuals and entities associated with the Taliban and Al-Qaida. Exemptions to the asset freeze and the travel ban are available.
4. On 17 June 2011, the Security Council unanimously adopted resolutions [1988 \(2011\)](#) and [1989 \(2011\)](#), by which it split the regime in two, establishing one committee for the Taliban and another for Al-Qaida. By its resolution [2253 \(2015\)](#), the Council expanded the listing criteria to include those associated with Islamic State in Iraq and the Levant (ISIL, or Da'esh), in addition to Al-Qaida.
5. By its resolution [2368 \(2017\)](#), adopted on 20 July 2017, the Security Council renewed the mandates of the Analytical Support and Monitoring Team pursuant to resolutions [1526 \(2004\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al-Qaida and the Taliban and associated individuals and entities and of the Office of the Ombudsperson until 17 December 2021. On 21 December 2018, the President of the Council issued a statement ([S/PRST/2018/21](#)) concluding that, after having reviewed the implementation of the measures in accordance with paragraph 104 of resolution [2368 \(2017\)](#), no further adjustments to the measures were necessary.
6. Both the Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, and the Committee established pursuant to resolution [1988 \(2011\)](#) are supported by the Monitoring Team. The Monitoring Team initially consisted of 8 experts, and that number was increased to 10 experts in resolution [2253 \(2015\)](#).
7. Further background information on the ISIL (Da'esh) and Al-Qaida sanctions regime can be found in the previous annual reports of the Committee.

III. Summary of the activities of the Committee

8. The Committee met 12 times in informal consultations: on 12 January, 12 February, 9 March, 13 and 30 April, 21 May, 14 June, 13 July, 14 August, 17 October, 28 November and 21 December, in addition to conducting its work through written procedures.

9. The Committee also met four times in joint informal consultations with the Committee established pursuant to resolution 1988 (2011), on 12 February, 13 April, 28 November and 21 December, and five times with the Committee established pursuant to resolution 1373 (2001) concerning counter-terrorism and the Committee established pursuant to resolution 1988 (2011), on 12 and 22 February, 9 and 29 March and 20 December. The Committee held one joint briefing with the Committee established pursuant to resolution 1988 (2011) for all Member States on 2 August.

10. During the informal consultations held on 12 January, the Committee heard a presentation by the Monitoring Team regarding the Team's twenty-first report (S/2018/14/Rev.1), submitted in accordance with paragraph (a) of annex I to resolution 2368 (2017), and discussed the recommendations contained therein. The Team also presented four reports on its trips to Bulgaria, the Syrian Arab Republic, South Sudan and the Sudan. In addition, the Committee received a briefing by the Secretariat concerning its restructuring to strengthen support for the Team pursuant to paragraph 94 of resolution 2368 (2017).

11. On 12 February, the Committee held two joint informal consultations, first with the Committees established pursuant to resolutions 1373 (2001) and 1988 (2011) to receive a briefing by the Director of the Executive Committee of the Regional Anti-Terrorist Structure of the Shanghai Cooperation Organization, and then with the Committee established pursuant to resolution 1988 (2011) to hear a presentation by the Monitoring Team on its trip to Afghanistan from 17 to 31 October 2017. The Committee met thereafter in informal consultations to hear a presentation by the Monitoring Team on its trip to Lebanon on 11 and 12 December 2017.

12. During the joint informal consultations with the Committees established pursuant to resolutions 1373 (2001) and 1988 (2011) on 22 February, the Committees were briefed by the Deputy Director of the Federal Security Service of the Russian Federation, Head of the National Anti-Terrorism Committee Central Office and Deputy Chairman of the Committee.

13. On 9 March, the Committee held joint informal consultations with the Committees established pursuant to resolutions 1373 (2001) and 1988 (2011) to hear a briefing by the United States Department of State Presidential Special Envoy for the Global Coalition to Defeat ISIS. Thereafter, the Committee met in informal consultations to hear a presentation by the Monitoring Team on its report on the sixth regional forum for security and intelligence services, in Southeast Asia.

14. During the joint informal consultations with the Committees established pursuant to resolutions 1373 (2001) and 1988 (2011) on 29 March, the Committees were briefed by the Special Envoy for Counter-Terrorism at the Ministry of Foreign Affairs of the Netherlands and the Director of Global Affairs at the Ministry of Foreign Affairs and International Cooperation of Morocco, in their capacities as co-chairs of the Global Counterterrorism Forum.

15. On 13 April, the Committee held joint informal consultations with the Committee established pursuant to resolution 1988 (2011) to hear a presentation by the Monitoring Team on its trip to Pakistan on 24 and 25 January. The Committee met thereafter in informal consultations to hear a briefing by the Chair on his visit to the

Philippines on 21 and 22 March, and a presentation by the Monitoring Team on its report on the third regional forum for security and intelligence services, in West Africa.

16. During the informal consultations held on 30 April, the Committee received a briefing by the Monitoring Team, pursuant to paragraph 99 of resolution [2368 \(2017\)](#), on the impact assessment analysing the global implementation of resolutions [2199 \(2015\)](#) and [2178 \(2014\)](#). The Team also presented a report on its trip to Turkey on 6 and 7 February.

17. During the informal consultations held on 21 May, the Committee heard a briefing by the Monitoring Team on the annual review of the sanctions list for 2016, pursuant to resolution [2253 \(2015\)](#). The Team also presented a report of the eighth East Africa regional forum for security and intelligence services.

18. During the informal consultations held on 14 June, the Monitoring Team presented to the Committee a report on its trip to Saudi Arabia from 27 February to 1 March.

19. During the informal consultations held on 13 July, the Committee heard a presentation by the Monitoring Team regarding the Team's twenty-second report ([S/2018/705](#)), submitted in accordance with paragraph (a) of annex I to resolution [2368 \(2017\)](#), and discussed the recommendations contained therein. The Committee also received an update briefing from the Secretariat concerning its restructuring to strengthen support for the Team pursuant to paragraph 94 of resolution [2368 \(2017\)](#).

20. During the informal consultations held on 14 August, the Committee was briefed by the Monitoring Team on a report of the sixteenth regional forum for security and intelligence services, in the Middle East and North Africa. The Committee also discussed a letter from the Head of the International, Impartial and Independent Mechanism to Assist in the Investigation and Prosecution of Persons Responsible for the Most Serious Crimes under International Law Committed in the Syrian Arab Republic since March 2011, established pursuant to General Assembly resolution [71/248](#).

21. During the informal consultations held on 17 October, the Committee received a briefing by the Monitoring Team, pursuant to paragraph 99 of resolution [2368 \(2017\)](#), on the impact assessment analysing the global implementation of resolutions [2199 \(2015\)](#) and [2178 \(2014\)](#). The Committee also heard a briefing by the Head of the Investigative Team established pursuant to Security Council resolution [2379 \(2017\)](#).

22. On 28 November, the Committee held joint informal consultations with the Committee established pursuant to resolution [1988 \(2011\)](#) to hear a presentation by the Monitoring Team on its trip to Afghanistan from 31 August to 21 September. Thereafter, the Committee met in informal consultations to hear a presentation by the Monitoring Team on its trip to Burkina Faso on 5 and 6 June.

23. On 20 December, the Committee held joint informal consultations with the Committees established pursuant to resolutions [1373 \(2001\)](#) and [1988 \(2011\)](#) to receive a briefing by a senior official from the Bureau of Counterterrorism and Countering Violent Extremism of the United States Department of State.

24. On 21 December, the Committee held with the Committee established pursuant to resolution [1988 \(2011\)](#) to hear a briefing by the Central Asian Regional Information and Coordination Centre for combating the illicit trafficking of narcotic drugs, psychotropic substances and their precursors. Thereafter, the Committee met in informal consultations to receive a briefing by a representative of the Government of Saudi Arabia.

25. On 2 August, pursuant to paragraph 46 of resolution 2368 (2017) and paragraph 56 of resolution 2255 (2015), the Chair, acting in his capacity as Chair of the Committee and of the Committee established pursuant to resolution 1988 (2011), held a briefing for interested Member States with a view to raising awareness of the two sanctions regimes, enhancing transparency and improving the dialogue between the Committees and the broader United Nations membership. The Coordinator of the Monitoring Team and the newly appointed Ombudsperson also briefed Member States.
26. On 3 October, the Chair briefed the Security Council on the mandate and general work of the Committee alongside the Chair of the Committee established pursuant to resolution 1373 (2001) concerning counter-terrorism and the Chair of the Committee established pursuant to resolution 1540 (2004) (see S/PV.8364).
27. On 5 September, the Committee revised and adopted the Committee's guidelines for the conduct of its work.
28. On 21 and 22 March, the Chair visited the Philippines, pursuant to paragraph 92 of resolution 2368 (2017), for meetings with government officials.
29. On 31 October and 1 November, the Chair visited Tashkent to attend the sixth International Conference on "Combating terrorism – cooperation without boundaries", organized by the Regional Anti-Terrorist Structure of the Shanghai Cooperation Organization.
30. On 7 and 8 November, the Chair visited Moscow to participate in the seventeenth meeting of Heads of Special Services, Security Agencies and Law-Enforcement Organizations.
31. The Committee provided additional guidance to all Member States by issuing four notes verbales, dated 13 March, 20 July, 10 and 13 September on, respectively, the twenty-first report of the Monitoring Team, the holding of a joint briefing on 2 August, the twenty-second report of the Monitoring Team and the revision of the Committee's guidelines.
32. The Committee sent 137 communications to 58 Member States and other stakeholders, 3 communications to the Office of the Ombudsperson and 1 communication to the focal point for delisting.

IV. Exemptions

33. Exemptions to the asset freeze are contained in paragraphs 1 and 2 of resolution 1452 (2002), as amended in resolution 1735 (2006), paragraph 75 of resolution 2253 (2015) and paragraph 81 of resolution 2368 (2017).
34. Exemptions to the travel ban are contained in paragraphs 2 (b) and 10 of resolution 2253 (2015), paragraphs 1 (b) and 10 of resolution 2368 (2017) and section 12 of the Committee's guidelines for the conduct of its work.
35. Pursuant to paragraphs 10 and 76 of resolution 2253 (2015) and paragraphs 10 and 82 of resolution 2368 (2017), the focal point mechanism established in resolution 1730 (2006) may also receive requests for exemption from the asset freeze and the travel ban, submitted by or on behalf of an individual, group, undertaking or entity on the sanctions list, or by the legal representative or estate of such an individual, group, undertaking or entity, for the Committee's consideration.
36. The Committee approved one request for exemption from the asset freeze, determined to be necessary for basic expenses pursuant to paragraph 81 (a) of resolution 2368 (2017). The Committee further approved one request for exemption

from the asset freeze, determined to be necessary for extraordinary expenses pursuant to paragraph 81 (b) of resolution [2368 \(2017\)](#). The Committee did not receive any requests for exemption from the asset freeze or the travel ban through the focal point mechanism pursuant to paragraphs 82 and 83 of resolution [2368 \(2017\)](#).

V. Sanctions list

37. The criteria for the designation of individuals and entities as subject to the travel ban, asset freeze and arms embargo are set out in paragraphs 2 to 4 of resolution [2368 \(2017\)](#). The procedures for requesting listing and delisting are described in the Committee's guidelines for the conduct of its work, and standard forms for listing and delisting are available on the Committee's website.

38. Both the Committee and the Ombudsperson can receive delisting requests. During the reporting period, nine individuals and four entities were listed. None were delisted. The Committee approved amendments to the existing entries of five individuals and four entities on its sanctions list.

39. As at the end of the reporting period, there were 265 individuals and 83 entities on the sanctions list of the Committee.

VI. Monitoring Team

40. The Monitoring Team comprises 10 experts with broad experience in international counter-terrorism issues.

41. On 27 June, the Team provided its twenty-second report to the Committee, in accordance with paragraph (a) of annex I to resolution [2368 \(2017\)](#).

42. In January and July, the Monitoring Team contributed to the reports of the Secretary-General submitted pursuant to paragraph 101 of resolution [2368 \(2017\)](#) ([S/2018/80](#) and [S/2018/770](#)).

43. During the informal consultations held on 30 April and 17 October pursuant to paragraph 99 of resolution [2368 \(2017\)](#), the Monitoring Team briefed the Committee on its analysis of the global implementation of resolutions [2199 \(2015\)](#) and [2178 \(2014\)](#), including gathered information and analysis relevant to potential sanctions designations by Member States or Committee action that could be taken. The Team also briefed the Committee on its trips to Member States on 12 January, 12 February, 9 March, 13 and 30 April, 21 May, 14 June, 14 August and 28 November.

44. On 29 December 2017, in accordance with paragraph (e) of annex I to resolution [2368 \(2017\)](#), the Monitoring Team submitted to the Committee, for its approval, its travel plan for the period from January to June 2018. On 23 May, also in accordance with paragraph (e) of annex I to resolution [2368 \(2017\)](#), the Monitoring Team submitted to the Committee, for its approval, its planned programme of work and travel plan for the period from September to December 2018. Accordingly, the Monitoring Team conducted country visits to more than 42 Member States and participated in more than 148 regional and international conferences and other meetings, including the eighty-seventh session of the General Assembly of the International Criminal Police Organization, held in the United Arab Emirates, and the seventeenth Meeting of Heads of Special Services, Security Agencies and Law-Enforcement Organizations, held in the Russian Federation. The Team also organized three regional forums for security and intelligence services, in the United Republic of Tanzania, Austria and Thailand.

45. In December, the Monitoring Team held meetings with incoming members of the Security Council in order to raise awareness of the Team's mandate and work.

46. In pursuance of its mandate, the Monitoring Team, through the Secretariat, sent 300 letters to Member States, regional and international organizations, national entities and the Committee.

VII. Ombudsperson

47. On 24 May, the Secretary-General appointed Mr. Daniel Kipfer Fasciati to serve as the Ombudsperson. The Office of the Ombudsperson submitted one comprehensive report to the Committee.

48. The Ombudsperson submitted a periodic report to the Security Council on 8 August (S/2018/579).

VIII. Secretariat administrative and substantive support

49. The Security Council Affairs Division provided substantive and procedural support to the Chair and the members of the Committee. Advisory support was also provided to Member States to promote understanding of the sanctions regime and facilitate the implementation of the sanctions measures. Induction briefings were also provided to incoming members of the Council to familiarize them with the specific issues relevant to the sanctions regime. The Division supported the visits of the Chair to the Philippines on 21 and 22 March, Uzbekistan on 31 October and 1 November and the Russian Federation on 7 and 8 November.

50. To support the Committee in its recruitment of well-qualified experts to serve on sanctions monitoring groups, teams and panels, a note verbale was sent to all Member States on 5 December to request the nomination of qualified candidates for membership of the roster of experts. In addition, notes verbales were sent to all Member States on 17 May and 3 October notifying them of upcoming vacancies on the Monitoring Team and providing information on recruitment timelines, areas of expertise and pertinent requirements. The vacancy announcement on 3 October was also made available online at careers.un.org.

51. The Division continued to provide support to the Monitoring Team, conducting an induction for newly appointed members and assisting in the preparation of the Team's reports submitted in June and December. In August, the Secretariat circulated an updated handbook for sanctions experts, containing information to facilitate their work and to answer frequently asked questions that may arise during their term. The information provided is based on relevant United Nations rules and regulations, as well as practices and procedures established by the Secretariat.

52. The Secretariat continued to update and maintain the Consolidated United Nations Security Council Sanctions List and the committee-specific sanctions lists in the six official languages and the three technical formats. Furthermore, the Secretariat implemented improvements concerning the effective utilization of and access to sanctions lists, as well as the further development in all official languages of the data model approved in 2011 by the Committee, as requested by the Council in paragraph 54 of resolution [2368 \(2017\)](#).

53. On 12 January and 13 July, the Secretariat briefed the Committee of its implementation of paragraph 94 of resolution [2368 \(2017\)](#) on its restructuring to enhance its support to the Monitoring Team.