

**Security Council**

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**Letter dated 14 April 2014 from the Vice-Chair of the  
Security Council Committee established pursuant to resolution  
1572 (2004) concerning Côte d'Ivoire addressed to the President of  
the Security Council**

On behalf of the Security Council Committee established pursuant to resolution 1572 (2004) concerning Côte d'Ivoire, and in accordance with paragraph 19 of Security Council resolution 2101 (2013), I have the honour to transmit herewith the final report of the Group of Experts on Côte d'Ivoire.

I would appreciate it if the present letter and the report were brought to the attention of the members of the Council and issued as a document of the Council.

(Signed) Eugène-Richard **Gasana**  
Vice-Chair

Security Council Committee established pursuant to  
resolution 1572 (2004) concerning Côte d'Ivoire



**Letter dated 14 March 2014 from the Group of Experts on Côte d'Ivoire addressed to the Chair of the Security Council Committee established pursuant to resolution [1572 \(2004\)](#)**

The members of the Group of Experts on Côte d'Ivoire have the honour to transmit herewith the final report of the Group, prepared in accordance with paragraph 19 of Security Council resolution [2101 \(2013\)](#).

The Group of Experts on Côte d'Ivoire

*(Signed)* Raymond **Debelle**

*(Signed)* Eugene **Fatakanwa**

*(Signed)* Joel **Salek**

*(Signed)* Roberto **Sollazzo**

*(Signed)* Manuel **Vázquez-Boidard**

## **Final report of the Group of Experts on Côte d'Ivoire pursuant to paragraph 19 of Security Council resolution 2101 (2013)**

### **I. Introduction**

1. In a letter addressed to the President of the Security Council dated 11 July 2013 (S/2013/416), the Secretary-General announced his appointment of five members of the Group of Experts as follows: Raymond Debelle (Belgium, arms), Eugene Rutabingwa Fatakanwa (Rwanda, customs/transport), Joel Salek (Colombia, finance), Roberto Sollazzo (Italy, diamonds) and Manuel Vázquez-Boidard (Spain, regional). In the same letter, the Secretary-General also designated Mr. Vázquez-Boidard to serve as the Coordinator of the Group.

2. The Group of Experts commenced its work in the field on 25 July 2013. During the reporting period, the activities of the Group included meetings with Member States, international and regional organizations and government authorities in Côte d'Ivoire with a view to obtaining information relevant to its investigations. The Group visited Belgium, Burkina Faso, France, Guinea, Liberia, Mali, Rwanda, South Africa, the United Arab Emirates and the United States of America, in addition to conducting field visits throughout Côte d'Ivoire. A list of the meetings and consultations held by the Group is provided in annex I to the present report.

3. During the course of the mandate of the Group, the Ivorian press resumed a very active role in the political discussion and campaign for presidential elections in 2015. The Group is aware of the past role of the press in exacerbating political tensions in Côte d'Ivoire<sup>1</sup> and recalls in that context that the incitement of hatred and violence is a criterion for designation for individual sanctions, pursuant to paragraph 10 (e) of Security Council resolution 1980 (2011). The Group voices its concern regarding that trend and intends to report on it accordingly.

4. The Group welcomes the continued progress in the restoration of State authority throughout Côte d'Ivoire. As a result, the influence of political, military and economic structures inherited from the former rebellion of the Forces nouvelles has both decreased and evolved. At the time of writing, the Group is of the view that, in the event of a sudden change in the political balance in Côte d'Ivoire, the role in the political context played by former zone commanders is uncertain.

5. During the course of its mandate, the Group noted tangible progress and recovery in the Ivorian economy. The administration of President Alassane Ouattara, with the support of international partners, has launched important infrastructure projects and has worked to restore the dynamic economic role of Côte d'Ivoire in the region. Côte d'Ivoire is regaining its place as the economic driver in the subregion, with a gross domestic product (GDP) growth of 8.7 per cent in 2013.

6. The Group also notes progress of the Ivorian administration with regard to its obligations towards the implementation of the sanctions regime. Contrary to the practice noted by the Group during its previous mandate, from April 2012 to April 2013, in the majority of the cases, the Ivorian authorities transmitted to the Security

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<sup>1</sup> See [www.un.org/News/Press/docs/2006/sc8665.doc.htm](http://www.un.org/News/Press/docs/2006/sc8665.doc.htm) and [www.un.org/News/Press/docs/2005/sc8483.doc.htm](http://www.un.org/News/Press/docs/2005/sc8483.doc.htm).

Council Committee established pursuant to resolution [1572 \(2004\)](#) the relevant notification and/or exemption procedures prior to the import of materiel under embargo.

7. Similarly, the Group welcomes the administrative and political efforts undertaken by Côte d'Ivoire with regard to its participation in the Kimberley Process Certification Scheme. Pursuant to Security Council resolution [2101 \(2013\)](#), this is a mandatory step prior to any consideration of the lifting of the diamond embargo by the Security Council. However, the Group has collected evidence that conflict diamonds from Côte d'Ivoire continue to finance the military capacity of former zone commanders in Séguéla. The Group furthermore notes that, in spite of having identified violations of the diamond embargo in its public reports since 2006, the Ivorian authorities have made no progress in combating the smuggling of diamonds nor taken any concrete initiatives to date.

8. Côte d'Ivoire, despite having regained its traditional economic and political status in the West African region, remains a source of concern to the Group in terms of security and stability in the lead-up to the 2015 presidential elections, owing to the presence of large quantities of weapons and ammunition that remain unaccounted for since the end of the post-electoral crisis in 2010 and 2011.

9. The Group considers that the Presidential elections in 2015 and the acceptance of its results have to be considered as a benchmark for the political transition and peace process in Côte d'Ivoire.

10. During the mandate, the Group noted limited progress in disarmament, demobilization and reintegration, security sector reform, national reconciliation and the fight against impunity, in connection with paragraph 7 of resolution [2101 \(2013\)](#), by which the Security Council decided to further review the measures decided in paragraphs 1, 3 and 4 of the resolution, in the light of the progress achieved in stabilization throughout the country, by the end of the period mentioned in paragraph 1, with a view to possibly further modifying or lifting all or part of the remaining measures, in accordance with progress achieved in relation to disarmament, demobilization and reintegration, security sector reform, national reconciliation and the fight against impunity.

11. In a context where political reconciliation remains weak, the process of combating impunity is ineffective. Moreover, where the disarmament, demobilization and reintegration and security sector reform processes help to consolidate and institutionalize the power of the structures of the former zone commanders inside the State security apparatus, the views of the Group regarding the political and security situation in Côte d'Ivoire, as expressed in paragraphs 3 to 8 of its midterm report ([S/2013/605](#)), remain valid, namely, that the sanctions regime continues to be useful and effective for the preservation of stability in Côte d'Ivoire and the subregion.

## **II. Investigation methodology**

12. The Group prioritized field-based investigations throughout Côte d'Ivoire and neighbouring States. It also reviewed documentary evidence provided by local, regional, national and international organizations and private companies.

13. During each of its investigations, the Group sought incontrovertible documentary evidence to support its findings, including physical evidence, such as

markings on arms and ammunition. When such specific evidence was not available, the Group required at least two independent and credible sources in distinct, separate locations and on separate dates to substantiate a finding.

14. Acknowledging the requests made by several members of the Committee for more factual, on-the-ground evidence concerning diamond sanctions violations, the Group has also developed a methodology to collect factual evidence in the rough diamonds sector (see sect. IX below).

15. The Group conducted investigations in each of its mandated fields to assess potential violations of relevant Security Council sanctions. Its findings vis-à-vis States, individuals and companies were, to the extent possible, brought to the attention of those concerned to give them an opportunity to respond.

16. The Group also deems it important to highlight that, during the mandate, budget cuts, especially on travel, severely restricted its capacity to maintain a constant presence in the field and to visit Member States in pursuit of its investigations. The Group is concerned that the revised budget may further limit investigations by future Groups of Experts, thereby undermining the comprehensiveness of reporting to the Committee.

### **III. Compliance with the Group's requests for information**

17. During the course of its mandate, the Group addressed a total of 127 official communications to Member States, international organizations and private entities and individuals. The Group believes it is important to differentiate the kinds of responses it received, which ranged from satisfactory to incomplete to an absence of any response.

18. Parties that replied satisfactorily to communications from the Group responded to all of its questions promptly and in such a way as to facilitate specific investigations. The Group received satisfactory responses from the Governments of Angola, China, the Democratic Republic of the Congo, Ghana, Hungary, Mali, Morocco, Romania, Serbia and the United Arab Emirates, as well as the following entities: ACMAT (France); Ceramtext Group Ltd. (Israel); Corsair (France); Établissements Boche (France); Horsforth T. Ltd. (Côte d'Ivoire); IWI (Israel); MagForce (France); Newcon Optik (Canada); Plasan Sasa (Israel); Thuraya (United Arab Emirates); Banque pour le financement de l'agriculture (Côte d'Ivoire); the Central Bank of West African States; Ministère des eaux et forêts (Côte d'Ivoire); the European Commission; the Extractive Industry Transparency Initiative; the Kimberley Process Administrative Support Mechanism; Gemological Institute of America; the World Federation of Diamond Bourses; the World Diamond Council; and Côte d'Ivoire Telecom.

19. Incomplete responses include cases in which parties either did not provide all of the information requested by the Group, or informed it that they were preparing a reply that had not been received by the time of writing, or reported that they were unable to provide requested information owing to national banking legislation, privacy laws and/or customer confidentiality obligations. To a greater or lesser extent, such incomplete responses hampered its investigations. It received incomplete responses from the Government of Burkina Faso, as well as from the following entities: Ministry of Defence (Côte d'Ivoire); the United Overseas Bank of Singapore; the Standard

Bank Group Limited (South Africa); HSBC (Hong Kong, China); Ecobank (Ghana); and Stanbic Bank (Ghana).

20. In some cases, parties did not respond to requests from the Group for information, despite a number of requests and reminders. It did not receive responses from the Governments of the Central African Republic, Chad, the Czech Republic, the Netherlands, Guinea, Liberia, Malaysia, Namibia, the Niger, Poland, Sierra Leone or the Sudan, or from the following entities: Beuchat (France); DCA France (France); Établissements Seramar (Côte d'Ivoire); Conseil du café cacao (Côte d'Ivoire); the Ministry of the Interior (Côte d'Ivoire); the Ministry of Industry and Mines (Côte d'Ivoire); the Ministry of Economy and Finance (Côte d'Ivoire); the Ministry of Employment, Social Affairs and Professional Education (Côte d'Ivoire); Banque Atlantique de Côte d'Ivoire; Bureau Veritas Group (France); MKU Private Limited (India); First National Bank (South Africa); and Webb Fontaine (Côte d'Ivoire).

#### **IV. Sanctions-related regional issues**

21. The views of the Group regarding regional issues, as expressed in paragraphs 14 to 16 of its midterm report, remain valid.

22. During the mandate, the Group noted the continued cooperation between Ghanaian and Ivorian authorities in preventing military actions carried out by the radical wing of supporters of the former Ivorian President, Laurent Gbagbo, operating through Ghana. With regard to that specific radical wing, the Group notes that, for the moment, its military capacity in Côte d'Ivoire has diminished.

23. While the improved security situation in Côte d'Ivoire has had a positive impact on the region, the Group wishes to underline that the structure and military capacity (both in terms of combatants, weapons and related materiel) of the mercenaries in Liberia and the Ivorian militia remain highly operational. As suggested in the past by several investigations, the activities of Liberian mercenaries are closely linked with Ivorian internal political dynamics. As reflected in previous Group reports, both opposing parties in Côte d'Ivoire (pro-Gbagbo and pro-Ouattara) have incorporated the potential use of Liberian mercenaries into their military strategy. For Ivorian political actors, Liberia remains a source of combatants and of weapons and related materiel, ready to be used.

24. The relations between Côte d'Ivoire and Burkina Faso have improved since 2011. Investigations carried out by the Group, as reflected in the present report, again cite Burkina Faso as having contravened the sanctions regime. The Group remains concerned that weapons and related materiel are stockpiled in northern Côte d'Ivoire, close to the border with Burkina Faso, and could be used in a crisis, as reported previously in its April 2013 final report (see [S/2013/228](#)).

#### **V. Cooperation with relevant entities**

25. The present section contains issues related to Group cooperation with relevant entities in Côte d'Ivoire, namely, the Panel of Experts on Liberia, the Government of Côte d'Ivoire and the United Nations Operation in Côte d'Ivoire (UNOCI).

## **A. Cooperation with the Panel of Experts on Liberia**

26. Pursuant to paragraph 11 of resolution [2101 \(2013\)](#), the Group maintained a consistent working relationship with the Panel of Experts on Liberia.

## **B. Cooperation with Ivorian authorities**

27. During the mandate, the Government of Côte d'Ivoire maintained a good level of cooperation with the Group, illustrated by numerous high-level meetings. However, the Group is concerned about the recent lack of cooperation from the Ministry of Economy and Finance, the Ministry of Justice, the Ministry of Industry and Mines and the Ministry of Energy and Oil. It is important to highlight that the level of cooperation from the Ivorian authorities decreased consistently after November 2013, which certainly had an impact on the work of the Group.

## **C. Cooperation with the United Nations Operation in Côte d'Ivoire**

28. The Group wishes to express appreciation for the valuable support provided by UNOCI during its mandate. The Mission continues to make available offices, transport and administrative support to successive Groups of Experts. Its Integrated Embargo Monitoring Unit provides logistical support to the Group and shares important information related to the embargo, in particular data concerning ammunition and materiel that appear to have entered Côte d'Ivoire in violation of the sanctions regime. The administrative support provided by the Unit also continues to be excellent.

29. However, the Group would like to stress that the Integrated Embargo Monitoring Unit is currently understaffed, as it does not have an arms expert or a natural resources expert. The situation had an impact in its cooperation with the Group and affects the capacity of UNOCI to fulfil its mandate with regard to the sanctions regime.

## **VI. Arms**

30. The Group noted progress concerning the implementation of the provisions contained in resolution [2101 \(2013\)](#) by the Ivorian authorities, which regularly transmitted to the Committee notifications and exemption requests. However, the requests did not systematically provide the Committee with complete information as set out in paragraph 4 of the resolution, namely, the purpose of the use and end user, the technical specifications and quantity of the equipment to be exempted.

31. Contrary to the provisions of paragraph 5 of resolution [2101 \(2013\)](#), the Ivorian Government has not informed UNOCI or the Group of Experts of the arrival of the materiel imported after exemption or notification procedures, nor has it invited them to inspect the materiel. This lack of information and access constituted a major obstacle for the Group in the fulfilment of its mandate.

## A. Violations of the sanctions regime

### **Conseil national de sécurité and Horsforth T. Ltd.**

32. The Conseil national de sécurité, created on 8 August 2012 under presidential decree No. 2012-786, centralizes, under the direct supervision of the Presidency, all purchases of weapons and related materiel.

33. The Group documented the import of materiel under sanctions into Côte d'Ivoire ordered by the Conseil national de sécurité. The company Horsforth T. Ltd. organized and carried out the logistical operations related to those imports. The company was created after the start of the commercial import transactions (see annexes II and III) and its Director, Daniel Chekroun, who is of French nationality, was mentioned in a previous report of the Group (S/2005/699, paras. 124-151), as having violated the sanctions regime in 2005.

34. The Ivorian authorities transmitted to the Committee an exemption request that included a list of lethal materiel, including pistols, assault rifles and related ammunition, and an Mi-24 combat helicopter (see annexes IV (a) and (b)). In addition, the above-mentioned notification was incomplete, as it did not mention the date of arrival of the materiel or the units that would be equipped with such materiel.

35. Through its investigations, the Group pointed out that materiel included in the request presented to the Committee had been imported into Côte d'Ivoire before complying with the exemption procedure. On 15 November 2013, the company Plasan Sasa (registered in Israel) delivered 200 bulletproof jackets ordered by the Ivorian Conseil national de sécurité. Moreover, a total of 659 bulletproof jackets were delivered later, while only 200 units were notified to the Committee (see annexes V (a)-(c)).

### **Purchases concluded prior to the approval of the Committee**

36. Contrary to the provisions of resolution 2101 (2013), Ivorian authorities conveyed end-user certificates to companies prior to submitting to the Committee notifications and/or exemption procedures. When contacted by the Group, the companies Newcon Optik and IWI, which were involved in the provision of night-vision and observation-related materiel (see annex VI (a)) and 1,500 Jericho pistols (9 mm) (see annex VII), respectively, explained that partial advance payments had been completed. The Group considers those operations to be contraventions of the sanctions regime, as the operations were sealed before the approval of the Committee was requested (see annex VIII).

37. The Group also noted that, following an authorization request submitted to the Committee to import 1,500 leather belts, Horsforth T. Ltd. placed an order with Ceramtext Group Ltd. for 1,500 tactical jackets for police and security companies (see annex IX). The Group is investigating whether those goods had already entered into Côte d'Ivoire in contravention of the sanctions regime.

### **Additional materiel ordered**

38. On 12 November 2013, Newcon Optik informed the Group that it had been contacted by Alain Richard Donwahi, Secretary of the Conseil national de sécurité, who requested the company to deliver the materiel ordered (see annex VI (b)),

confirming that the Committee had authorized the purchase of the equipment. Horsforth T. Ltd. was identified as the intermediary company between Newcon Optik and the Conseil national de sécurité.

39. Mr. Donwahi transmitted to Newcon Optik end-user certificates dated 30 September 2013 (see annex VI (c)). The Group contacted the Ivorian Ministry of Defence in order to confirm whether the materiel was destined for the gendarmerie, but never received a reply. In addition, the analysis of the documentation related to the case reveals that a part of the material had to be delivered to Mr. Donwahi personally (see annex VI (d)).

40. Documentation gathered by the Group also shows discrepancies between the prices offered by the suppliers and the prices for the Ivorian authorities (see annex X). The Group is of the view that overbilling can have an impact on the capacity of Côte d'Ivoire to properly equip its security forces within constrained budget capacities and/or create a path to divert funds for the purchase of military materiel, therefore breaching the embargo. The same practice had already been reported in a previous report of the Group (see [S/2005/699](#), para. 150).

#### **Vehicles transformed for military operations**

Figure I

#### **ACMAT ALTV Torpedo equipped with a 12.7 mm DShK submachine gun**



41. During its previous mandate, the Group reported that the Ivorian Ministry of Defence had imported vehicles produced by ACMAT (see [S/2012/766](#) and [S/2013/228](#)). The Group also expressed concern about the possibility that the vehicles could be reconfigured for military purposes. During the present mandate, the Group was able to observe the reconfiguration of the vehicles (see annex XI), since ACMAT ALTV Torpedo vehicles were in fact equipped with 12.7 mm DShK

or 7.62 mm PKM heavy machine guns, turning them into combat vehicles stationed in Abidjan (see figure I).

42. The Group also notes that Ivorian special forces are equipped with Toyota Land Cruiser vehicles modified for military purposes, equipped with 12.7 mm DShK or 7.62 mm PKM machine guns. The Group has identified such vehicles on repeated occasions in Abidjan.

43. The reconfiguration of equipment for military purposes after notification to the Committee is a contravention of the sanctions regime, as any conversion to military use requires an exemption by the Committee. The Group would like to reiterate its concern about such cases, particularly those involving transport equipment. Vehicles may be converted for military purposes in order to circumvent the exemption procedures dictated by relevant Security Council resolutions.

#### **Materiel sold by Condor Non-Lethal Technologies (Brazil) to the Presidency of Burkina Faso**

44. Following up on the investigations reported in its 2013 midterm report (see S/2013/605, paras. 30-32 and annex IV), the Group was able to confirm that the 270 AM-600 (37-38 mm, non-lethal) and 270 AM-640 (40 mm, lethal and non-lethal) grenade launchers, as well as related ammunition, manufactured by Condor Non-Lethal Technologies (registered in Brazil) that were found in Côte d'Ivoire had originally been sold to the Presidency of Burkina Faso in August 2012 (see annex XII). In February 2014, the authorities of Burkina Faso communicated to the Group that their security services did not use this type of materiel. The Group continues its investigations to determine how the materiel entered Côte d'Ivoire in contravention of the sanctions regime.

#### **Transfer of security equipment**

45. Table 1 below shows a number of companies that imported security materiel for the Ivorian Ministry of Defence in contravention of the sanctions regime during the current mandate.

Table 1

#### **Companies that imported security materiel for the Ivorian Ministry of Defence in contravention of the sanctions regime during the current mandate**

<i>Company</i>	<i>Month of import</i>	<i>Value</i>	
		<i>Value in West African CFA francs</i>	<i>Equivalent value in United States dollars</i>
Établissements Boche (see annex XIII)	November 2013	66 382 848	139 068
	December 2013	10 677 740	22 369
	January 2014	16 005 351	33 530
Auger Consulting (see annex XIV)	December 2013	24 000 000	50 278
	January 2014	15 374 229	32 207
	January 2014	19 460 000	40 767
DCA France SARL (see annex XV)	September 2013	49 311 567	103 305
	October 2013	66 279 180	138 851
	January 2014	15 414 990	32 293

46. The Group notes that, in the period 2013-2014, the only materiel included in statements of the Ivorian Transit interarmées<sup>2</sup> was equipment from the above-mentioned companies and the ACMAT vehicles (see [S/2012/766](#) and [S/2013/228](#)). The Group did not find indications of other materiel acquired by Ivorian security forces, including those ordered by the Conseil national de sécurité. These instances are further examples of the opacity in the purchase of embargoed materiel.

#### **Propelled grenades and small-calibre ammunition of likely Romanian origin**

47. According to information provided by the UNOCI Integrated Embargo Monitoring Unit, two Romanian RPG-7 grenades produced in 2005, one type PG-7 (anti-tank) bearing the code 17-05-451 and one type OG-7 (anti-personnel) bearing the code 41-05-425, were identified among ammunition collected as part of disarmament, demobilization and reintegration efforts in Anyama (near Abidjan), as well as one 7.62 x 54R mm cartridge produced in Romania in 2008 (see annex XVII). Romanian authorities confirmed that PG-7 grenades marked 17-05-451 were authorized for three transfers in 2005 and 2006, to the Government of the United States of America, the Ministry of Defence of Burkina Faso (800 grenades) and the Ministry of Defence of Georgia. They also confirmed that OG-7 grenades marked 41-05-425 were authorized for two transfers in 2005, to the Ministry of Defence of Burkina Faso (800 grenades) and to the Ministry of Defence of Angola. The Group of Experts is currently investigating whether the observed grenades had been transferred from Burkina Faso, in addition to the other ammunition of likely Romanian origin identified in its previous reports (see table 2).

Table 2

#### **Weapons and ammunition transferred to Côte d'Ivoire from Burkina Faso (2009-2014)**

<i>Previous report of the Group of Experts</i>	<i>Paragraph reference</i>	<i>Materiel or activity</i>
<a href="#">S/2013/605</a>	30-32	Condor grenade launchers AM600 (37/38 mm, non-lethal) and AM640 (40 mm, lethal and non-lethal) and associated ammunitions
<a href="#">S/2013/228</a>	73	Convoy of weapons and ammunition
<a href="#">S/2013/228</a>	74	Delivery to Forces nouvelles of support weapons: ZPU1, ZPU2, ZPU4 (14.5 mm heavy machine guns) and related trainers
<a href="#">S/2013/228</a>	75	Delivery of ammunition: F-1 hand grenades, bombs for 60 mm and 82 mm mortars, RPG-7, 14.5 mm
<a href="#">S/2013/228</a>	77	Training in 2010 of Forces nouvelles elements at the Centre d'entraînement commando located in Pô

<sup>2</sup> The Transit interarmées is the customs agency of the Ministry of Defence and the Ministry of the Interior, and, as such, automatically registers all imports of weapons, ammunition, equipment, vehicles and supplies for the armed and security forces.

<i>Previous report of the Group of Experts</i>	<i>Paragraph reference</i>	<i>Materiel or activity</i>
<a href="#">S/2012/766</a>	24-26	7.62 x 39 mm ammunition, Romanian origin
<a href="#">S/2012/196</a>	37	7.62 x 39 mm ammunition, Romanian origin
<a href="#">S/2011/272</a>	107-112	Transfer of weapons and ammunition
<a href="#">S/2011/271</a>	101-110	9 mm ammunition, Serbian origin
<a href="#">S/2010/179</a>	36	Failure by Burkina Faso authorities to follow-up with investigations, as requested by the Group of Experts
<a href="#">S/2009/521</a>	103	12 calibre hunting cartridges
<a href="#">S/2009/521</a>	145-151	Transfer of weapons and ammunition
<a href="#">S/2009/521</a>	157	Uniforms produced by Marck (France)
<a href="#">S/2006/964</a>	18	Follow-up on end-user certificate and IVH Trading Ltd.
<a href="#">S/2006/735</a>	30-34	End-user certificate and IVH Trading Ltd.

#### **Tear gas grenades of likely Serbian origin**

48. During its visits to military barracks in Abidjan, the Group observed hundreds of tear gas and smoke grenades produced in 2007 and 2009, respectively, presenting markings similar to those produced in Serbia (see annex XVIII (a)). Serbian authorities contacted by the Group indicated, nevertheless, that the ammunition, despite the similar markings, had not been produced by the Serbian arms industry (see annex XVIII (b)). The Group intends to continue its investigations in order to determine the origin of the materiel.

#### **B. Follow-up on Thuraya communications during the Para Sao attack**

49. The information gathered by the Group during its investigation on the attack conducted on 7 and 8 June 2012 in the area between Para and Sao in western Côte d'Ivoire (see [S/2012/766](#) and [S/2013/316](#)) suggested that those involved in the attack used a satellite telephone following the conclusion of the operation. As a reminder, the attack killed seven soldiers of the Niger contingent of UNOCI and 26 civilians.

50. Analysis of satellite data communications on the day of the attack over a 3 km radius around the site of interest shows that only one satellite phone was active at the time, which was used to contact Didier Goulia, alias Roger Tikouaï, an Ivorian former customs officer (see annex XIX), mentioned in previous reports for his role in the support and coordination of operations conducted by the radical pro-Gbagbo wing in 2012 (see [S/2012/766](#), [S/2013/228](#), [S/2012/901](#) and [S/2013/316](#)).

### **C. Lack of response from the Ivorian Ministry of Defence**

51. The Group expresses its concern about the lack of communications and replies from the Ministry of Defence, despite a number of letters and questions raised in bilateral meetings with representatives of the Ministry on several occasions. The list of questions raised is provided in annex XX.

52. The Group would like to note that the lack of cooperation and transparency from the Ivorian authorities represents a risk for the monitoring and enforcement of the sanctions regime and deprives the Committee of useful information concerning compliance with the arms embargo.

## **VII. Finance**

53. The present section contains financial aspects related to the sanctions regime, starting with a brief update of the Ivorian economy and the efforts and progress made by the Government of Côte d'Ivoire in fully regaining control of its fiscal revenues.

54. The section also contains an overview of how revenues from natural resources have historically nurtured conflict, and an updated review of the illegal exploitation and trade of natural resources and illegal parallel taxation.

### **A. Recent developments in the Ivorian economy and cooperation with the Group of Experts**

55. Ivorian GDP grew by 8.7 per cent in 2013 and is estimated to grow by 8.2 per cent in 2014. That performance has been driven mostly by such factors as restructuring the public external debt, improving tax administration, reorienting expenditure to increase investment and social spending and implementing major structural reforms of the energy and cocoa sectors.

56. During its field visits, the Group observed that the Government of Côte d'Ivoire has made progress not only in regaining control of State revenues in the form of taxes and duties, but also in taking measures to tackle problems that have seriously affected the economy and, partially, in the monitoring and enforcement of the sanctions regime.

57. The Group noticed, for instance, that the local tax, customs, water and forestry agencies responsible for collecting tax revenues have been redeployed all over the country and are effectively collecting State revenues.

58. The Group also observed that the majority of illegal checkpoints have been removed in the north, south, centre and east of the country. Nevertheless, the Group remains concerned that some illegal checkpoints still remain in the west, which is currently the most unstable area of the country in terms of security. The Group acknowledges the public media awareness campaigns by the Government against racketeering on local television and billboards.

59. The Group wishes to remark that, although Côte d'Ivoire is witnessing significant economic renewal, such progress does not alter the assessment of the Group regarding the sanctions regime. By contrast, the Group requests that

government authorities cooperate by providing all technical elements supporting compliance with the sanctions regime, particularly those implemented to prevent the use of Ivorian natural resources for the purchase of arms and related materiel.

60. In that context, the Group regrets to report that, apart from the reply obtained from the Ministry of Water and Forests, it received no replies to its official communications requesting information from other ministries and State agencies, including the Ministries of Economy and Finance, Justice, the Interior, Industry and Mines, and Defence, as well as the Council of Coffee and Cocoa. The letters and information requested will be referred to in each section.

## **B. Illegal exploitation of natural resources and its impact on the sanctions regime**

### **Context and historic review**

61. The Group of Experts has been mandated by the Security Council under Chapter VII of the Charter of the United Nations to examine sources of funding for arms purchases, as set out in paragraph 7 (b) of Security Council resolution [1727 \(2006\)](#), in which the Group is directed to investigate the sources of financing, including from the exploitation of natural resources in Côte d'Ivoire, for purchases of arms and related materiel and activities.

62. The Group would like to remark that revenues obtained from the exploitation and/or illicit trade (smuggling) of natural resources have historically been used by both sides to the recent conflict (the Government of Laurent Gbagbo and former Forces nouvelles rebels) for the purchase of arms and the financing of the conflict since its inception. The former zone commanders, who now have been integrated into national security forces, obtained revenues through the exploitation and smuggling of natural resources that in some cases were used to sustain an unofficial military apparatus composed primarily of former Forces nouvelles combatants.

63. In the aftermath of the post-electoral crisis of 2010 and 2011, previous Groups of Experts were able to document how the Forces nouvelles engaged in a looting campaign in the south of the country. Consequently, the Forces nouvelles extended their economic and military modus operandi from the north to the south of Côte d'Ivoire. Previous Groups documented how former zone commanders implemented their traditional taxation system, which included all business activities and direct participation in the profits obtained from the smuggling of natural resources and the sale of agricultural products in the south of Côte d'Ivoire.

### **Cash-based economy**

64. The Group observes that, during the present mandate, documents and other written evidence concerning the exploitation, illicit trade and taxation of natural resources have been difficult to obtain in a system in which the majority of such financial transactions are cash based. The Group has recently discovered that such funds are either kept in large holdings of cash in the houses or warehouses of former zone commanders or on some occasions smuggled in bulk through States members of the West African Economic and Monetary Union. The Group will continue its investigations and report accordingly to the Committee.

### **Change of pattern**

65. As stated in its midterm report (S/2013/605), the Group undertook investigations to establish whether revenues from the exploitation, trade or illegal taxation of natural resources had been used for the purchase of arms. After exhaustive investigation, the Group notes that there has been a change in pattern.

66. As mentioned above, the Group has observed substantial progress by the Government of Côte d'Ivoire in redeploying revenue-collecting agencies, notably its tax, customs, water and forestry authorities, along the routes and towns that the Group visited in the north, east, south and central regions of the country.

67. The Group is further aware that funds continue to be available to former zone commanders, who still exert unofficial economic and military power in those regions. However, at the time of writing, the Group cannot confirm that those revenues are being used for the purchase of arms and related materiel.

68. The Group obtained testimony from several interviewees indicating that funds are available for the personal gain of the former zone commanders, who are investing large amounts of cash in the country, especially in the construction sector, to launder illegal gains. Those investments are particularly evident in the city of Korhogo.

69. In the west of the country, the Group observed that illicit and parallel taxation of businesses and trade in the cocoa, timber and other natural resources sectors continues to be a source of funds used by former zone commanders, such as Losseni Fofana (also known as "Loss"), as a means of personal enrichment and a tool for retaining the loyalty of ex-combatants.

## **C. Natural resources, illegal parallel taxation system and present challenges**

70. Cocoa and oil have historically been the main drivers of the Ivorian economy, accounting for 21 per cent of its nominal GDP of \$28 billion in 2013.<sup>3</sup>

71. During the present mandate, the Group observed the increasing importance of other natural resources that are more relevant as possible sources of unaccounted revenues for the purchase of arms. The Group therefore presents its findings on specific cases investigated in the cashew nut industry, illegal artisanal gold mining and illicit timber exploitation.

### **Cocoa**

72. Cocoa production in 2013 is estimated to have reached 1.3 million tons, with a projected free-on-board (FOB) price of CFAF 1,546.4 billion (\$3 billion). According to the International Monetary Fund (IMF), in 2013, Ivorian exports were up by 15.8 per cent, driven mainly by the 20.8 per cent increase in manufactured products and a good performance in primary products, such as cocoa beans (up 39.6 per cent) and cashew nuts (up 12.3 per cent).<sup>3</sup>

<sup>3</sup> Country report No. 13/367, "Côte d'Ivoire: 2013 article IV consultation and fourth review under the extended credit facility arrangement", International Monetary Fund, Washington, D.C., 2013.

73. On two occasions, the Group requested information from the Government of Côte d'Ivoire with a view to documenting progress in addressing illegal exports or contraband of this key revenue generator for the economy. Specifically, the Group sought information concerning reports obtained in 2013 about multiple cocoa seizures carried out jointly by the customs, police and gendarmerie authorities along the borders with Guinea and Ghana, in order to obtain evidence on the structure of the network responsible for the illicit exports.

74. The Group sent several letters and reminders to the Ministries of Defence and Finance and to the Council of Coffee and Cocoa, the current Government regulatory agency for the coffee and cocoa industry. No replies to the letters and further reminders have been received.

75. Because of the lack of replies to the aforementioned requests for information, the Group has been unable to assess how measures taken by the Government, most notably the implementation of the cocoa sector reform, have contributed to the reduction of the smuggling of cocoa and therefore the reduced availability of illegal funds (see [S/2013/605](#), paras. 58 and 59).

## **Oil**

76. Oil production has been decreasing since 2010, when it declined 21 per cent compared with 2009. In 2010, the country produced 39,816 barrels a day according to official figures by the Ministry of Petroleum and Energy. Production continued to decline in 2013, reaching 32,000 barrels per day. According to IMF, the FOB price for exports of crude oil and refined oil products from Côte d'Ivoire for 2013 were estimated to be CFAF 1,545.7 billion (\$3 billion).

77. The Group acknowledges the efforts of the Government of Côte d'Ivoire to ensure that the country remains compliant with the Extractive Industries Transparency Initiative, which led to an amendment of the petroleum code and the adoption of a new hydrocarbon code.

78. Nevertheless, the Group wishes to highlight that management of oil industry revenues is still opaque and challenges therefore remain. For example, the conclusion of the 2008 report of the Extractive Industries Transparency Initiative revealed important inconsistencies, demonstrating how payments made by the Ivorian oil company Société nationale d'opérations pétrolières de la Côte d'Ivoire (PETROCI) to the State had not been declared by the General Directorate of the Treasury and Public Accounts, an agency of the Ministry of Economy and Finance. The 2010 report of the Extractive Industries Transparency Initiative flagged the same inconsistency.

79. Concerning the inconsistencies found by the Extractive Industries Transparency Initiative, the Group wishes to recall several of its findings as stated in its previous report (see [S/2012/196](#), paras. 94 and 95).

80. Most recently, the Group confirmed from multiple credible sources that the contract and bidding process at PETROCI continues to be opaque and hence generates a high risk of diversion.

### **Cashew nuts**

81. Côte d'Ivoire is the world's second biggest exporter of cashew nuts, with annual exports reaching about 450,000 tons, totalling \$240 million at FOB market prices. Of that amount, the Government of Côte d'Ivoire estimates that around 100,000 tons are illegally exported to neighbouring States, most notably Burkina Faso, Ghana and Mali, which results in the loss of about \$2 million in fiscal revenues.

### **Cashew nut illicit trade and loss of revenues**

82. As previously stated, the Group further investigated and obtained information on how some local authorities in the city of Bondoukou extorted money from the smuggling of cashew nuts (see [S/2013/605](#), para. 63). The Group was able to confirm that illicit trade and the misuse of State funds from taxes on cashew nuts are still ongoing and that cashew nuts are being smuggled into Ghana. Although cashew nuts must be exported only through the seaports of Abidjan and San Pedro, illicit trade of the product is encouraged by higher prices paid on the other side of the border, where it can command between CFAF 400 and CFAF 500 per kilo, compared with the fixed price of CFAF 250 per kilo in Côte d'Ivoire. Cashew nuts sold to Ghana also require lower transport costs of CFAF 150,000 per truck, compared with CFAF 600,000 per truck if exported through Ivorian seaports, as well as lower warehouse expenses.

83. According to customs regulations, products that are subject to export, such as cashew nuts, must enter into customs facilities for detailed control. In Bondoukou, transit control and clearance is performed at the mobile police facility of the nearest border town of Soko, on the Ghanaian border.

84. In the absence of a weigh bridge, the quantities exported are made on the basis of the weight of the truck. The single export tariff is CFAF 475,000 for 40-ton trucks, CFAF 400,000 for trucks weighing 25 to 30 tons and CFAF 300,000 for 7-ton trucks. The lack of controls causes inaccuracy on the amount of taxes collected. According to the Group's sources, only a small portion of the money collected enters into the State coffers.

85. More recently, the Group has been informed about changes of customs officers in Soko and, currently, goods bound for export are being inspected by the customs authorities in the city of Bondoukou.

### **The role of the security forces**

86. The role of the security forces, which include members of the local police and the Forces républicaines de Côte d'Ivoire (FRCI) in Bondoukou, is to provide "escort" services to the convoys, a provision that yields them CFAF 25,000 (\$50) per truck. During the export season, the convoys are frequent and can comprise 50 to 200 trucks per day, or CFAF 1,250,000 to CFAF 5,000,000 (\$2,500 to \$10,000) per convoy.

87. In other cases, the cashew nut regulation authorities have requested specific and temporary permits from customs headquarters to allow the product, on an exceptional basis, to be exported to Burkina Faso and Ghana. In that case, it appears that the absence of truck weight information generates uncertainty about the

quantities exported, generating revenue that is paid not to the State, but instead may be used to purchase arms (see annexes XXI and XXII).

88. The Group has learned that, on 12 March 2013, the Government regulatory authority for the cashew nut industry requested an authorization from the customs headquarters to allow the export of 30,000 tons of cashew nuts through Ghana. The exports would generate CFAF 300 million in taxes (\$600,000) that should be paid to the local customs authorities. According to the Group's research, the final amount exported was 23,000 tons, which should have generated CFAF 230 million in taxes (\$460,000). However, customs documents seen by the Group show that only CFAF 60 million (\$120,000) in taxes was paid. The remaining CFAF 170 million (\$340,000) did not reach the State (see annex XXIII).

89. On the basis of information gathered, the Group is of the view that the reform of the cashew nut sector mentioned in its previous report (S/2013/605, paras. 64-66) has not yet made a clear impact on curbing the traditional smuggling of the product, given the multiple economic interests in various aspects of the trade, including purchasers, middlemen and some members of State security forces. Therefore, the existence of illicit revenues derived from this activity continues to pose a threat to the embargo regime if used for the purchase of arms.

### **Gold**

90. It is difficult to obtain reliable official figures about illegal artisanal gold mining in the country. On 18 October 2013, the Government of Côte d'Ivoire issued a decree to end illicit gold mining and ordered the deployment of security forces in mining areas. That strategy initially consisted of locating illegal digging areas and then expelling illicit operators.

91. In a letter dated 6 August 2013 to the Director General of Mines and Energy and during a meeting on 2 September 2013 with the Minister for Industry and Mines, the Group made efforts to assess the results of the measures taken by the Government in three areas: (a) the seizure of gold produced through illegal artisanal mining; (b) the adoption of the Security Council recommendation to implement the due-diligence guidelines of the Organization for Economic Cooperation and Development (OECD) for responsible mineral supply chains from conflict-affected and high-risk areas; and (c) the enforcement of the decree to close illegal gold-mining sites. The Group regrets to report that, to date, its letter and its questions, conveyed verbally to the Ministry, remain unanswered.

92. The Group is aware that the ban on illicit artisanal gold-mining sites has been enforced with little result. Exploitation continues, most notably near the industrial mining sites in the north, centre and west of the country, with the complicity of some national and local military authorities.

93. The towns of Bouna, Doropo and Téhini in the north-eastern part of Côte d'Ivoire were occupied in 2002 by the Forces nouvelles, which exploited local mineral resources, especially gold. Doropo in particular has the most profitable illegal artisanal gold-mining sites, while other gold-producing areas are scattered throughout the region, most notably in the villages of Kalamon, Kinta, Niamoin, Kodo, Danoa and Varalé. The reunification of the country in 2011 did not put an end to the influence of the former rebels in that region.

94. The Group has gathered information from multiple credible sources indicating that the chain of command authorizing illegal artisanal gold mining leads to important members of the Côte d'Ivoire security forces in Abidjan. They or their associates organize trafficking in gold, including the concession of plots for the exploitation and sale of gold.

95. Former zone commander Ouattara Issiaka (alias "Wattao") controls artisanal gold mining in Doropo, exploited "under concession" by nationals of Burkina Faso. He reportedly sold the "exploitation rights" for CFAF 25 million (\$50,000) and makes CFAF 60 million (\$120,000) in monthly revenues.

96. In Bouna, illegal artisanal gold mining is conducted in the village of Niandégué and several other villages. Most of the illegal mining sites are operated by nationals of Burkina Faso, as Burkina Faso is the natural destination of the gold traffic.

97. There are two main land routes used to traffic illicit gold into Burkina Faso, namely, from Bouna and Doropo in Côte d'Ivoire to Galgouli and Gaoua in Burkina Faso, and from Bouna to Batié in Burkina Faso.

98. Multiple credible sources indicate that an individual called Drissa, also known as the "Mayor", is the largest gold buyer. The sources confirm him as the final recipient of the gold illicitly mined in the north-eastern part of Côte d'Ivoire and trafficked into Burkina Faso.

99. The middleman for such deals reportedly owns a construction company in the Burkina Faso cities of Ouagadougou and Bobo-Dioulasso that specializes in buildings, public works, imports and exports. The company also serves as a front business used to launder gains obtained through gold trafficking. The Group will further investigate the above-mentioned individuals and report accordingly to the Committee.

100. In addition to Wattao, whose influence over Doropo is incontestable, the involvement of other former zone commanders and ex-combatants are also evident. Such is the case of the former zone commander of Bouna, Morou Ouattara (alias "Atchengué"), the brother of Wattao. They, together with other figures in the region and in Abidjan, still exert a great deal of economic influence in that city, particularly in all aspects dealing with illicit artisanal gold mining.

#### **Côte d'Ivoire and compliance with the Organization for Economic Cooperation and Development due-diligence guidelines**

101. The Group encouraged the Ivorian Minister for Industry and Mines to approach OECD, and in particular the Forum of the Group of Experts on the Democratic Republic of the Congo of the International Conference of the Great Lakes Region, the Organization for Economic Co-operation and Development and the United Nations, on the issue of responsible mineral supply chains, in accordance with paragraph 25 of resolution [2101 \(2013\)](#).

102. The Group continues to be of the view that the participation of Côte d'Ivoire in the Forum remains valuable, particularly with the aim of increasing transparency in gold exploitation and trade, bearing in mind that revenues from illicit gold exploitation and trafficking are still a reality.

**Timber**

103. On 13 September 2013, the Group requested information from the Ministry of Water and Forests concerning seizures in 2012 and 2013 by the authorities of illicitly exploited timber that was being trafficked outside Côte d'Ivoire.

104. In its reply, the Government of Côte d'Ivoire acknowledged the results of the efforts against illicit timber exploitation, indicating that, in the above-mentioned period, it had seized 6,050.80 m<sup>3</sup> of timber with a market value of CFAF 625,063,185 (\$1,250,126). The Ministry indicated that it had already auctioned off 80 per cent of the seized timber, which had provided more than \$1 million to the finances of the Ministry of Water and Forests (see annex XXIV).

105. The Ministry informed the Group that it had arrested 74 individuals involved in illegal timber exploitation above the eighth parallel of Côte d'Ivoire, where forest exploitation is forbidden.

106. The Group believes that enforcing control of the country's natural resources in order to avoid illegal exploitation enhances the capacity of the Government of Côte d'Ivoire to comply with the embargo regime by interdicting funds that may become sources of financing for the purchase of arms and related materiel. The Group therefore encourages the Government to view the monitoring and enforcement of the sanctions regime as integral to its own efforts to generate tax revenues, build State authority and promote effective control over the entire territory of Côte d'Ivoire.

**Illegal parallel taxation system**

107. As stated above, the Group has observed progress by the Government in regaining control of State revenues. The Group has also observed progress in the reduction of illicit checkpoints and racketeering along the main roads and in cities. However, its letters dated 15 August and 25 November 2013 to the Ministry of the Interior requesting further information in order to produce a better assessment of the progress made and to establish compliance of the Government with paragraph 26 of resolution 2101 (2013), remain unanswered.

108. The Group was therefore unable to prepare a proper assessment of the results of the unit created to combat racketeering. The Group is, however, cognizant of the Government awareness campaign against racketeering.

109. On 15 August 2013, the Group sent a letter to Moussa Dosso, Minister of State, Minister of Employment, Social Affairs and Vocational Training, requesting access to financial files on "La Centrale", the former financial unit of the Forces nouvelles, to which no reply has yet been received. It should be noted that the Group has regularly requested this information from the Forces nouvelles, its affiliates and Ivorian authorities, since 2009.

**Investigations of possible customs fraud**

110. The Group initiated an investigation in order to analyse reports of a possible fraud scheme within the Ivorian customs administration. The Group believes that it is important to investigate and report possible fraud cases where funds may be diverted for the purchase of arms and related materiel in violation of the sanctions regime.

111. The case involved the rejection of several cheques drawn by companies engaged in customs transit operations, which would represent a loss of some CFAF 4 billion (\$8 million) in State fiscal revenues.

112. On 6 January 2014, the Group wrote to the Ministry of Economy and Finance and to the Central Bank of West African States, requesting information on this case, including the names of companies and/or individuals that may be involved in the fraud, as well as a report of the estimated loss of State revenues. The Group also requested information as to whether criminal investigations had been launched on the basis of the case.

113. On 30 January 2014, the Central Bank of West African States replied, indicating that it had no knowledge of such a case of financial wrongdoing, but that it had, however, launched immediate efforts to verify the rejection of cheques drawn in favour of the State and paid through the Bank (cheques owed to the General Directorate of the Treasury and Public Accounts, the Directorate of Taxes and Directorate of Customs). The Bank reported that it would keep the Group informed about the results of the investigation. The Ministry of Economy and Finance has yet to reply.

## **VIII. Customs and transport**

114. The Group continued the investigations it had started at the beginning of its mandate, pursuant to paragraphs 18 and 19 of resolution [2101 \(2013\)](#), to monitor potential sanctions regime violations in the country, and pursuant to paragraphs 26 and 27 of resolution [2101 \(2013\)](#), to assess the effectiveness of the actions of the Government of Côte d'Ivoire taken in response to the call by the Security Council to reduce the number of checkpoints and accelerate the deployment of customs and border control officials in the north, west and east of the country.

115. In addition, as announced in its midterm report ([S/2013/605](#)), the Group continued to identify areas regarding customs and transport where the capacities of States in the region could be strengthened to facilitate the implementation of the sanctions regime and other measures imposed by the Security Council.

### **A. Violations of the sanctions regime**

116. In paragraph 1 of resolution [2101 \(2013\)](#), the Security Council decided that all States should take the measures necessary to prevent the direct or indirect supply, sale or transfer to Côte d'Ivoire, from their territories or by their nationals, or using their flag vessels or aircraft, of arms and any related materiel, whether or not originating in their territories. In paragraph 3 of the resolution, the Council established the exemptions to those measures. The Group conducted investigations at the airport and seaport of Abidjan and observed the violations of the embargo sanctions set out below.

#### **Airport of Abidjan**

117. During its visits to the international airport of Abidjan and in cooperation with the UNOCI Integrated Embargo Monitoring Unit, the Group collected documents proving that non-lethal military equipment (bulletproof vests) had been delivered to

Côte d'Ivoire in November 2013 without notification to the Committee, which constitutes a violation of the embargo.

118. The parties involved in the case were Plasan Sasa, which sold the delivered military equipment, and Corsair, which transported the equipment to Côte d'Ivoire, as described in annexes XXV and XXVI. Côte d'Ivoire and the companies failed to notify the Committee concerning the shipment.

### **Seaport of Abidjan**

119. On 9 November 2013, the newspaper *Notre Voie* of Abidjan announced the delivery from the vessel *HHL Congo* of containers of arms and ammunition at the seaport of Abidjan on that date.

120. Subsequently, in cooperation with the Integrated Embargo Monitoring Unit, the Group investigated and collected various documents proving the transfer of military equipment, arms and ammunition to Côte d'Ivoire without the approval of the Committee, which constitutes a violation of the arms embargo. It is important to note that the relevant resolutions regulating the arms embargo on Côte d'Ivoire do not include a case such as this, in which arms and ammunition are transported through a country under United Nations embargo with a view to equipping a national military contingent in the United Nations Multidimensional Integrated Stabilization Mission in Mali (MINUSMA).

121. According to the documents collected, a consignment of 202 containers, including 3 containers of arms, ammunition and military goods and 100 vehicles, were loaded at the port of Dalian, China, by the Peacekeeping Affairs Office of the Chinese Ministry of National Defence, onto the vessel *HHL Congo*, sailing under the flag of Antigua and Barbuda, and was unloaded on 9 November 2013 at the port of Abidjan, en route to Mali, for the benefit of MINUSMA (see annexes XXVII-XXIX).

122. In connection to the above-mentioned cases of sanctions regime violations at the airport and the seaport of Abidjan, and considering that air and maritime movements of goods involve different parties, such as air freight and aviation companies, airport authorities, seaport authorities, terminal operators, cargo shippers, freight forwarders, shipping agents, customs brokers, transporters, carriers and other parties directly operating in the transport industry, the Group remains of the view that, if the recommendation made in its 2012 midterm report (see [S/2012/766](#), para. 149) had been implemented, the risks of the sanctions regime violations could have been minimized.

123. In the above-mentioned report, the Group recommend all Member States, in particular Côte d'Ivoire and its neighbours, to take all necessary legislative measures in order to allow all customs users and stakeholders, including suppliers, shippers, pre-shipment inspection companies, customs brokers, clearing agents, forwarding agents, loaders and insurers, to enforce the sanctions regime measures imposed on Côte d'Ivoire by the Security Council.

124. The Group also notes that, in this particular case, pursuant to paragraph 1 of resolution [2101 \(2013\)](#), States should have prevented the direct or indirect supply, sale or transfer to Côte d'Ivoire, from their territories or by their nationals, or using their flag vessels or aircraft, of arms and any related materiel, whether or not originating in their territories.

## **Shipment to the United Nations Multidimensional Integrated Stabilization Mission in Mali**

### **Irregularities found in the bills of lading**

125. During the examination of the documents collected, the Group noticed that the total consignment of 202 containers, including 3 containers of arms, ammunition and military goods, were registered and consigned in one bill of lading (HHLWCON021013-001), while the 100 vehicles were registered and consigned in another bill of lading (HHLWCON021013-002) (see annexes XXVII and XXVIII).

126. The consignment was also described in a letter from the local shipping agent for MINUSMA, Établissement Victoire Transit, addressed to the Commandant of the Abidjan seaport, requesting the authorization to unload (see annex XXX).

127. However, the Group discovered an additional bill of lading with the same number (HHLWCON021013-001), covering the total consignment of the three above-mentioned containers. The identification numbers of the containers on both bills of lading were the same: XJEU2013050, XJEU2013256 and TLCU2013261. The declared weights and contents were also identical: 8,484 kg of military goods, 5,202 kg of “pistol bullets, rifles and guns bullets” and 10,721 kg of ammunition, totalling 24,407 kg (see annex XXXI).

128. The same description and quantity of goods as in the above-mentioned bill of lading were also mentioned in the declaration of dangerous merchandise and the letter from the local shipper’s agent, Diamond Shipping Services, addressed to the Director General of the seaport of Abidjan, requesting authorization to unload (see annexes XXIX and XXXII).

129. The bill of lading is a document issued to a shipper (in this case, the Peacekeeping Affairs Office of the Chinese Ministry of National Defence) by a shipping company (in this case, for the vessel *HHL Congo*) and serves as evidence of the contract of carriage and as a receipt for the goods. The presence of two different bills of lading related to the same consignee (MINUSMA) and the same goods would mean that two different shipments of arms, ammunitions and equipment were sent to MINUSMA. The Group considers this as a suspicious case and will continue to investigate the matter.

### **Irregularities found in the quantities of arms, ammunitions and military goods**

130. Considering the quantities of arms, ammunitions and military goods declared in the two above-mentioned bills of lading, as well as the subsequent letters sent by the local shipper and the shipping agent of MINUSMA to Ivorian port authorities requesting authorization to unload, the Group assumes that the specific consignments of arms, ammunition and military goods concerned with the transit process to Mali were three containers for a total weight of 24,407 kg.

131. The above quantities match the description of the shipment in the letters that the local agent of MINUSMA addressed to the Ivorian Minister of the Interior and Security requesting authorization to unload the shipment of containers, including the three containers of military equipment, arms and ammunition (see annex XXXIII).

132. By contrast, UNOCI, in its letter dated 6 November 2013 to the Minister for Defence, requested and obtained authorizations for entry and transit to Mali of only

3,020 kg of military equipment belonging to MINUSMA, instead of 24,407 kg (see annexes XXXIV-XXXVI).

133. Subsequently, the Group observed that the quantity of arms, ammunition and military goods mentioned in the packing lists sent from China to MINUSMA was only 3,020 kg (see annexes XXXVII and XXXVIII). Therefore, considering the total quantity of 24,407 kg shipped from China according to the bills of lading in annexes III and VI, the Group is concerned about the missing difference of 21,387 kg of arms, ammunitions and military equipment that is not recognized as having been delivered to MINUSMA.

### **Transit procedure**

134. A transit procedure is established to monitor and prevent any possible diversion of the quantities and types of cargo crossing a country of transit to another of destination. In Côte d'Ivoire, the transit control process uses the customs checkpoints along the transit routes to neighbouring countries and an electronic global positioning system to track the progress of the containers along the routes.

135. Considering the letter of 5 November 2013 from the local agent of MINUSMA, Établissement Victoire Transit, to the Ivorian Minister for Defence requesting the defence forces to escort and secure the MINUSMA consignment up to the border post in Pogo, near Mali (see annex XXXIX), and its subsequent request addressed to the deputy customs director to avoid the established customs transit control process (see annex XL), the Group considered that the matter required further investigation.

136. In that regard, on 17 December 2013, the Group went to the customs border office in Pogo to find out whether the three containers of military equipment, arms and ammunition had crossed the border from Côte d'Ivoire to Mali. In the customs border registry, it was mentioned that a number of MINUSMA containers had crossed the border since 9 November 2013, but no arms or ammunition had been specifically registered.

137. Regarding the assessment by the Group of the possible embargo violation associated with the above irregularities, and given that the UNOCI Integrated Embargo Monitoring Unit was absent when the vessel was unloaded, as it had never been deployed to the seaports and borders of Côte d'Ivoire, the Group believes that, if the recommendations in its previous reports (see [S/2010/179](#), para. 156; [S/2012/196](#), para. 225; [S/2013/228](#), para. 337; and [S/2013/605](#), para. 175) had been acted upon, UNOCI could have efficiently performed its arms embargo monitoring mandate as mandated by the Security Council in paragraph 6 (e) of resolution [2112 \(2013\)](#).

138. The above-mentioned recommendations were for the recruitment of a number of customs officers to reinforce the UNOCI Integrated Embargo Monitoring Unit in order to efficiently monitor the arms embargo regime at the border posts, including the airport and seaport of Abidjan.

139. Therefore, after the necessary considerations, and given that the UNOCI Integrated Embargo Monitoring Unit has no capacity to ensure compliance with security requirements or to control the unloading and transit consignment flows at the seaports, airports and border posts of the country, the Group believes that arms and related military equipment intended for MINUSMA should not be allowed to

transit through Côte d'Ivoire in the future, and that alternative routes should be utilized. This is not only because of the potential high risk of diversion, but also to prevent setting a precedent regarding arms embargo violations.

## **B. Assessment of the risk of sanctions violations at official and unofficial points of entry into Côte d'Ivoire from neighbouring States**

140. In order to assess how effectively the Ivorian authorities comply with paragraph 26 of resolution 2101 (2013), the Group visited the main customs border offices and posts of Pogo, Ouangolodougou, Bondoukou, Soko, Bouna, Vankoro, Takkikro, Abengourou, Niable, Aboisso, Frambo, Noe, San Pedro, Tabou, Grabo and Prolo, bordering, respectively, Mali, Burkina Faso, Ghana and Liberia. Its observations are provided below.

### **Decrease of checkpoints on the roads and incidents of racketeering throughout the country**

141. On the roads to the different areas visited, the Group recognized the effort made by the Ivorian authorities to dismantle the illegal checkpoints observed during the first part of its mandate.

142. In that regard, the Group observed one checkpoint at the entrance to each main city, confirming the strategy conveyed by the Minister for Defence to the Group during a meeting in August 2013. The Group also observed and appreciated the significant decrease in the cases of road racketeering.

### **Unofficial points of entry into Côte d'Ivoire from neighbouring countries**

143. The common characteristics of the majority of the border areas visited are: (a) porosity, caused mostly by long distances between consecutive border control posts; (b) environmental factors, such as the forest and the waterways; and (c) the insufficient human and material capacity of the Ivorian customs administration.

144. Concerning the unofficial points of entry, the Group noted that, since the Ivorian customs administration implemented a circular on 21 June 2013 (see annex XLI) prohibiting the import of goods not originating from States members of the Economic Community of West African States, except for used vehicles, through inland border posts, the number of unofficial points of entry through which goods are smuggled has increased. The same increase can be seen with agricultural goods, which should be exported exclusively from the seaport of Abidjan.

145. Concerning agricultural resources and in particular cocoa, however, the Group acknowledges the efforts of the Government to implement measures to guarantee an acceptable price to the producer, which is allegedly higher than the one offered in neighbouring countries, in order to stop the smuggling of cocoa (see annex XLII).

146. However, to complement those measures, and to temporarily resolve the problem of personnel deficiency, customs authorities decided to reinforce border surveillance by transferring a number of patrol brigade officers from Bondoukou and Bouna in the north, where the cashew nuts harvest was expected over the following two months, to Abengourou, Niable and Takkikro in the west, where the cocoa harvest had started. The reverse reinforcement was also planned from the west to the north at the beginning of the cashew nut harvest, in February.

147. The Group appreciated the measures to circumvent the smuggling of agricultural resources and observed the increase in agricultural products exported in 2013 (see annex XLIII).

#### **Official point of entry into Côte d'Ivoire from neighbouring countries**

148. The common characteristic of official Ivorian customs border posts is the presence of FRCI personnel at the front line, police and gendarmerie personnel at the second line and customs personnel last, in contravention of customs legislation, which recognizes the customs administration at the front line.

149. When questioned about that observation, customs authorities alleged that it was understandable given: (a) the past security situation in the country; (b) the deficiency of customs staff, especially paramilitary armed customs brigades, and equipment and materiel; and (c) the closure of some posts on the border with Liberia for security reasons.

150. The Group was also informed that the most smuggling operations occur during the night, since customs personnel are not currently armed and given the fact that there are no customs border patrols at night while the customs border posts are entirely under FRCI control.

151. In addition, and considering that national and regional (ECOWAS) customs legislation still allows arms and ammunition to be imported under the approval of competent authorities, it is clear that there is substantial risk of sanctions violations at the official points of entry into Côte d'Ivoire.

152. The Group visited for the second time during this mandate the region of Aboisso to assess the progress of the situation in Noe, and particularly in the village of Saikro, where there has been major smuggling of goods from Ghana.

153. From different independent sources, the Group learned that, owing to a good collaboration between the customs officials in Noe and the FRCI zone commander, the smuggling of imported goods from Ghana has substantially decreased in Noe and completely stopped in Saikro, despite the deficiency in customs staff and equipment.

154. On the night of 7 February 2013, however, a group of FRCI individuals launched an armed attack on customs staff accommodation and the warehouse in which goods seized from FRCI smugglers at the Noe border post were stored. The FRCI and the customs staff informed the Group that four FRCI individuals and two civilians who were involved in the attack were arrested. Nevertheless, the investigation is in progress, given that some irregular FRCI individuals are still involved in the smuggling of goods from Ghana, and almost 50 per cent of FRCI members in the area are not officially integrated.

155. Concerning the inter-agency difficulties at the border, the Group believes that there is a need for supervision to coordinate the specific mandates and roles of the different Ivorian border agencies in order to remove unnecessary barriers to trade for economic growth and social development.

#### **Customs deployment in the country and re-establishment of control operations**

156. A total of 2,000 ex-combatants from the disarmament, demobilization and reintegration programme completed their customs training and were waiting to be

deployed to make up the shortfall in customs personnel. They had been assigned to start a six-month internship programme on 17 February 2014, but that schedule was postponed in order to check that their education documents conformed with government human resources requirements.

157. Concerning the lack of customs material and equipment, the Group observed when visiting the borders, particularly Frambo, Takkikro, Bouna, Vankoro, Soko, Grabo and Prolo, that none of the customs premises, including the buildings intended for staff accommodation, had been repaired since they were damaged during the war and still lacked basic equipment and material, a situation that delays the deployment of customs personnel to the borders.

158. With regard to the above-mentioned concern, and pursuant to paragraph 27 of resolution 2101 (2013), the Group learned that, in 2012, UNOCI initiated a consolidated security sector reform project estimated at around \$4.7 million to assist the Government in re-establishing and reinforcing the Ivorian police, prisons and customs institutions, and equipment and material had been purchased for that purpose (see annexes XLIV-IL) that were currently stored in containers at the UNOCI demobilization centre in Anyama, near Abidjan.

159. However, UNOCI did not release to the Ivorian customs the material and basic equipment purchased for this assistance, a situation that is delaying the deployment of customs personnel and the re-establishment of normal customs and border control operations, as customs officials acknowledged to the Group.

160. The port of San Pedro, despite being officially open for the import of goods from abroad according to a customs circular (see annex XLI), is still lacking a container-scanning service, which is important to countering the risk of sanctions violations.

161. During the reporting period, the container-scanning service was suspended at the port of Abidjan. The Group investigated and observed that the suspension period was from 1 July 2013 to 6 August 2013 (see annexes L and LI). The Group verified that, during the suspension period, all of the containers assigned to be scanned by the risk management computer system had been verified manually to ensure that the risk of smuggling was considerably minimized.

### **C. Strengthening regional customs administrations**

162. The implementation of the arms embargo and other measures imposed by the Security Council requires Member States, particularly neighbouring States, to monitor and enforce the related measures and decisions, for example, through surveillance, data-collection, inspection and the examination of alleged violations.

163. The Group recognizes that, in general, customs administrations are the appropriate institutions to implement and monitor Security Council decisions and other sanctions measures targeting the movement of goods and persons.

164. The Group considers that regional customs administrations and the agencies responsible for the customs function are best suited to implement the arms embargo and other measures imposed by the Security Council on Côte d'Ivoire.

165. In that regard, in November 2013, the Group met with Ivorian customs authorities and the head of the World Customs Organization (WCO) Regional Office

for Capacity-building for the West and Central Africa Region, and tried unsuccessfully to meet with ECOWAS regional officials in Abidjan, to discuss the ways to reinforce the regional Member States customs administrations to enhance the implementation of the arms embargo and other measures imposed by the Security Council.

166. From different consultations and considerations, the Group is of the view that the main challenges for the regional customs administrations in terms of the implementation of the sanctions regime and other measures imposed by the Security Council on Côte d'Ivoire are the following:

- The long maritime border, waterways and forestry environment that make it difficult to detect illegal border crossing
- The large distances between customs border posts in Côte d'Ivoire and neighbouring States, thus increasing the permeability of the borders owing to the lack of coordinated joint measures for the surveillance of the border area
- The lack of legal mechanisms to enforce the Ivorian sanctions regime in the respective customs legislations of the regional States
- The lack of skilled capacity and equipment of the regional customs administrations to monitor the maritime and inland borders

167. Considering the above-mentioned challenges, the Group considers that the ECOWAS customs union project, which aims to remove all customs borders between its member States, could have a significant impact on the border environment of the region and enhance the implementation of the Security Council sanctions regime.

168. However, considering the importance of the maritime container traffic in the West Africa region and the fact that containers are commonly used as a means of transporting various prohibited goods to the global markets, the Group believes that the WCO Coordinated Border Management concept, an approach to improve the efficiency of border control agencies in managing trade and travel flows while maintaining a balance with security requirements, the WCO Framework of Standards to Secure and Facilitate Global Trade and the joint WCO/United Nations Office on Drugs and Crime (UNODC) Container Control Programme are the most effective and relevant international programmes for cooperation in West Africa to limit the risk of containerized shipments being used in violation of the Security Council sanctions regime imposed on Côte d'Ivoire.

169. In that regard, WCO is active in encouraging bilateral and multilateral technical assistance programmes to build such national or regional capacity in accordance with high international standards.

## **IX. Diamonds**

170. The Group maintains the view, as expressed in its 2013 midterm report, that the measures and restrictions imposed by the Security Council in its resolution [1643 \(2005\)](#) and further reiterated in resolution [2101 \(2013\)](#), still do not prevent the trafficking of Ivorian rough diamonds.

171. Artisanal diamond production in the mining areas of Séguéla and Tortiya is ongoing. The Group was able to trace the trading networks in Séguéla that purchase and illicitly export rough diamonds through neighbouring States.

172. The Group is able to confirm that the main diamond traders in Séguéla make payments to FRCI elements under the command of a captain known as “Delta” and a lieutenant Djomane Ayba, both lieutenants of former zone commander Wattao, in order to be allowed to trade and illicitly export rough diamonds. The Group collected strong evidence indicating that payments from the sale of Ivorian rough diamonds from Séguéla, which are entirely cash-based and unregistered, continue to be used to support FRCI elements under the control of Wattao. Furthermore, the Group is concerned that the funds may be used for the purchase of arms and related materiel in violation of the sanctions regime.

173. The Group has gathered information indicating that a portion of the Ivorian diamond production is sent to international trading, cutting and polishing centres directly through Abidjan international airport. The Group remains particularly concerned about the practice by some Directorate of Territorial Surveillance agents at the airport, which the Group has observed, of escorting private individuals directly from check-in desks to passenger aircraft, bypassing security or customs controls, for the payment of a fee.

174. The Group is particularly concerned about the lack of controls on rough diamond traders active in the Treichville and Cocody markets in Abidjan. The Group has directly observed that anyone can approach rough diamond traders and purchase rough diamonds without any certification, which also constitutes a violation of Ivorian legislation.

175. As Côte d’Ivoire does not have diamond-polishing capability, the purchase of uncertified rough diamonds poses a significant risk of sanctions violations because they could be mixed in with rough diamonds from certified Kimberley Process participants.

## **A. Description of the methodology used for diamond investigations**

176. The Group maintained a constant presence in the diamond-mining areas of Tortiya and Séguéla over the course of its mandate.

177. The Group conducted 238 interviews with 94 individuals in Séguéla and its diamond-producing sites in Bobi, Diarabana and Toubabouko, as well as in Tortiya, over the course of its mandate.

178. The interviewee sample comprised both registered and unregistered diamond miners, mining team leaders, small buyers and intermediaries and main buyers in order to gain the broadest possible array of views and perspectives that could be adequately cross-checked and verified regarding the structure and activities of the diamond sector in Côte d’Ivoire.

179. The Group held interviews mainly from late October 2013 to the end of February 2014, as this is the period in which digging and washing operations are at full activity with the resumption of the dry season, which makes it possible to assess the full scale of diamond operations in the field. Furthermore, the Group managed to obtain unhindered access to the diamond-producing areas.

180. The Group has developed interview protocols in order to obtain coherent and comparable information from the people contacted. Contact with interviewees was made in the form of conversations in order to get the most open and unbiased replies possible.

181. This methodology has allowed the Group to produce credible evidence, despite a trading environment in which transactions are exclusively cash-based and thus do not leave written traces.

## **B. Diamond production in Côte d’Ivoire and the role of Société pour le développement minier de la Côte d’Ivoire**

182. Field visits and aerial surveys performed by the Group have shown that artisanal diamond production in the mining areas of Séguéla and Tortiya is proceeding at the same rate as in the past two years.

### **Diamond mining in Tortiya**

183. A limited amount of artisanal diamond miners are currently active in Tortiya. The miners wash and reprocess the gravel left over from the industrial exploitation of Tortiya that ended in the 1970s.

184. The activity of diamond miners in Tortiya is pre-financed by traders active in Korhogo, who provide diamond miners with tools and food and an income of CFAF 2,500 a week. The average monthly income of most diamond miners is estimated at CFAF 15,000 a month, which has driven many diamond miners to revert to gold mining in the neighbouring areas, an activity considered still more profitable in spite of the decrease in the market price of gold.

185. The Group was able to gain access to data collected by the former industrial mining company, Société de recherche et d’exploitations minières en Côte d’Ivoire (SAREMCI), in Tortiya, showing that the river Bou has potential diamond placer deposits along its fossil course, at a depth of 4 to 6 metres. The same expertise estimates tenure of 0.5 carats of diamonds per ton of gravel, with a dominance of small, rounded stones. According to former SAREMCI geologists in Tortiya, the semi-industrial exploitation of diamonds by dredging the river Bou along its fossil course is both technically and financially feasible.

186. The Group collected reports about foreign nationals allegedly from different European Union States observed in Korhogo bringing semi-industrial dredging equipment. According to the reports, the individuals have dredged the river Bou at random places to remove and process large quantities of gravel in a short time. The operations lasted a week on average. The Group could indeed see traces of mechanical dredging along the river and is pursuing its investigations in Korhogo and Tortiya to collect more information.

187. The Group is of the view that, while traditional artisanal diamond exploitation in Tortiya is dwindling, the site still has a considerable potential for semi-industrial exploitation. The Group therefore remains concerned by the reports and evidence of mechanical dredging, which can produce substantial yields in rough diamonds that can be smuggled by the aforementioned individuals in violation of the diamond embargo.

### **Diamond mining in Séguéla**

188. Field visits and aerial surveys by the Group have shown reduced activity at the traditional dykes of Bobi and Diarabana and a strong increase in operations in Toubabouko (see annex LII).

189. Increasing numbers of diamond miners are being registered by Société pour le développement minier de la Côte d'Ivoire (SODEMI) and issued mining operator cards, in accordance with the procedure detailed in the Group's midterm report (see S/2013/605, para. 116). The registered miners usually act as leaders of teams of three to five people, on average.

190. Mining teams are pre-financed by traders, locally called "sponsors", who provide them with the tools to operate (including water pumps in some cases) and an allowance of CFAF 2,500 (roughly \$5) per week per mining team member. In return, mining team leaders must normally sell the diamonds they find to the respective sponsor.

191. Sales of diamonds from a sponsored mining team to other buyers are tolerated if the miners and their sponsor fail to reach an agreement on the purchasing price. Nonetheless, the Group has observed that buyers in Séguéla tend to align their purchasing prices with each other, so that mining teams do not have a real incentive in selling rough diamonds to buyers other than their sponsors. The Group has gathered evidence that most of the sales outside the sponsoring network involve buyers that do not belong to the trading community in Séguéla.

192. People contacted by the Group have confirmed that the diamond sector in Séguéla is dominated by a general mistrust among its actors that is locally called "*fadenya*", or jealousy. That has contributed to create a closed network where members of the mining community in Séguéla are allowed to operate almost exclusively on a regular basis.

193. Buyers that are not part of the closed circuit established in Séguéla operate either through the intermediary of a community member or conduct only spot purchases of rough diamonds, but not on a regular basis.

### **Financing of diamond operations in Séguéla**

194. The *fadenya* is particularly used to mask the financial aspects of the rough diamonds trade. Trading in rough diamonds in Séguéla is exclusively cash-based, as it is the pre-financing of diamond operations by traders and main buyers. A large majority of the traders contacted by the Group confirmed that they operate several side businesses, such as the trading of commodities, in order to build reserves of cash to purchase diamonds in Séguéla.

195. Besides the aforementioned revenue-generating activities, most of the traders and middlemen contacted by the Group in Séguéla are financed by bigger buyers, who collect the rough diamonds from several lower-level buyers.

196. The Group has identified three Malian nationals, "El Hadj" Thiam, Abdoul Kone (also known as "Petit Abdoul") and a Mr. Touré (also known as "Touré Orange"), as the bigger buyers of rough diamonds and financers of mining operations in Séguéla.

197. The Group has collected evidence that the three aforementioned Malian bigger buyers are in turn financed by another Malian buyer, who thus collects the bulk of rough diamond production from Séguéla. According to the information collected by the Group, the aforementioned Malian buyers operate at a proxy level to pretend that the rough diamond market in Séguéla is not under the control of a single individual.

198. Investigations by the Group identified a Malian national residing in Séguéla, Sekou Niangadou (also known as “Petit Sekou” or “Sekou Tortiya”), as the main financier of diamond operations in Séguéla. Mr. Niangadou has already been cited in a previous report of the Group of Experts (see [S/2006/964](#), paras. 44-46) without generating any kind of response by the Ivorian authorities, including after the redeployment of the State administration and SODEMI in Séguéla in 2011. The identity card of Mr. Niangadou as documented in that report is also provided in annex LIII.

199. The system put in place by Mr. Niangadou is based on three pillars, the main one being the trust between him and his collaborators and field agents. The other pillar rests on the *fadenya*, which allows Mr. Niangadou to be constantly informed of activities in the diamond sector in Séguéla, including when some affiliate is trying to sell diamonds outside the closed network. Finally, Mr. Niangadou is able to raise cash from an extended network of commodities trading and from financing by external partners in Mali and Guinea. Mr. Niangadou is the biggest importer of motorcycles in northern Côte d’Ivoire and manages several trading offices for agricultural products.

200. From Séguéla, Mr. Niangadou travels on average twice a month to Mali (Bamako), Guinea (Banankoro, Macenta and Conakry) and Liberia (Monrovia), to smuggle rough diamonds out of Côte d’Ivoire. That fact has further been confirmed by Mr. Niangadou’s trading partners and agents, whom the Group met in Bamako, Banankoro and Macenta.

201. When contacted by the Group, Mr. Niangadou personally explained the system, admitting that he introduces rough diamonds from Séguéla to his buying offices in Guinea and Liberia in order to obtain Kimberley Process certificates of origin.

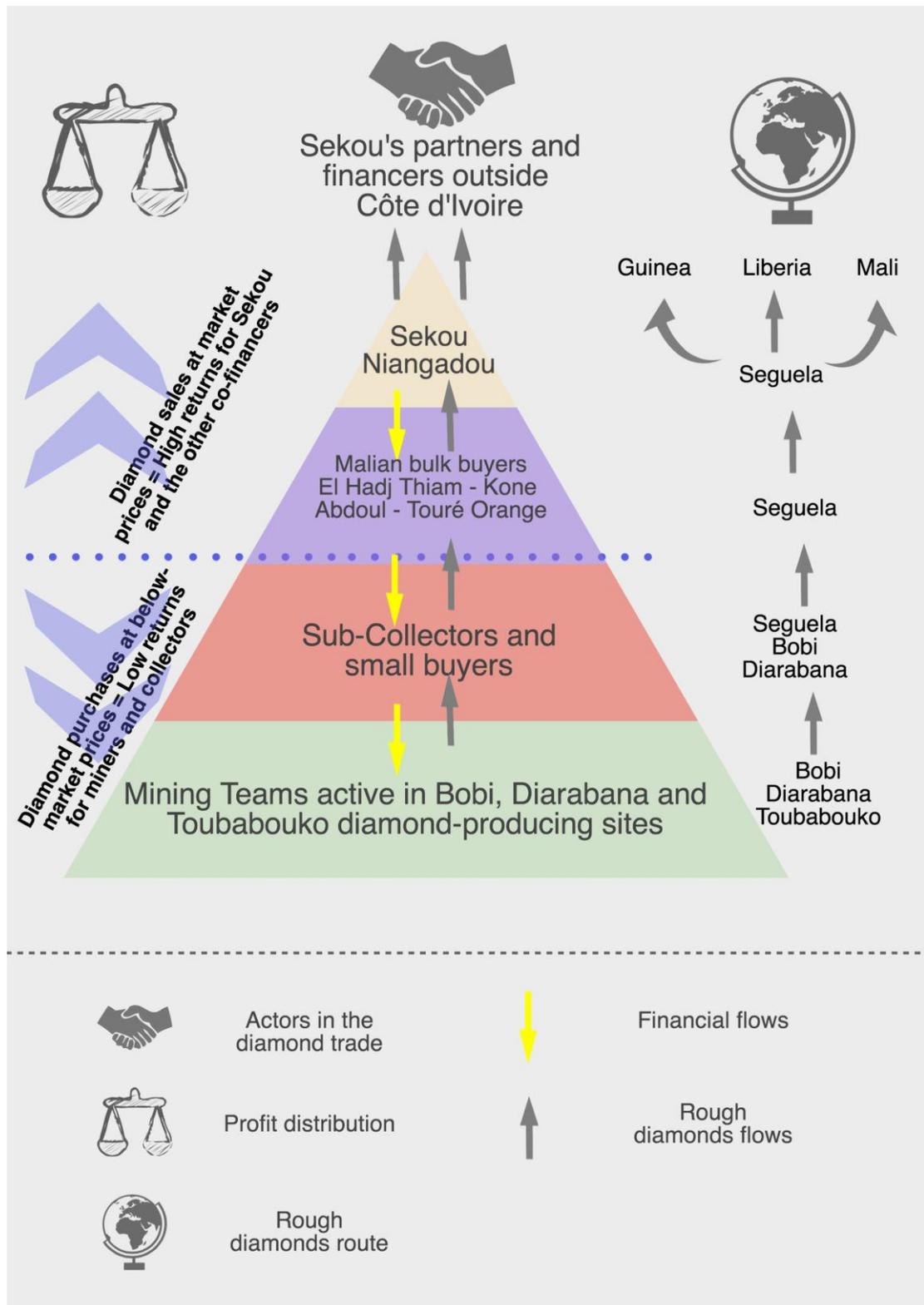
202. The Group visited the diamond producing and trading areas mentioned by Mr. Niangadou in Guinea and Liberia and was able to confirm that his affiliates there are able to introduce diamonds smuggled from Séguéla in the Guinean and Liberian production, eventually obtaining Kimberley Process certificates, which are labelled as rough diamonds of Guinean or Liberian origin. Once the certificates are received, conflict diamonds from Côte d’Ivoire are available to be sold on the legitimate international market.

203. Mr. Niangadou has also informed the Group that, in order to operate freely as a monopolist in Séguéla, he pays a share to the security forces in the area, which are under the control of the lieutenants of former zone commander Wattao. He informed the Group that, for the established rough diamond trading and smuggling system to work properly, it was important that the security situation in Séguéla remained calm, not to attract external attention to the region.

204. Mr. Niangadou has revealed that he also smuggles rough diamonds to Mali, where his biggest trading partners and financiers are based. The Group met with the

Malian partners of Mr. Niangadou in Bamako and discovered that they operate diamond-purchasing operations in several African countries, including Angola, the Central African Republic, Zimbabwe, and in the other diamond-producing areas in West Africa (see figure II).

Figure II  
Structure of rough diamond trade in Séguéla



### **The role of Société pour le développement minier de la Côte d'Ivoire and of the Regional Directorate of Mines**

205. Both the State-run diamond company SODEMI and the Regional Directorate of Mines have been redeployed and are active in Séguéla, although SODEMI is still in the process of resuming activities in its original premises in Bobi.

206. SODEMI has been designated as the implementing agent for the registration of diamond miners and traders under the Kimberley Process and is carrying out that task.

207. The Group has noted a widespread perception among interviewees that SODEMI and the Regional Directorate of Mines defend the interests of the main buyers and financiers rather than those of the mining communities.

208. The frustration among miners and small traders towards SODEMI and the Regional Directorate of Mines, as well as the absence of mechanisms for mining communities to air their grievances, led most interviewees to accuse the structures of being at the service of large diamond buyers and financiers.

209. The Group remains of the view that the presence of SODEMI and the Regional Directorate of Mines in Séguéla is paramount to ensuring vigilance on the Ivorian diamond-trading chain, and is concerned that their credibility can be called into question by the lack of contact with the mining communities.

210. The Group thus invites the aforementioned institutions to invest in an outreach plan to clearly explain their functions to all of the stakeholders in the diamond community in Séguéla, as well as in an open and accessible forum that would allow mining communities to air their grievances and manage their expectations.

### **C. Ministry of Industry and Mines**

211. The Ivorian Ministry of Industry and Mines has developed and shared with the Group a five-point strategy to reinforce its oversight over the chain of custody for rough diamonds. The five areas of intervention are the following:

- (a) Continued implementation of the Kimberley Process Certification Scheme;
- (b) Capacity-building in rough diamond valuation;
- (c) Implementation and development of measures to combat fraud;
- (d) Establishment of legally constituted diamond-buying houses committed to stockpiling;
- (e) Regional harmonization.

212. The Group has invited Côte d'Ivoire to provide details on how it intends to ensure the sustainability of the validation plan for rough diamonds, particularly the second point of the above-mentioned transitional strategy.

213. The Group is concerned by the possibility that, once trained, the elements of the rough diamonds validation structures, most notably the rough diamond evaluators, could wish to offer their highly specialized skills to the private sector rather than remain in the national administration.

214. The Group has also asked the Ministry of Industry and Mines to clarify how it intends to ensure the necessary continuous training and update the skills of the rough diamond evaluators, as well as maintain and update the required machinery.

215. The Government of Côte d'Ivoire hosted a workshop from 4 to 6 March 2014, which included a section on strengthening the capacity of law enforcement officials in the Mano River Union to combat fraud in the diamond sector, in accordance with the third point of the transitional strategy.

216. The Group considers the implementation of the measures to combat fraud in the rough diamonds sector to be of paramount importance in securing the chain of custody of Ivorian rough diamonds.

217. To those ends, the Group invites the Ivorian authorities to go beyond the workshop by developing a comprehensive training and sensitization programme on measures to combat fraud in the rough diamond trading chain and to effectively implement steps to secure the chain of custody of rough diamonds for the national enforcement bodies.

218. The Group agrees on the absolute necessity of extending the chain of custody of Ivorian rough diamonds to the buyer level, in accordance with the fourth point of the transitional strategy presented by Côte d'Ivoire.

219. The Group considers that the Ivorian authorities should implement the highest due-diligence standards regarding the financial affiliation and beneficial ownership of individuals and/or companies applying for permits to operate as diamond-buying houses. In particular, the due-diligence standards should focus on the following elements:

- Individuals applying for permits to operate as diamond-buying houses shall have a solid banking record and be able to prove the origin of the funds at their disposal
- Companies applying for permits to operate as diamond-buying houses shall present a complete list of shareholders
- Individuals and companies applying for permits to operate as diamond-buying houses shall undergo thorough police, credit and fiscal background checks in order to be cleared as eligible to operate a diamond-buying house
- Individuals and companies applying for permits to operate as diamond-buying houses shall undertake only to perform traceable transactions using cheques or bank transfers for any sum exceeding \$1,000 (or equivalent), in accordance with internationally accepted anti-money-laundering legislation
- Individuals and companies applying for permits to operate as diamond-buying houses shall undertake to transmit trade statistics to the relevant authorities on a regular basis

220. The aforementioned due diligence shall ensure, inter alia, that permits to operate diamond-buying houses are not granted to individuals and/or companies responsible for violations of the United Nations diamond embargo, including but not limited to Sekou Niangadou, Abdoul Kone, El Hadj Thiam and Kone Orange, nor to individuals and/or companies directly or indirectly linked to the former Forces armées des forces nouvelles control structure in Séguéla.

221. Ivorian authorities should put particular emphasis on due-diligence measures in order to prevent the aforementioned individuals and/or companies from accessing the legal rough diamond market and from laundering money coming from the undue control over diamond operations and/or producing areas.

#### **D. Countries of interest**

222. The Group has extensively travelled by road through Côte d'Ivoire and its neighbouring countries, in order to gather first-hand information on the feasibility of diamond smugglers crossing the international borders unnoticed.

223. The Group has observed and interviewed those who went through the transit control operations found at international border points and internal checkpoints and has conducted interviews to obtain information on whether the content of cars is inspected by customs and other security agents.

224. In none of the international and internal control points observed by the Group during its mandate was the content of vehicles subject to inspection. The Group could thus conclude that it would be feasible for individuals to carry rough diamonds across the borders between Côte d'Ivoire and its neighbours unnoticed.

##### **Burkina Faso**

225. Burkina Faso is currently the only country bordering Côte d'Ivoire that is not a Kimberley Process participant.

226. Investigations by the Group, including in Burkina Faso, have not permitted it to gather evidence that rough diamonds are currently being smuggled out of Côte d'Ivoire through Burkina Faso.

227. The Group nevertheless invites Burkina Faso to remain vigilant and to reinforce border and customs controls along the shared border with Côte d'Ivoire.

##### **Ghana**

228. Investigations by the Group have allowed it to trace the activities of a network set up by Abie Zogoé Hervé-Brice and Stephane Kipré, both Ivorian nationals but operating through Ghana, to finance the activities of armed groups loyal to the former Ivorian President, Laurent Gbagbo.

229. Elements gathered by the Group suggest that the aforementioned network has not been in a position to operate freely from Ghana since the end of 2012. The Group links this event to the enforcement by Ghana of measures to prevent such violations of the United Nations sanctions regime from its territory and invites Ghana to remain vigilant on the matter.

230. The Ghanaian authorities contacted by the Group, as well as the Ghanaian banks, have in most cases replied promptly to the requests for information. However, the banks cited confidentiality obligations according to Ghanaian legislation that prevented them from providing information to the Group.

**Guinea**

231. The Group visited the diamond-producing districts of Banankoro, Kerouané and Macenta in Guinea. The Group met with the police authorities of Kerouané and Banankoro (*Préfet* and *Sous-préfet*) and the authorities in charge of enforcing the diamonds chain of custody, the Comité de gestion de l'exploitation artisanale du diamant (COGEAD) and the Bureau national d'expertise et evaluation des pierres et matières précieuses in Banankoro and had the chance to interview several diamond traders in all three places.

232. COGEAD in Banankoro is responsible for issuing certificates of origin for the entire Guinean rough diamond production (see annex LIV). The certificates of origin issued by COGEAD feed the entire Kimberley Process certification chain in Guinea.

233. The Group was informed by the COGEAD officers about their lack of essential means to duly fulfil their diamond certification mandate. Most notably, COGEAD agents lack the capacity to access most of the active mining sites, as well as to produce tamper-proof certificates of origin (the certificates appended to the present document are simple A4 sheets of paper printed with a regular printer and then signed and stamped by COGEAD).

234. COGEAD communicates relevant data, in the certificates of origin, issued to the authorities in Conakry over the telephone, since they do not have an Internet connection, which further limits the ability to cross-check and verify data coming from the mining areas by the downstream Kimberley Process certification agents.

235. The Group is thus in a position to conclude that the first step in the Guinean rough diamond certification chain is vulnerable to contamination by diamonds of non-Guinean origin.

236. The Group spoke with several diamond traders in Banankoro, Macenta and Kerouané, who all admitted to working for or with Sekou Niangadou and presented several rough diamonds described as originating from Séguéla. These findings corroborate the admissions made by Mr. Niangadou to the Group that he had the capability of smuggling Ivorian rough diamonds to Guinea.

**Liberia**

237. The Group met with representatives from the Government Diamond Office, responsible for the implementation of the Kimberley Process in Liberia, at the Ministry of Lands, Mines and Energy in Monrovia.

238. The Government Diamond Office informed the Group that, following the recommendation of the last Kimberley Process review visit to Liberia, from 18 to 27 March 2013, it was working to strengthen the control mechanisms on Liberian rough diamonds, especially in the producing areas.

239. Nevertheless, the Liberian authorities informed the Group that, with the rough diamond evaluation tools currently in use in Liberia, it would be extremely difficult to detect in Monrovia the presence of rough diamonds from Séguéla mixed with a parcel of rough diamonds originating from Liberian production sites.

240. The Group has established information-sharing mechanisms with the Panel of Experts on Liberia to remain alert about the evolution of the rough diamond sector in Liberia that is relevant to the situation in Côte d'Ivoire.

### **Mali**

241. Mali, which has a limited known diamond production, became a Kimberley Process participant in July 2013 and was expected to submit data on rough diamonds to the Kimberley Process by the first quarter 2014.

242. The Group met with the director of the structure responsible for putting in place the Kimberley Process systems of controls in Bamako, the National Bureau for Expertise and Certification of Rough Diamonds.

243. The director informed the Group that Mali had submitted a template of the Kimberley Process certificate to be validated by Process officials and had requested assistance on how to implement a Process-compliant chain of certification for rough diamonds in Mali.

244. The director informed the Group that Mali would not issue Kimberley Process certificates until it developed its own national production of rough diamonds. Rough diamonds traded in Mali must thus already be accompanied by a valid Kimberley Process certificate of origin from the originating country.

245. The Group remains concerned about the lack of controls by Malian authorities on the activities of rough diamond traders in the Artisanal and Grand Marché areas of Bamako. The Group was able to have access to the Malian partners of Mr. Niangadou and could see diamonds presented as originating from Séguéla.

### **Sierra Leone**

246. Sierra Leone, a Kimberley Process participant, has replied to the requests for information by the Group that were presented in its midterm report (S/2013/605).

247. The Group invites Sierra Leone to actively participate in regional harmonization activities in the framework of the Mano River Union and ECOWAS and to retain the highest vigilance to ensure that no rough diamond intakes from Côte d'Ivoire can infiltrate its national diamond trading chain.

### **United Arab Emirates**

248. The Group visited the United Arab Emirates, in the framework of its investigations about the due-diligence measures in place in the United Arab Emirates, especially at the Dubai Multi-Commodities Centre, to comply with the United Nations sanctions regime.

249. The Group was informed that companies and/or individuals requesting a licence to trade in gold and/or diamonds in the United Arab Emirates must be registered by the relevant authorities and provide proof of their technical competency and financial solvency to operate in the gold and/or diamond sectors. Furthermore, a compliance department performs a background check on companies and/or individuals requesting licences to trade in gold and diamonds.

250. The United Arab Emirates authorities further informed the Group that the Dubai Multi-Commodities Centre is part of the OECD multi-stakeholder group on

due diligence in the procurement of natural resources that holds a teleconference every two weeks. The authorities of the United Arab Emirates pointed out to the Group their concerns regarding the lack of participation in those teleconferences of African diamond-producing countries as well as China, India and the Russian Federation.

251. The Group has nevertheless found that several traders in the souk area of Dubai sell rough diamonds that are not accompanied by Kimberley Process certificates of origin. The Group is particularly concerned by this finding and invites the relevant authorities of the United Arab Emirates to enforce the due-diligence measures imposed by the Kimberley Process with respect to the international trade of rough diamonds.

252. The Group has also observed boats in the Deira port of Dubai unloading goods not accompanied by customs or other documentation. The Group is concerned by this lack of vigilance, which could be used to infiltrate Dubai with rough diamonds from Côte d'Ivoire.

## **E. International initiatives relevant to the Ivorian diamond sector**

### **Kimberley Process Certification Scheme**

253. The Group, as mandated by paragraphs 23 and 24 of resolution [2101 \(2013\)](#), has cooperated closely with the Kimberley Process during the course of its mandate and has followed closely the progress of Côte d'Ivoire towards meeting the minimum standards required by the Kimberley Process.

254. The Group attended the Kimberley Process plenary meeting in Johannesburg, South Africa, in November 2013, and has worked with the Kimberley Process Working Group of Diamonds Experts towards lifting the Kimberley Process administrative barriers that forbade rough diamonds of supposedly Ivorian origin, in the custody of Malian customs since 2007, to be sent abroad for scientific footprinting and fingerprinting.

255. The Group takes note that the Kimberley Process plenary meeting has recognized that Côte d'Ivoire has fulfilled the minimum Kimberley Process requirements as possibly could be achieved under the United Nations embargo.<sup>4</sup> The Kimberley Process plenary has thus expressed that it is in favour of lifting the aforementioned sanctions to allow Côte d'Ivoire to complete the reform of its rough diamond sector and rejoin the legitimate rough diamond trade.

256. The Group considers that the Kimberley Process is the technical body providing assistance to Côte d'Ivoire and its neighbouring States in implementing solid chains of custody of rough diamonds.

257. Nevertheless, Kimberley Process officers with whom the Group met in Johannesburg and Brussels who are in charge of the Côte d'Ivoire dossier have informed the Group that the Kimberley Process does not have the mandate to look into whether the current system of pre-financing of rough diamond operations in Côte d'Ivoire by individuals and organizations linked, directly and indirectly, to

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<sup>4</sup> See [www.kimberleyprocess.com/en/system/files/documents/Johannesburg%20Plenary%20Communique%202013.pdf](http://www.kimberleyprocess.com/en/system/files/documents/Johannesburg%20Plenary%20Communique%202013.pdf).

Wattao has had or has the potential to generate undue revenues that can be used to support FRCI elements under his control.

258. Likewise, the aforementioned Kimberley Process officers have also informed the Group that the Kimberley Process has no mandate to control or influence the distortions of prices imposed by the pre-financers of rough diamond operations, such as Mr. Niangadou and his partners, to miners and small buyers in order to gain higher profits from the export of rough diamonds at international market prices. The Group has documented in the previous paragraphs that the profits gained by Mr. Niangadou and his partners, through the unlawful control of the rough diamond market in Séguéla, have also provided support to the FRCI elements under the control of Wattao.

259. The Group has sent a letter to the Kimberley Process detailing its concerns and inviting it to discuss with the Group how to best address those concerns.

### **Extractive Industries Transparency Initiative**

260. In its midterm report (S/2013/605), the Group recommended that the Extractive Industries Transparency Initiative reports from Côte d'Ivoire include information on reconciliation of data on the diamonds sector.

261. The Group met with the Ivorian Extractive Industries Transparency Initiative secretariat in January 2014 to discuss the inclusion of reconciled data on diamond production and sales coming from SODEMI and the Ministry of Industry and Mines in the annual report of the Initiative. The Extractive Industries Transparency Initiative informed the Group that it was in the process of drafting the terms of reference for the reconciliators and validators of the next Ivorian report of the Initiative and would consider including the recommendation of the Group in the new terms of reference.

262. The Group considers that publishing the reconciliation of this data would advance efforts towards full transparency in the diamond-trading chain, which would further strengthen the controls on rough diamond trading put into place by the Kimberley Process.

### **Friends of Côte d'Ivoire**

263. During the course of the present mandate, the Group has been the recipient of several requests from members of the Friends of Côte d'Ivoire<sup>5</sup> to support the lifting of the diamonds embargo, mainly on the basis of the assumption that not doing so would disrupt the political will demonstrated so far by the Ivorian authorities in implementing measures to comply with the minimum Kimberley Process requirements.

264. The Group has no particular concerns regarding proactive initiatives of the Friends of Côte d'Ivoire towards the lifting of the diamonds embargo. Nonetheless, the Group would like to invite them, as well as other actors, to avoid confusion between the nature and the mandate of the Group, which reports to the sanctions

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<sup>5</sup> The members of the Friends of Côte d'Ivoire are the embassies of Belgium, Brazil, Canada, South Africa, the United Kingdom of Great Britain and Northern Ireland and the United States of America, as well as the European Union representative office in Côte d'Ivoire, the Kimberley Process focal point and the Groupe de recherché et de plaidoyer sur les industries extractives.

committee on purely technical considerations, and the political role of the sanctions committee itself.

265. The Friends of Côte d'Ivoire has engaged in a series of capacity-building projects involving cross-border cooperation among States in the region, to strengthen the capacity of those States to enforce credible controls on the diamond-trading chain. The Group appreciates this multiphase regional approach by the Friends of Côte d'Ivoire and encourages it to pursue regional and cross-border capacity-building in order to address the issues of substandard statistics and control systems pointed out by the Kimberley Process.

## F. Diamond revenues

### Diamond revenues from Séguéla operations

266. Investigations by the Group in Séguéla allowed it to trace back the evolution of baseline diamond prices paid to the miners and the distribution of added value among the different actors operating along the diamond-trading chain, *Groupements à vocation cooperative* (see S/2008/598 and S/2011/271), collectors and exporters over the time, starting prior to the advent of the politico-military crisis in Côte d'Ivoire in 1999.

267. Before 1999, profits along the diamond value chain were shared equally among miners, *Groupements à vocation cooperative*, traders and exporters.

268. When the Forces armées des Forces nouvelles (FAFN) took control of Séguéla in 2002, thus before the introduction of the United Nations sanctions on rough diamonds, it operated in three directions to maximize profit margins at the expense of the other actors in the diamond value chain. Firstly, FAFN took control of mining operations and drastically reduced the baseline price that was granted to the miners. Secondly, it took over completely the role and related share of profits in the trading chain of the *Groupements à vocation cooperative*. Lastly, FAFN taxed the other downstream actors (traders and exporters), further increasing profits. It must be noted that the exporters were those who suffered the smallest erosion of their profit margin with the introduction of the FAFN system, as they remained those who pre-financed all the operations and had access to cash.

269. The FAFN "profit-sharing" scheme was unaffected by the imposition of the United Nations diamond embargo in 2006.

270. After 2011, FAFN was nominally replaced by FRCI, although the chain of command remained unchanged. The financiers of diamond operations (the exporters) maintain baseline prices paid to the miners at FAFN levels, using the argument that the embargo prohibited the export of diamonds. With the same pretext, the exporters also reduced the share of the profit that was paid before to the traders, while the share paid to the security forces, now FRCI, remained unchanged. Since Mr. Niangadou and his partners are continuing to export Séguéla diamonds at market prices, their profit margins have greatly increased at the expense of miners and small buyers.

271. The Group thus concludes that the responsible factor for the loss of livelihoods of mining communities in Séguéla is not the United Nations sanctions regime, but rather the lack of control of the redeployed State administration, namely, by

SODEMI and the Regional Directorate of Mines, on how value is added and profits are shared along the diamond-trading chain.

272. Elements analysed by the Group lead to the conclusion that, in the absence of controls over diamond revenue generation and use, the closed pipe diamond trade in Séguéla still allows the monopoly of Mr. Niangadou and his partners to realize unduly high profits, which are in turn shared with the FRCI elements under the control of Wattao in the area.

### **Diamond operations organized by pro-Gbagbo elements**

273. The Group has collected evidence that elements linked to former president Gbagbo have put in place a structure to finance destabilization activities in Côte d'Ivoire from 2011 until at least February 2013 through the sale of gold and rough diamonds.

274. The Group has identified that the aforementioned structure maintained operational hubs in South Africa and Ghana and had in-person meetings and phone interviews in Johannesburg with some of the identified components of this network.

### **Creators of the scheme**

275. The Group has identified Abie Zogoé Hervé-Brice and Stephane Kipré, both Ivorian nationals, as the founders of the network.

276. Mr. Zogoé Hervé-Brice was the Ambassador of Côte d'Ivoire in South Africa until the end of 2010, when he was forced to leave his functions because of the post-electoral crisis in Côte d'Ivoire. Evidence gathered by the Group (e-mails and in-person interviews) confirms that after ceasing his diplomatic functions in South Africa, he began to organize a diamonds and gold trading network.

277. Between late 2010 and mid-2013, Mr. Zogoé Hervé-Brice travelled extensively to Ghana, where he resided for several weeks each time. He also travelled in Benin, Guinea, Mali and Sierra Leone.

278. Mr. Kipré, the son-in-law of Laurent Gbagbo, helped Mr. Zogoé Hervé-Brice establish himself in Ghana and connected him in 2011 with the Presidents of Ghana and Senegal and with other high-profile individuals in Africa and Israel. He used his connections to facilitate diamond deals involving Zimbabwe and the Central African Republic; using escrow funds based in Hong Kong, China. Mr. Kipré figures in the proposed transactions as a facilitator who received a commission on the deals.

### **Purposes of the network**

279. The illicit diamond network had two main purposes. From one side, it was meant to finance the operations of individuals close to the former administration of Laurent Gbagbo to destabilize Côte d'Ivoire. In its final 2013 report ([S/2013/228](#)), the Group presented evidence that one such operation led to the assassination of seven United Nations peacekeepers from the Niger in western Côte d'Ivoire by a Liberian militia.

280. The other purpose of the network was to grant personal financial gains to its creators and middlemen through a series of lump sums or facilitation commissions on the value of the sales. Documents in the possession of the Group show that

participants in the network tried to secure commissions of \$1 million to \$3 million on selected trades.

#### **Structure of the network**

281. The structure of the network involved a series of middlemen, operating from South Africa, in charge of matching potential buyers of diamonds and gold with providers. The Group collected evidence confirming the involvement of the following individuals, all residents in South Africa, in the scheme.

282. Ms. Reine “Queen” Osso is an Ivorian national who first met Mr. Zogoé Hervé-Brice in 2004, when he was the Ivorian ambassador in South Africa. In December 2010, Mr. Zogoé Hervé-Brice tasked Ms. Osso with setting up the aforementioned network of middlemen. Ms. Osso runs an African arts and ethnic crafts shop in Johannesburg and is referred to in mail exchanges as “Queen”. The Group met Ms. Osso in Johannesburg and she confirmed her business partnership with Mr. Zogoé Hervé-Brice and Mr. Kipré.

283. Ms. Osso has confirmed that the aim of the trading scheme founded by Mr. Zogoé Hervé-Brice was to finance the destabilization of the regime of President Alassane Ouattara in Côte d’Ivoire.

284. Nahomie Kragbe, also an Ivorian national and business associate of Reine Osso at African Queen Arts, is cited in several e-mails as being another member of the network.

285. Another key middleman in South Africa is Jannie van Deventer, a South African national and Chief Executive Officer of a company named JJP Mining Consultants. Mr. van Deventer provided Mr. Zogoé Hervé-Brice with a list of gold and diamond sellers in West Africa who were able to trade diamonds from several countries, including Côte d’Ivoire.

286. Mr. van Deventer was also a key element in mounting a scam operation to try to sell 20,000 carats of diamonds accompanied by counterfeit Sierra Leonian Kimberley Process certificates. The diamonds were allegedly hidden by a Ghanaian woman in a safe house in Accra.

#### **External contacts**

287. Mr. Zogoé Hervé-Brice maintained business relations with members of the inner circle of Laurent Gbagbo, who were in exile in Ghana and Angola between 2011 and late 2012. Most notably, the external contacts of Mr. Zogoé Hervé-Brice included Mr. Kadet Bertin, former Minister of Defence under Mr. Gbagbo.

288. The Group was able to identify bank accounts of several participants in the network. However, the banks contacted to support the Group with statements from the accounts mentioned below have not applied bank confidentiality rules in domestic legislation. Details on the bank accounts are set out in annex LV.

### **G. Diamond footprinting/fingerprinting**

289. In its midterm report ([S/2013/605](#)), the Group reported on the advancement of the fingerprinting of diamonds of alleged Ivorian origin held in custody by Malian customs and announced that a follow-up in the final report would be provided.

290. The November 2013 plenary meeting of the Kimberley Process in South Africa approved the Administrative Decision Covering Technical Certificates for Scientific Research (AD 01/2013, see annex LVI), lifting any impediment from the Kimberley Process to the shipping of diamonds from Mali (itself a Kimberley Process participant since July 2013) to South Africa to be fingerprinted by the South African agent Mintek.

291. The Group was informed by several diamond experts and traders that diamonds from the Bobi, Diarabana and Toubabouko deposits in Séguéla have no significant differences from those found in the Banankoro deposit in Guinea or those found in Liberia.

## **X. Individual sanctions**

292. The Group continued to investigate possible violations of the individual sanctions (travel ban and assets freeze) in accordance with the measures imposed in paragraphs 9 and 11 of resolution 1572 (2004), as renewed in paragraph 1 and amended in paragraph 4 of resolution 1643 (2005). These individuals are Charles Blé Goudé, Martin Kouakou Fofié and Eugène N'gorang Djué Kouadio. Additionally, with the adoption of Council resolution 1975 (2011), targeted sanctions were imposed on Laurent Gbagbo, Simone Gbagbo, Alcide Djédjé and Pascal Affi N'Guessan.

293. The Group was informed that an official communication of the Council of Ministers of Côte d'Ivoire, dated 8 January 2014, mentioned that following instructions from the Head of State, the Ministry of Justice had been instructed to examine the unfreezing of bank accounts of "some post-electoral crisis ex-prisoners who are benefitting from temporary release" (see annex LVII, para. D-3).

294. The Group wishes to recall paragraphs 166 and 167 of its midterm report (S/2013/605), in which it indicated that, according to the Minister of Justice, Alcide Djédjé and Pascal Affi N'Guessan had been temporarily released from prison.

295. In this regard, the Group addressed a letter dated 26 February 2014 to the Ministry of Justice requesting clarification if it had ordered the unfreezing of assets of one or more individuals subject to targeted United Nations sanctions. To date, the letter remains unanswered.

296. The Group also wishes to indicate that, by its letter dated 7 August 2013 addressed to the Minister of Justice, the Group requested an update of the legal status of Mr. Djédjé and Mr. Affi N'Guessan, after their release from prison. In the letter, the Group also pointed out that the United Nations targeted sanctions imposed on both individuals were still in place.

297. The Group addressed a letter to the Ministry of Economy and Finance dated 20 February 2014, requesting an updated list of all assets, including those in Côte d'Ivoire and abroad, belonging to individuals subject to United Nations targeted sanctions and their current status (frozen or not). To date, the letter remains unanswered.

## **A. Follow-up on the Central Bank of West African States reports**

298. Paragraph 157 of the midterm report (S/2013/605) indicated that the Group awaited information from the Central Bank of West African States concerning bank accounts from three banks in Côte d'Ivoire that did not reply to the initial query launched by the Bank concerning financial information of individuals under United Nations targeted sanctions.

299. On 26 September 2013, the Central Bank of West African States replied to the Group's enquiries, indicating that the three banks, namely the Bank of Africa Côte d'Ivoire, Ecobank Côte d'Ivoire and the United Bank for Africa Côte d'Ivoire, confirmed that they had not opened bank accounts in the name of Ivorian individuals under United Nations targeted sanctions. The letter from the Central Bank of West African States also referred to an update from the banking system in Côte d'Ivoire concerning the situation of the accounts of those individuals (see annex LVIII).

## **B. Sanctioned individuals**

### **Charles Blé Goudé**

300. The Group received an official reply from the Banque pour le financement de l'agriculture concerning a previous query about an account in the name of Mr. Blé Goudé with a balance on 26 December 2012 of CFAF 9,023,100 (as reported to the Group by the Central Bank of West African States). In the response, the Banque pour le financement de l'agriculture indicated that the account had a balance of CFAF 8,978,550 and was currently frozen (see annex LIX).

### **Martin Kouakou Fofié**

301. As indicated in paragraph 160 of the midterm report (S/2013/605), on 4 September 2013 the Group addressed a letter to the Permanent Mission of Morocco to the United Nations in order to verify reports that Mr. Fofié may have entered that country under a false passport to undertake a health treatment. The Permanent Mission of Morocco replied on 22 October 2013 that the investigations of the competent authorities confirmed that no person under that name had entered the country.

302. On 4 September 2013, the Group requested information from the Banque atlantique Côte d'Ivoire in order to obtain details about accounts in the name of Mr. Fofié as follows: three checking accounts with a balance of CFAF 19,427,045; two savings accounts with a balance of CFAF 223,089; and one ordinary account with zero balance. To date, the letter remains unanswered.

303. The Group obtained information from various sources indicating that there had been a construction upsurge in Korhogo, since 2013, where Mr. Fofié is the FRCI Commander of the Compagnie territoriale de Korhogo, owing mostly to the effort of laundering funds obtained from the illicit exploitation of natural resources and/or illegal taxation.

**Eugène N'gorang Kouadio Djué**

304. The Group made efforts to get in contact with Mr. Djué through different means but without success. The Group wanted an update of his views concerning the sanctions; for example, whether he was in the process of formally requesting to be delisted. Mr. Djué had a bank account at the Société ivoirienne de banque with a balance of CFAF 8,960, which, according to the report of the Central Bank of West African States, remains frozen since 2007.

**Laurent Gbagbo**

305. The Group received an official reply from the Banque pour le financement de l'agriculture, dated 19 September 2013, concerning a previous query about two accounts in the name of Mr. Gbagbo with balances of CFAF 11,759,092 and CFAF 59,712,835, respectively (as reported to the Group by the Central Bank of West African States).

306. In the reply, the bank indicated that there was one bank account in the name of Mr. Gbagbo with a balance of CFAF 11,714,542 which was frozen. As for the other account, the bank indicated that it belonged to Simone Gbagbo (see annex LIX).

307. On 13 November 2013, the Group sent a letter to the Permanent Representative of the Netherlands to the United Nations in order to request details from a bank account in the Royal Bank of Scotland that was established pursuant to an assets freeze exemption for basic expenses that was granted by the Security Council Committee established pursuant to resolution [1572 \(2004\)](#) concerning Côte d'Ivoire.

308. The Group sought details of other bank accounts transferring funds to the above-mentioned account. However, to date there has been no reply to the letter.

**Simone Gbagbo**

309. The Group received an official reply from the Banque pour le financement de l'agriculture concerning a previous query indicating that there is a bank account in the name of Ms. Gbagbo with an amount of CFAF 59,668,285. The bank indicates that the account is frozen (see annex LIX).

**Alcide Djédjé**

310. On 24 February 2014, the Group met Mr. Djédjé. He expressed his support for the reconciliation process in Côte d'Ivoire. He also stated that sanctions imposed on him should be lifted.

**Pascal Affi N'Guessan**

311. On 25 February 2014, the Group met Mr. Affi N'Guessan. He indicated that the sanctions imposed on him were not justified. He claimed to be working for peace and reconciliation in the country. Mr. Affi N'Guessan also mentioned that he would continue to work despite the sanctions, recognizing that they were a hurdle, preventing his travel and access to financial means.

## XI. Recommendations

312. The Group believes that the recommendations contained in the midterm report (S/2013/605, paras. 168-186) remain valid, but notes the need for measures to be taken in specific areas of its mandate.

313. The recommendations of the Group are set out below.

### (a) *General*

314. The Group recommends that the Security Council consider the insertion of an exemption procedure for transit through Côte d'Ivoire of embargoed goods destined for other peacekeeping operations. UNOCI should also monitor closely the transit; electronic tracking devices should be used for monitoring.

315. The Group recommends that UNOCI maintain its Integrated Embargo Monitoring Unit at an appropriate level of regular staff in order to perform its duties.

### (b) *Arms*

316. The Group recommends that the Committee consider communicating to Côte d'Ivoire the necessity of applying for an exemption request for any kind of reconfiguration of vehicles with lethal equipment and weapons.

317. The Group recommends that Côte d'Ivoire include in its notifications and exemption requests for lethal and non-lethal ammunition a detailed description of the markings (including year of production and manufacturer) and reference numbers on the crates and packages.

318. The Group recommends that Côte d'Ivoire, in its notifications and exemption requests, divide the materiel requested by category of items in accordance with the Harmonized Commodity Description and Coding System of tariff nomenclature.

319. The Group recommends that MINUSMA conduct a periodic inventory of the lethal and non-lethal materiel at the disposal of the Ivorian military contingent in Mali.

320. The Group recommends that Côte d'Ivoire maintain a registry of weapons and related materiel imported since the imposition of sanctions measures in 2004.

### (c) *Finance*

321. The Group recommends that the Government of Côte d'Ivoire facilitate the Group's investigations by replying to its letters, in particular the Ministry of Economy and Finance, the Ministry of Defence, the Ministry of Industry and Mines, the Ministry of the Interior and Moussa Dosso, granting access to all necessary financial documents and ensuring that Ivorian-registered companies do likewise.

322. The Group recommends that the Government of Côte d'Ivoire continue implementing all measures necessary to combat the illicit taxation, exploitation and trafficking outside of the country of agricultural and mineral products and all resources as an important measure to prevent diversion and accumulation of funds that could be used for the purchase of arms and related materiel.

323. The Group recommends that Ivorian authorities make all efforts to participate in the OECD-hosted implementation programme with regard to the due-diligence guidelines for responsible supply chains of minerals from conflict-affected and high-risk areas, and to reach out to international organizations with a view to taking advantage of lessons learned from other initiatives and countries that have and are confronting similar issues on artisanal mining as indicated in paragraph 25 of resolution [2101 \(2013\)](#).

324. The Group recommends that the Government of Côte d'Ivoire continue to implement all measures necessary to combat illicit checkpoints and illegal taxation systems throughout the country and more specifically in the west, and to inform the Group of Experts accordingly.

325. The Group recommends that the Government of Côte d'Ivoire continue to take measures in order to prevent and combat possible cases of fraud within the State agencies, most notably in those with tax-collecting functions, with a view to preventing diversion of funds that could be used for the purchase of arms and related materiel.

326. The Group recommends that the members of the West African Economic and Monetary Union take all measures necessary to prevent bulk smuggling of cash denominated in West African CFA francs within their territories.

(d) *Customs and transport*

327. The Group recommends that all military and related shipments destined to MINUSMA should transit through States that are not subject to an arms embargo imposed by the Security Council.

328. The Group recommends that the Government of Côte d'Ivoire implement the recognition of customs as the front-line administration at national borders for controlling the movement of goods and raise awareness among the public concerning the specific mandates and roles of the other border agencies.

329. The Group recommends that UNOCI finalize its assistance to Ivorian authorities in re-establishing normal customs and border control operations, as agreed in its consolidated security sector reform project in 2012, by releasing to the Government the containers of equipment and material procured for that purpose currently stored at the Anyama demobilization centre.

330. The Group recommends that WCO, in consultation with its members, develop, within its instruments, a legal framework to enable the enforcement of the Security Council embargo decisions targeting the movement of goods.

331. The Group recommends that WCO and UNODC consider the improvement of the monitoring of the global Security Council embargo decisions targeting the movement of goods through the implementation of their SAFE Framework of Standards to Secure and Facilitate Global Trade (SAFE Framework) and the global Container Control Programme.

332. The Group recommends that ECOWAS and the WCO Regional Office of Capacity-building for West and Central Africa, in cooperation with international organizations, bilateral or multilateral partners, international trade associations and United Nations agencies, include the WCO-coordinated Border Management, the WCO-SAFE Framework and the WCO-UNODC global Container Control

Programme in their programme of strengthening regional capacity-building and to take account of the Security Council embargo sanctions regime and other measures imposed on Côte d'Ivoire to improve the embargo-monitoring capacity of their member States.

(e) *Diamonds*

333. The Group recommends that Côte d'Ivoire actively seek support from its partners to develop and finance a comprehensive training and sensitization programme on measures to combat fraud in the rough diamond trading chain and to effectively implement steps to secure the chain of custody of rough diamonds for the national enforcement bodies.

334. The Group recommends that Ivorian authorities implement the highest due-diligence standards regarding the financial affiliation and beneficial ownership of individuals and/or companies applying for permits to operate diamond-buying houses. Due diligence shall ensure that no individual and/or company responsible for violation of the United Nations diamond embargo, including but not limited to Sekou Niangadou, Abdoul Kone, El Hadj Thiam and Kone Orange, nor any individual and/or company linked, directly or indirectly, with the former FAFN control structure in Séguéla, is allowed to receive permits to operate buying houses.

335. The Group recommends that Côte d'Ivoire seek assistance from its partners in implementing financial due diligence in the selection of the buying houses. This aspect being beyond coverage of the Kimberley Process, the Process will thus not be in a position to assist Côte d'Ivoire.

336. The Group recommends that Côte d'Ivoire provide details on the measures meant to ensure a transparent dialogue with and procedure for the selection of the individuals and/or companies applying for buying houses permits.

337. The Group recommends that SODEMI and the Ministry of Industry and Mines design and implement grievance mechanisms for the operators of the diamond value chain to receive timely information on whether downstream buyers are artificially manipulating, to their advantage, the price they pay to upstream actors (miners and subcollectors). Promoting grievance mechanisms will increase the appeal of SODEMI and the Regional Directorate of Mines through the miners and first-level diamond buyers, thus reducing their concerns with rumours of corruption or alignment of the SODEMI and Regional Directorate of Mines in favour of the downstream buyers.

338. The Group recommends that Mali advance rapidly, with technical support from the Kimberley Process, in putting into place the chain of custody measures that will allow it to issue certificates of standard quality.

339. The Group recommends that Mali establish a system of statistics on internal diamond trading that is compliant with the minimum standards imposed by the Kimberley Process and that transmits these statistics periodically to the Process.

340. The Group recommends that the competent Malian authorities increase monitoring of the activities of gold and precious stone traders in the Artisanal and Grand Marché areas of Bamako, as the Group has found the presence of diamonds from Séguéla producing areas in those zones, traded by Malian nationals linked to Sekou Niangadou (alias Petit Sekou or Sekou Tortiya).

341. The Group recommends that Mali increase its vigilance with respect to cross-border movements, especially but not limited to those between Côte d'Ivoire and Mali, of its nationals known to be involved in diamond trade, including by enhancing information-sharing with the relevant authorities of Côte d'Ivoire and Guinea.

342. The Group recommends that Guinea strengthen the operational capability of COGEAD in Banankoro, including but not limited to providing it with vehicles to monitor the large diamond-producing areas, with the ability to transmit disaggregated data on diamond production to the Ministry of Mines in Conakry and ensuring the modernization of the mining cadastre.

343. The Group recommends that Guinea make more tamper-proof the registration forms for diamonds and other gems issued by COGEAD, in addition to developing mechanisms to allow easy cross-checking of the information attached to a diamond parcel in order to detect tampered COGEAD forms. This should be done in cooperation with the Kimberley Process in the framework of the activities to strengthen cooperation and integration on diamond chains of custody among the Mano River Union members.

344. The Group recommends that Guinea increase vigilance on the activity of traders and diamond buying offices in Banankoro, Kerouané and Macenta, since the Group has found the presence of diamonds from Séguéla in those areas, traded by individuals working for Mr. Niangadou.

345. The Group recommends that the competent authorities of the United Arab Emirates increase vigilance with respect to the activities of rough diamond traders in the souk area of Dubai that trade rough diamonds that are not accompanied by Kimberley Process certificates.

346. The Group recommends that the competent authorities of the United Arab Emirates increase monitoring of the activities of unregistered ships and operators in the Deira area of Dubai that the Group has seen unloading merchandise not supported by any customs declaration.

347. The Group recommends that the Ivorian secretariat of the Extractive Industry Transparency Initiative should pursue working on the terms of reference for the reconciliator and validator of the Initiative report in order to include reconciled data from the diamonds sector (SODEMI and Ministry of Industry and Mines) as an annex to the annual Initiative report. The terms of reference should clarify that the annex shall not be taken into account by the validator in determining the compliance of Côte d'Ivoire with the Initiative requirements.

348. The Group recommends that Côte d'Ivoire produce and make available a footprinting and fingerprinting of diamonds from its known active deposits in Séguéla (Bobi, Diarabana and Toubabouko) and Tortiya areas.

349. The Group recommends that Mano River Union member States produce footprinting and fingerprinting of diamonds from their respective known active deposits in order to prevent the mixing of diamonds from Côte d'Ivoire with the national production of neighbouring countries.

350. The Group recommends that the footprinting and fingerprinting of diamonds from Tarkwa in Ghana also be made available to fully assess their degree of compatibility with diamonds from the Ivorian deposits in Tortiya.

(f) *Individual sanctions*

351. The Group recommends that all financial-related institutions in Côte d'Ivoire, including the tax and cadastral agency, the Central Bank of West African States and all public and private banks and financial institutions, take immediate measures to comply fully with the Security Council resolutions imposing targeted sanctions on Ivorian individuals, in particular the assets freeze, and that the Member States concerned inform the Committee accordingly.

352. The Group also recommends that all Member States take the measures necessary to assure that their financial institutions fully implement and raise awareness of the obligation to comply with the targeted sanctions regime against Ivorian individuals under United Nations targeted sanctions.

353. The Group recommends that the Government of Côte d'Ivoire conduct a comprehensive financial investigation of all assets and financial-related information pertaining to all the sanctioned individuals and share the results with the Group of Experts.

354. The Group recommends including Sekou Niangadou in the consolidated travel ban and assets freeze list for having repeatedly violated and continuing to violate the diamonds embargo since 2006.

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## Annex 1

### Meetings and consultations held by the Group of Experts in the course of its mandate

#### BELGIUM

##### Multilateral and bilateral entities

European Commission; Kimberley Process Working Group of Diamond Experts, Antwerp World Diamond Centre, Belgian Federal Police

#### BURKINA FASO

##### Government

Ministry of Foreign Affairs

#### COTE D'IVOIRE

##### Government

Prime Minister; Ministry of Agriculture; Ministry of the Interior; Ministry of Economy and Finance; Ministry of Public Function; Ministry of Industry and Mines; Ministry of Transport; Ministry of Justice; General Directorate of Customs; Director of the President's Office, Chief of Staff of FRCI, *Autorité de Régulation du Coton et de l'Anacarde*, *Direction générale du Trésor – Bouaké*, Kimberley Process Secretariat; *Société pour le Développement Minier de la Côte d'Ivoire (SODEMI)*; *Service d'Expertise et d'Evaluation des Pierres et Metaux Précieux (SEEPMP)*

##### Diplomatic missions

Embassy of France, Embassy of the United States, Embassy of Belgium, European Union Delegation

##### Multilateral and bilateral entities

United Nations Operation in Cote d'Ivoire (UNOCI)

WCO-Regional Office of Capacity Building for West and Central Africa

## **FRANCE**

### **Government**

Ministry of Foreign Affairs

## **GUINEA**

### **Government**

*Comité de Gestion de l'Exploitation Artisanale du Diamant (COGEAD); Bureau National d'Expertise et evaluation des pierres et matières précieuses (BNE); Prefecture of Kérouané; Sous-prefecture of Banankoro*

## **LIBERIA**

### **Government**

Ministry of Foreign Affairs

Ministry of Lands, Mines and Energy

## **MALI**

### **Government**

Ministry of Foreign Affairs; Ministry of Mines

### **Multilateral and bilateral entities**

United Nations Multidimensional Integrated Stabilization Mission in Mali (MINUSMA)

## **RWANDA**

### **Multilateral and bilateral entities**

OECD; ICGLR; Embassy of South Africa

## **SOUTH AFRICA**

### **Multilateral and bilateral entities**

Kimberley Process

## **UNITED ARAB EMIRATES**

### **Government**

Ministry of Foreign Affairs

### **Industry**

Dubai Multi-Commodity Center (DMCC)

## Annex 2

### ARMS

**Horsforth T Ltd**

Statutes of the company

*STATUT*

SOCIETE

**Horsforth Trader Ltd**

CAPITAL SOCIAL : 1 000 000 CFA  
SIEGE SOCIAL : Abidjan

## STATUTS

« **Horsforth Trader Ltd.** » Société à Responsabilité limitée au capital social de 1 000 000 FCFA. Siège social : Abidjan Plateau, Immeuble Amiral. **01 BP 3337 Abidjan 01, TEL: +225 20 33 11 01 / Fax : +225 20 33 19 19**  
E-mail: [horsforth.trader@gmail.com](mailto:horsforth.trader@gmail.com)

### **TITRE 1. FORME - OBJET - DENOMINATION**

#### **Article 1 : Forme.**

Il est formé entre les soussignés :

Monsieur **CHKROUN DANIEL**, né le 14/04/1959 à NAHARIYA (ISRAEL), Directeur de Société, de nationalité Française ;

une société à responsabilité limitée qui sera régie par les lois en vigueur et par les présents statuts.

#### **Article 2 : Objet.**

La société a pour objet

- Commerce général international
- Travaux de Construction et de réhabilitation de Bâtiments (Tout corps d'état)
- Travaux de construction de routes et d'ouvrage d'art
- Expertise et Promotion Immobilière
- Fourniture d'équipements divers
- Gestion des projets - Infrastructures (Transport, Pétrole, Communication...)

#### **Article 3 : Dénomination.**

La dénomination sociale de la société est **Horsforth Trader Ltd.** :

Dans les actes et documents émanant de la société et destinés aux tiers (notamment les lettres, factures, annonces, publication, et autres documents), la dénomination sociale devra être indiquée, précédée ou suivie immédiatement des mots « société à responsabilité limitée » ou des initiales « S.A.R.L. » et de l'énonciation du montant du capital social.

#### **Article 4 :**

Le siège social est fixé à **ABIDJAN**.

Il pourra être transféré en tout autre endroit de la même ville par simple décision du gérant et en tout autre lieu par décision des associés représentant au moins les  $\frac{2}{3}$  du capital social.

#### **Article 5 :**

La durée de la société est fixée à 99 ans qui commenceront à courir à compter de son immatriculation au Registre du commerce et des sociétés.

Au terme de cette durée, une prorogation sera nécessaire pour éviter la dissolution de la société.

## TITRE 2. APPORTS - CAPITAL SOCIAL

### Article 6 : apports.

#### Apports en numéraires

Le soussigné **Mr CHKROUN DANIEL** apporte à la société la somme de 1 000 000 francs CFA, soit un capital social de 1 000 000 francs CFA.

Cette somme a été déposée au crédit d'un compte ouvert au nom de la société en formation à la banque ATLANTIQUE.

Cette somme sera retirée par le gérant de la société sur présentation du certificat du greffier attestant l'immatriculation de la société au Registre du commerce.

#### Récapitulation des apports

Apports en numéraires : 1 000 000 FCFA  
Apports en nature : 0  
Total égal au capital social : 1 000 000 FCA  
Total égal au capital social : Un million de francs CFA.

### Article 7 : capital social.

Le capital social est fixé à la somme de 1 000 000 francs CFA. Il est divisé en parts sociales de 10 000 F CFA chacune et numérotées de 001 à 100, souscrites en totalité par les associés, et attribuées à chacun d'eux en proportion de leurs apports respectifs de la manière suivante :

Monsieur **CHKROUN DANIEL**, 100 parts numérotées de 001 à 100.

Conformément aux lois en vigueur, les soussignés déclarent expressément que ces parts sociales ont été réparties entre eux dans la proportion sus-indiquée et sont toutes entièrement libérées.

### Article 8 : Augmentation du capital social.

Le capital social peut être augmenté de toutes les manières autorisées par la loi, soit par création de parts nouvelles, soit par majoration du montant nominal des parts existantes. Les parts nouvelles sont souscrites et libérées, soit en numéraire, soit par compensation avec des créances liquides et exigibles, soit par apport en nature, soit par incorporation des bénéfices, réserves ou primes d'émission.

L'augmentation de capital et les modalités de sa réalisation sont décidées par la collectivité des associés à la majorité des  $\frac{3}{4}$  du capital social.

Cependant, si l'augmentation de capital doit être réalisée par élévation de la valeur nominale des parts, la décision doit être prise à l'unanimité.

Si des parts avec prime sont créées, la décision collective des associés portant l'augmentation du capital fixe le montant de la prime et détermine son affectation.

Toute personne entrant dans la société à l'occasion d'une augmentation de capital, et qui serait soumise à agrément comme cessionnaire de parts sociales en vertu de l'article 10, doit être agréée dans les conditions fixées audit article.

Si l'augmentation de capital est réalisée soit en totalité soit en partie par des apports en nature, la décision des associés relative à l'augmentation du capital doit contenir l'évaluation de chaque apport en nature.

**Article 9 : Réduction du capital social.**

La réduction du capital social est autorisée par l'assemblée des associés représentant au moins les  $\frac{3}{4}$  du capital social. En aucun cas elle ne peut porter atteinte à l'égalité des associés.  
La réduction de capital à un montant inférieur au minimum légal doit être suivie dans le délai d'un an d'une augmentation de capital ayant pour effet de le porter à ce minimum, à moins que dans le même délai la société ne se transforme en une société d'une autre forme ou bien qu'elle préfère procéder à une dissolution anticipée, après avoir mis ses représentants en demeure de régulariser la situation.

**TITRE 3. PARTS SOCIALES - CESSIION DE PARTS****Article 10 :**

Les parts sociales sont souscrites en totalité par les associés, qu'elles représentent les apports en nature ou en numéraire.

Les parts sociales ne peuvent être représentées par des titres négociables, nominatifs ou au porteur. Il est interdit à la société d'émettre des valeurs mobilières sous peine de nullité de l'émission.

Le titre de chaque associé résulte uniquement des présents statuts, des actes ultérieurs qui pourraient modifier le capital social et des cessions qui seraient régulièrement consenties.

**Article 11 : Droits et obligations des parts sociales.**

Chaque part sociale donne droit, proportionnellement au nombre de parts existantes, à une quotité dans la propriété de l'actif social, dans le partage des bénéfices et dans le boni de liquidation. Chaque part sociale donne également droit de participer aux décisions collectives. Toute part sociale donne droit à une voix dans tous les votes et délibérations.

Les associés ne sont tenus à l'égard des tiers qu'à concurrence du montant de leur apport. Toutefois, ils sont solidairement responsables, vis-à-vis des tiers pendant 5 ans, de la valeur attribuée aux apports en nature.

La propriété d'une part emporte de plein droit adhésion aux statuts et aux résolutions régulièrement prises par les associés. Les droits et obligations attachés aux parts les suivent dans quelques mains qu'elles passent. Les représentants, ayant-droits, conjoints et héritiers d'un associé ne peuvent, sous quelque prétexte que ce soit, requérir l'apposition de scellés sur les biens et valeurs de la société, ni en demander le partage. Ils doivent, pour l'exercice de leurs droits, s'en référer aux inventaires sociaux et aux décisions collectives des associés.

Une décision collective des associés représentant les  $\frac{3}{4}$  des parts sociales peut décider le regroupement des parts sociales en parts d'un nominal plus élevé ou leur division en parts sociales d'un nominal plus faible. La réunion des parts sociales en une seule main n'entraîne pas la dissolution de la société. L'associé unique est alors tenu de mettre les statuts en harmonie avec les dispositions légales dans le meilleur délai.

**Article 12 : indivisibilité des parts sociales.**

Les parts sociales sont indivisibles à l'égard de la société.  
Les propriétaires indivisaires sont tenus de se faire représenter auprès de la société par un mandataire unique. A défaut d'entente, il appartient au président du tribunal de commerce de statuer en référé à la désignation de ce mandataire, à la demande de l'indivisaire le plus diligent. Sauf convention contraire, expressément acceptée par la société, l'usufruitier représente valablement le nu-proprétaire à l'égard de la société dans les décisions ordinaires et le nu-proprétaire représente l'usufruitier dans les décisions extraordinaires.

**Article 13 : cession des parts sociales.**

Toute cession de parts sociales doit être constatée par acte notarié ou sous seing privé. Elle doit dans tous les cas être écrite. Pour être opposable à la société, elle doit lui être signifiée par exploit d'huissier ou être acceptée par elle par acte notarié. Pour être opposable aux tiers, elle doit être déposée au Registre du Commerce.

La cession à des tiers étrangers à la société n'est possible qu'après consentement de la majorité des associés représentant au moins les  $\frac{3}{4}$  du capital social.

Le projet de cession est notifié à la société et à chacun des associés par acte extra-judiciaire ou par lettre recommandée avec accusé de réception.

Dans le délai de 8 jours à compter de cette notification, le gérant doit convoquer l'assemblée des associés, pour qu'elle délibère sur le projet de cession des parts sociales, ou consulter les associés par écrit sur ce projet. La décision de la société est notifiée au cédant par lettre recommandée avec accusé de réception. Si la société n'a pas fait connaître sa décision dans un délai de trois mois à compter de la dernière des notifications prévues au présent alinéa, le consentement à la cession est réputée acquis.

Si la société a refusé de consentir à la cession, les associés sont tenus, dans le délai de trois mois à compter de ce refus, d'acquiescer ou de faire acquiescer ces parts à un prix fixé dans les conditions prévues par la loi. A la demande du gérant, ce délai peut être prolongé une seule fois par décision du président du tribunal de commerce statuant par ordonnance sur requête non susceptible de recours et sans que cette prolongation puisse excéder 6 mois. La désignation de l'expert prévu par la loi est faite par le président du tribunal de commerce.

La société peut également, avec le consentement de l'associé cédant, décider dans le même délai de réduire le capital social du montant de la valeur nominale des parts de cet associé et de racheter ses parts au prix déterminé dans les conditions prévues ci-dessus.

Si, à l'expiration du délai imparti, la société n'a pas racheté ou fait racheter les parts, l'associé peut réaliser la cession initialement prévue.

Sauf en cas de succession, de liquidation de communauté de biens entre époux ou de donation au profit d'un conjoint, ascendant ou descendant, l'associé ne peut se prévaloir des dispositions ci-dessus s'il détient ses parts depuis moins de deux ans.

Les parts sociales sont librement transmissibles par voie de succession ou en cas de liquidation de communauté de biens entre époux, et librement cessibles entre conjoints et entre ascendants. Les statuts peuvent toutefois prévoir que conjoint, héritier, ascendant ou descendant ne peut devenir associé qu'après avoir été agréé par les autres associés, dans un délai n'excédant pas ceux prévus ci-dessus pour n'importe quel tiers. Pour permettre la consultation des associés sur cet agrément, les héritiers, ayants-droit et conjoints doivent justifier de leur qualité dans les trois mois du décès par la production de l'expédition d'un acte notarié ou de l'extrait d'un intitulé d'inventaire. Dans les huit jours de la réception de ces documents, la gérance adresse à chacun des associés survivants une lettre recommandée avec accusé de réception faisant part du décès, mentionnant les qualités des héritiers, ayants-droit ou conjoint de l'associé décédé et du nombre de ses parts, afin que les associés se prononcent sur leur agrément. En cas de dissolution de communauté, le partage est notifié par l'époux le plus diligent par acte extra-judiciaire ou par lettre recommandée avec accusé de réception à la société et à chacun des associés. A compter de l'envoi de la lettre recommandée par la société au cas de décès ou de la réception par celle-ci de la notification au cas de dissolution de communauté, l'agrément est donnée ou refusé dans les conditions prévues ci-dessus pour les cessions entre vifs. Les parts sociales sont librement cessibles entre associés. Les dispositions qui précèdent sont applicables à tous les cas de cessions, alors même qu'elles auraient lieu par adjudication, en vertu d'une décision de justice, ou par voie de fusion ou d'apport, ou encore au titre d'attribution en nature à la liquidation d'une autre société.

Si la société a donné son consentement à un projet de nantissement de parts sociales, soit par notification de sa décision à l'intéressé, soit par défaut de réponse dans un délai de trois mois à compter de la demande, ce consentement emportera agrément du cessionnaire en cas de réalisation forcée des parts sociales selon les dispositions légales, à moins que la société ne préfère, après la cession, racheter sans délai les parts en vue de réduire le capital social.

**Article 14 : décès, interdiction, faillite d'un associé.**

Le décès, l'interdiction, l'incapacité, la faillite ou la déconfiture de l'un des associés, personne physique, ainsi que le redressement judiciaire d'un associé personne morale n'entraînent pas la dissolution de la société.

**TITRE 4. GERANCE DE LA SARL****Article 15 : nomination des gérants.**

La société est gérée par une ou plusieurs personnes physiques qui peuvent être choisies en dehors des associés. En l'absence de dispositions contraires, les gérants sont nommés pour la durée de la société. Les gérants statutaires sont nommés dans les statuts et les autres gérants sont nommés par décision des associés représentant plus de la moitié du capital social. Le premier gérant de la société est **Monsieur CHKROUN DANIEL**, de nationalité **Française**. Tous les gérants nommés pour une durée déterminée sont rééligibles.

**Monsieur CHKROUN DANIEL** déclare accepter la fonction qui lui est confiée. Le gérant a seul la signature sociale. Il doit consacrer aux affaires sociales tout son temps et tous les soins nécessaires.

Le gérant peut résilier ses fonctions, mais seulement en prévenant chacun des associés au moins trois mois à l'avance par lettre recommandée avec accusé de réception.

**Article 16 : pouvoirs des gérants.**

Dans les rapports entre associés, le gérant peut faire tous les actes de gestion dans l'intérêt de la société. En cas de pluralité de gérants, ceux-ci détiennent séparément les pouvoirs, sauf le droit pour chacun de s'opposer à toute opération avant qu'elle ne soit conclue. Dans les rapports avec les tiers, le gérant ou chacun des gérants s'ils sont plusieurs, est investi des pouvoirs les plus étendus pour agir en toutes circonstances au nom de la société, sous réserve des pouvoirs que la loi attribue expressément aux associés.

A titre de règlement intérieur, et sans que cette clause puisse être opposable aux tiers, il est convenu que le gérant ne peut, sans y être autorisé par une décision des associés, acheter, vendre ou échanger tous immeubles ou fonds de commerce, contracter des emprunts pour le compte de la société autre que les découverts normaux en banque, constituer une hypothèque sur les immeubles sociaux ou un nantissement sur le fonds de commerce.

L'opposition formée par un gérant aux actes d'un autre gérant est sans effet à l'égard des tiers, à moins qu'ils en aient eu connaissance.

**Article 17 : rémunération des gérants.**

En rémunération de ses fonctions, le gérant a droit à un traitement qui est fixé par décision ordinaire des associés ainsi qu'au remboursement de ses frais de représentation et de déplacement.

**Article 18 : convention entre le gérant ou un associé et la société.**

Sous réserve des interdictions légales, les conventions entre la société et l'un des associés ou gérants sont soumises aux formalités de présentation à l'assemblée des associés prescrites par la loi.

Les conventions non approuvées produisent néanmoins leurs effets, à charge pour le gérant ou l'associé contractant de supporter individuellement ou solidairement, selon les cas, les conséquences du contrat préjudiciable à la société.

Ces dispositions ne sont pas applicables aux conventions portant sur des opérations courantes et conclues à des conditions normales.

**Article 19 : révocation des gérants.**

Le gérant est révoqué par décision des associés représentant plus de la moitié du capital social. Si la révocation est décidée sans juste motif, elle peut donner lieu à des dommages et intérêts. En outre, le gérant est révocable par les tribunaux pour cause légitime à la demande de tout associé.

**TITRE 5. DECISIONS COLLECTIVES**

La volonté des associés s'exprime par des décisions collectives qui obligent, même absents, dissidents ou incapables.

**Article 20 : forme, quorum, majorité.**

Les décisions collectives sont prises, soit en assemblée, soit par consultation écrite des associés, au choix de l'organe de la société ayant provoqué la décision.  
Toutefois, les décisions collectives concernant les comptes sociaux ne peuvent être prises qu'en assemblée.

Les associés ne peuvent, si ce n'est à l'unanimité, changer la nationalité de la société. Toute autre modification des statuts, prise en assemblée extraordinaire, ainsi que l'agrément aux cessions ou mutations de parts sociales, sont décidés par des associés représentant au moins les  $\frac{3}{4}$  du capital social. La majorité ne peut obliger un associé à augmenter son engagement social. Les autres décisions prises en assemblée ou lors de consultation écrites sont adoptées par un ou plusieurs associés représentant plus de la moitié du capital social. Si cette majorité n'est pas obtenue, les associés sont selon les cas, convoqués ou consultés une seconde fois et les décisions sont prises à la majorité des votes émis, quelle que soit la portion de capital représentée. La révocation d'un gérant est toujours prononcée par des associés représentant plus de la moitié du capital social. Les décisions prises conformément au présent paragraphe sont dites décisions ordinaires.

**Article 21 : droit de communication des associés.**

A toute époque, tout associé a le droit, au siège social, d'obtenir la délivrance d'une copie certifiée conforme des statuts en vigueur au jour de la demande.  
La société doit annexer à ce document la liste des gérants, et ne peut, pour cette délivrance, exiger le paiement d'une somme supérieure à 2000 f cfa.  
Droit lui est également donné de prendre connaissance par lui-même des comptes de résultats, bilans, inventaires, rapports soumis aux assemblées et procès verbaux de ces assemblées concernant les trois derniers exercices. L'associé peut prendre une copie de ces documents à l'exception des inventaires.  
Lors de toute consultation des associés, soit par écrit, soit en assemblée générale, chacun d'eux a le droit d'obtenir communication des documents et informations nécessaires pour lui permettre de se prononcer en connaissance de cause et de porter un jugement sur la gestion de la société.

**Article 22 : décisions prises en assemblée.****Convocation**

La convocation est faite par le ou l'un des gérants. En cas de décès du gérant unique, tout associé peut pourvoir à son remplacement.  
Un ou plusieurs associés, représentant au moins un quart en nombre et en capital ou la moitié en capital, peuvent demander la réunion d'une assemblée.  
De même, tout associé peut demander en justice la désignation d'un mandataire chargé de convoquer l'assemblée et de fixer un ordre du jour.  
Les associés sont convoqués quinze jours francs au moins avant la réunion de l'assemblée, par lettre recommandée indiquant son ordre du jour. Toute assemblée irrégulièrement convoquée peut être annulée. Toutefois, l'action en nullité n'est pas recevable lorsque tous les associés étaient présents ou représentés.

**Ordre du jour**

L'ordre du jour des assemblées est arrêté par l'auteur de la convocation.  
Sous réserve des questions diverses qui ne doivent représenter qu'une minime importance, les questions inscrites à l'ordre du jour sont libellées de telle sorte que leur contenu et leur portée apparaissent clairement, sans qu'il y ait lieu de s'en porter à d'autres documents. L'assemblée ne peut délibérer sur une question qui n'est pas à l'ordre du jour.

### Réunion de l'assemblée

L'assemblée est réunie en tout lieu du département de situation du siège social selon les indications figurant dans les lettres de convocation. Elle est présidée par le gérant ou le plus âgé des gérants présents ayant la qualité d'associé. Si aucun des gérants n'est associé, elle est présidée par l'associé présent et acceptant qui possède ou représente le plus grand nombre de parts sociales. Si deux associés représentent cette condition, la présidence revient au plus âgé.

### Vote, Représentation

Chaque associé a droit de participer aux décisions et dispose d'un nombre de voix égale à celui des parts qu'il possède.

Un associé peut se faire représenter par un autre associé ou par son conjoint. Un associé ne peut constituer un mandataire pour voter du chef d'une partie de ses parts et voter en personne du chef de l'autre partie.

Le mandat de représentation d'un associé est donné pour une seule assemblée. Il peut cependant être donné pour deux assemblées tenues le même jour ou dans un délai de sept jours. Le mandat donné pour une assemblée vaut pour les assemblées successives convoquées avec le même ordre du jour.

### Procès-verbaux

Toute délibération de l'assemblée des associés est constatée par un procès-verbal qui mentionne la date et le lieu de la réunion, les noms, prénoms et qualité du président, les noms et prénoms des associés présents ou représentés avec l'indication du nombre de parts sociales détenues par chacun d'eux, les documents et rapports soumis à l'assemblée, un résumé des débats, le texte des résolutions mises aux voix et le résultat des votes. Les procès-verbaux sont établis et signés par les gérants et le cas échéant par le président de séance. Ils sont inscrits ou enliassés dans un registre spécial tenu au siège social et coté et paraphé. Lorsqu'une décision est constatée dans un acte ou procès-verbal notarié, celui-ci doit être recopié sur le registre spécial sous la forme d'un procès-verbal dressé et signé par la gérance.

Les copies ou extraits de procès-verbaux des délibérations des associés sont valablement certifiés conformes par un seul gérant. Au cours de la liquidation de la société, leur certification est valablement effectuée par le liquidateur.

### Article 23 : réunion de l'assemblée statuant sur les comptes sociaux

Dans le délai de 6 mois qui suit la clôture de l'exercice, le rapport sur les opérations de l'exercice, l'inventaire, le compte de résultat et le bilan, établis par les gérants sont soumis à l'approbation des associés réunis en assemblée.

Tous ces documents sont tenus au siège social, à la disposition du gérant, quarante-cinq jours au moins avant la réunion de l'assemblée. Le rapport sur les opérations de l'exercice, les comptes annuels, ainsi que le texte des résolutions proposées, doivent être adressés aux associés 15 jours au moins avant la date prévue pour l'assemblée. Pendant ce délai de 15 jours, l'inventaire est tenu au siège social à la disposition des associés qui ne peuvent en prendre copie. A compter de la communication prévue ci-dessus, tout associé a la faculté de poser par écrit des questions auxquelles le gérant sera tenu de répondre au cours de l'assemblée.

### Article 24 : décisions prises par consultation écrite des associés.

En cas de consultation écrite, la gérance envoie à chaque associé à son dernier domicile connu, par lettre recommandée avec avis de réception, le texte des résolutions proposées accompagné du rapport de la gérance et des documents nécessaires à l'information des associés. Les associés disposent d'un délai minimal de 15 jours francs à compter de la date de réception des projets de résolution pour émettre leur vote par écrit. Le vote est formulé sur le texte des résolutions proposées et, pour chaque résolution, par les mots « oui » ou « non ». La réponse est adressée à la société également par lettre recommandée avec avis de réception.

En cas de consultation écrite, les procès-verbaux sont tenus de la même manière que lorsqu'il s'agit de décisions prises en assemblées. Toutefois, il y est mentionné que la consultation a été effectuée par écrit. La réponse de chaque associé est annexée à ce procès-verbal.

**TITRE 7. EXERCICE SOCIAL - COMPTES - AFFECTATION ET REPARTITION DES BENEFICES****Article 25 : exercice social.**

L'exercice social a une durée de 12 mois. Il commence le 01 Janvier de chaque année pour se terminer le 31 Décembre de la même année.

**Article 26 : comptes sociaux.**

Il est tenu une comptabilité régulière des opérations sociales conformément aux lois et usages du commerce.

A la clôture de chaque exercice, la gérance dresse l'inventaire des divers éléments d'actif et du passif existant à cette date.

Elle dresse également le compte de résultat et le bilan après avoir procédé, même en cas d'absence ou d'insuffisances des bénéfices, aux amortissements et provisions prévus par la loi pour que le bilan soit sincère.

La gérance établit un rapport écrit sur la situation de la société et l'activité de celle-ci pendant l'exercice écoulé.

**Forme des comptes sociaux**

Ils sont établis à chaque exercice selon les mêmes formes et les mêmes méthodes que les années précédentes.

Toutefois, en cas de proposition de modification, l'assemblée au vu des comptes établis selon les formes et méthodes tant anciennes que nouvelles, et sur le rapport de la gérance, se prononce sur les modifications proposées.

**Définition des bénéfices**

Les produits nets de chaque exercice, déduction faite des frais généraux et autres charges de la société y compris les amortissements et provisions, constituent les bénéfices nets ou les pertes de l'exercice.

**Définition de la réserve légale**

Sur les bénéfices nets de chaque exercice, diminués le cas échéant des pertes antérieures, il est tout d'abord prélevé 5% pour constituer le fonds de réserve légale. Ce prélèvement cesse d'être obligatoire lorsque ledit fond atteint une somme égale au dixième du capital social. Il reprend son cours lorsque, pour une cause quelconque, la réserve légale est descendue en dessous de cette fraction.

**Définition des bénéfices distribuables**

Le solde augmenté, le cas échéant, du report à nouveau bénéficiaire, constitue le « bénéfice distribuable » de l'exercice.

Hors le cas de réduction de capital aucune distribution ne peut être faite aux actionnaires lorsque l'actif net est, ou deviendrait à la suite de celle-ci, inférieur au montant du capital augmenté des réserves que la loi ou les statuts ne permettent pas de distribuer.

**Article 27 : affectation et répartition des bénéfices.**

L'assemblée peut décider l'inscription au compte de report à nouveau ou à tout compte de réserve, de tous ou partie des bénéfices distribuables. Elle fixe l'affectation ou l'emploi des bénéfices inscrits à ces comptes. Ils peuvent être affectés notamment au financement des investissements de la société.

L'assemblée peut aussi décider la mise en distribution des sommes prélevées sur les réserves dont elle a disposition, en indiquant expressément les postes de réserve sur lesquels les prélèvements sont effectués. Toutefois, les dividendes sont prélevés par priorité sur les bénéfices de l'exercice. Les sommes distribuables sont distribuées et réparties entre les associés proportionnellement au nombre de parts appartenant à chacun d'eux.

**Article 28 : paiement des dividendes.**

Les modalités de mise en paiement des dividendes votés par l'assemblée générale sont fixées par elle ou, à défaut, par le gérant. Toutefois, la mise en paiement des dividendes doit avoir lieu dans un délai maximal de neuf mois après la clôture de l'exercice. La prolongation de ce délai peut être accordée par ordonnance du président du tribunal de commerce statuant sur requête à la demande du gérant.

**Article 29 : capitaux propres inférieurs à la moitié du capital social.**

Si, du fait des pertes constatées, les capitaux propres de la société deviennent inférieurs à la moitié du capital social, la gérance doit, dans les quatre mois qui suivent l'approbation des comptes ayant fait ressortir cette perte, consulter les associés afin de décider s'il y a lieu de dissoudre la société.

Si la dissolution n'est pas prononcée, la société est tenue, au plus tard à la clôture du deuxième exercice suivant celui au cours duquel la constatation des pertes est intervenue, de réduire son capital d'un montant égal au moins à celui des pertes qui n'ont pu être imputées sur les réserves si, dans ce délai, l'actif net n'a pas été reconstitué à concurrence d'une valeur au moins égale au quart du capital social.

**TITRE 8. TRANSFORMATION - DISSOLUTION - LIQUIDATION****Article 30 : transformation de la société.**

La transformation de la présente société en société civile, en société en nom collectif, en commandite simple ou en commandite par action exige l'accord unanime des associés. La transformation en société anonyme ne peut être décidée à la majorité requise pour la modification des statuts que si la société a établi ou fait approuver par les associés le bilan de ses deux premiers exercices. Néanmoins, et sous ces mêmes réserves, la transformation en société anonyme peut être décidée par des associés représentant la majorité des parts sociales si les capitaux propres le permettent. La société doit se transformer en société d'une autre forme dans le délai de deux ans si elle vient à comprendre plus de 50 associés. La transformation devra se faire dans les conditions prévues par la loi.

**Article 31 : dissolution.**

La dissolution de la société peut être décidée à tout moment par des associés représentant les  $\frac{3}{4}$  du capital social.

**Article 32 : liquidation**

Ouverture de la liquidation et effet

La société est en liquidation dès l'instant de sa dissolution pour quelque cause que ce soit. Sa dénomination sociale est suivie de la mention « société en liquidation ». Cette mention ainsi que le nom du ou des liquidateurs doivent figurer sur tous les actes et documents émanant de la société et destinés aux tiers, notamment sur toutes lettres, factures, annonces et publications diverses. La personnalité morale de la société subsiste pour les besoins de la liquidation de la société jusqu'à la clôture de celle-ci. La dissolution de la société ne produit ses effets à l'égard des tiers qu'à compter de la date à laquelle elle est publiée au registre du commerce. La liquidation est faite par un ou plusieurs liquidateurs nommés à la majorité en capital des associés pris parmi les associés ou en dehors d'eux. La liquidation est effectuée conformément à la loi. Le produit net de la liquidation est employé d'abord à rembourser le montant des parts sociales qui n'auraient pas été remboursées. Le surplus est réparti entre les associés, au prorata du nombre de parts appartenant à chacun d'eux. Les associés sont convoqués en fin de liquidation pour statuer sur le compte définitif, sur le quitus de la gestion du liquidateur et la décharge de son mandat pour constater la clôture de la liquidation.

**TITRE 9. CONTESTATIONS - FRAIS**

**Article 33 : contestation.**

Toutes les contestations qui pourraient s'élever pendant la durée de la société ou sa liquidation, soit entre les associés, gérants et la société, soit entre les associés eux-mêmes, relativement aux affaires sociales, seront jugées conformément à la loi et soumises à la juridiction des tribunaux compétents du siège social.

**Article 34 : frais.**

Les frais, droits et honoraires des présentes et leur suite seront supportés par la société, portés au compte des frais généraux et amortis dans la première année et, en tout cas, avant la distribution des bénéfices.

Fait en 6 exemplaires.

Abidjan le 30 Juillet 2013.

NB : signature manuscrite de l'associé précédée de la mention manuscrite « lu et approuvé ».  
Gérants ajouteront également « Bon pour acceptation des fonctions de gérant ».

Bon pour acceptation des fonctions de gérants

Lu et approuvé

**Monsieur CHKROUN DANIEL**

C1288084  
018 K...  
ENREGISTREMENT DU PLATEAU  
Le... 0... 2013  
REÇU  
Le Chef de Bureau de  
l'Enregistrement et du Timbre

## Annex 3

**Horsforth T. Ltd. — Communication from the CNS**

Horsforth was officially registered on 1 August 2013, but this official correspondence is dated 29 July 2013. The company is also falsely mentioned to be registered in Israel.



## Annex 4a

## Horsforth T. Ltd – Table of material

	Type of material	Quantity
1	AK 47 assault rifle	3 000
2	AK 47 ammunition clip/magazine (5 per gun)	15 000
3	7.62x39mm AK 47 cartige	525 000
4	Air transport	
5	9mm automatic pistol	1 500
6	9mm assault pistol cartridge	125 000
7	Pistol holster	1 500
8	Ammunition clip/magazine pouch	1 500
9	Leather combat belt	1 500
10	Air transport	
11	Mi-24 attack helicopter	1
12	Air transport	
13	Armoured troop transport, South African RG12 model	2
14	Maritime transport	2
15	Tactical goggles	100
16	Night vision binoculars	60
17	Regular binoculars	25
18	Thermal imaging binoculars	5
19	Binoculars (Bushnell or Tasco, 10x50 magnification)	20
20	Maglite torch	40
21	Air transport	
22	Bullet-proof combat helmet	1 250
23	Air transport	
24	A4 Bullet-proof vest	200
25	Air transport	
26	Elite spider shoe	1 000
27	Air transport	
28	Tactical basic jacket	1 000
29	Air transport	

## Annex 4b

## Information provided by Horsforth T. Ltd



T'01

Date: 20.10.13 Abidjan

**To:** Mr. Manuel Vazquez-Boidard – Coordinator  
Group of Experts on Cote d'Ivoire  
Security Council resolution 2101 (2013)

**From:** Daniel Chekroun  
o Horsforth T. Ltd. Abidjan Côte d'Ivoire

**Subject:** Your letter 04.10.13

**Ref.:** S/AC.45/2013/GE/OC. 100 received on the 09.10.13

Dear Sir,

With your permission I will reply to your letter in English.

- A.** In response to your letter on the **first subject - supply of ballistic vests:**
1. **The item description:** ballistic vests, protection level A4 include Ceramic plates, color black.
  2. **Quantity:** 459 units.
  3. **Date of Sale:** 02.03.2013 (+-)
  4. **Date of delivery:** 15.03.2013
  5. **Type of shipping:** done by air, to the best of my knowledge by Corsair directly to the End User organized by the supplier Palsan (we do not hold any transportation documents).
  6. **Invoice and Customs documents and transport:** our **invoice** under, Customs – we do not hold any customs documents as the release from Airport was done by the End User, without our intervention.
  7. **Export license:** As the export license issued by the Israeli authorities on name of the supplier we are not a side to present the export license. Anyway, we ask Palsan Sasa to send us a copy of the license.

# HORSFORTH T. Ltd.

Our invoice ballistic vests

## HORSFORTH T. LTD.

INVOICE NUMBER 003/01-13

Date: 02.03.2013

Attention to:  
**Mr. KOUMI M. Seraphin**  
 Chief Financial Officer & General Internal Services  
 Office of the President  
 Republic of COTE D'IVOIRE

**Invoice No. 003/01-13**  
 Body Armor Vest NIF 0101.04 level IV

Number	Description	Quantity	Price per unit €	Total price €
1	Body Armor Vest level IV with ergonomic Ceramic Composite Torso Plate Front & Back. Under international standard (official supplier to American, NATO and Israeli armies). Made in Israel	459	647 €	296,973 €
2	Transportation/Delivery by Air		10,324 €	10,324 €
			Total CIF Abidjan	307,297 €

- Cost of the order CIF Abidjan is: **307,297 €**
- Payment terms: 40% in Advance by bank transfer will be done in Euro € Currency.  
30% at BOL (LTA) presentation - delivery.  
30% after 30 calendar day.
- Delivery time: 7 - 14 working days from the issued date of the Export permit.

Please accept the assurance of my sincere gratitude and my deepest respect.

*Olga Kondrashva*  
 Horsforth T. Ltd.

ICI - 01 BP 3337Abidjan 01. Imm. AMIRAL, rue du Commerce - Plateau  
 Tél : (225) 21 33 11 01, 49931377 Fax : (225) 21 33 19 19 E-mail : horsforth.trader@gmail.com

Côte-d'Ivoire – 01 BP 3337Abidjan 01 rue du Commerce – Plateau Tél : (225) 21 33 11 01, **49931377** Fax : (225) 21 33 19 19  
 E-mail : horsforth.trader@gmail.com





**B. In response to the second subject: *List of equipment (Annexed)***

1. List of Suppliers (as of today):

No	Description	Qty	Name of the Manufacturer/supplier	Country and Address
1	Fusil d'assaut AK 47	3,000	<i>Not decided yet who will be the source provider</i>	
2	Chargeur/magazine pour AK47	15,000		
3	Cartouches 7.62x39 mm. pour AK47	525,000		
5	Pistolet automatique 9mm	1,500	IWI ISRAEL	Ramat Hasharon 47100 ISRAEL
6	Cartouches 9 mm. pour Pistolet d'assaut	125,000	<i>Not decided yet who will be the source provider</i>	
7	Étui de pistolet	1,500	IMI Defense	Po Box 396 : Zihron
8	Etui porte chargeur/magazine	1,500	IMI Defense	Yaakov : 30900 : Israel : Tel: (972)77 5321622 : Fax: (972)77 5356512
9	Ceinture de combat en cuirde	1,500	Ceramitext ISRAEL	Kiboutz Ramat Daviv ISRAEL
11	Hélicoptère de combat MI 24	1	<i>Not decided yet who will be the source provider</i>	
13	Blindés pour transport de troupes type RG 12	2		
15	Goggles(lunettes) d'intervention tactiques	100		
16	Jumelles de vision nocturne	60	Newcon Optic Canada	105 Sparks Ave. Toronto, ON. M2H 2S5, CANADA
17	Jumelles normales	25	Newcon Optic Canada	
18	Jumelle thermique	5	Newcon Optic Canada	
19	Jumelles (Bushnell, grossissement 10X50 ou Tasco)	20	Newcon Optic Canada	
20	Lampes MAGLITE	40	<i>Not decided yet who will be the source provider</i>	
22	Casques d'intervention pare-balles	1,250		
24	Gilet pare-balles A4	200	Palsan Sasa	Kiboutz Sasa 13870 ISRAEL
26	Chaussure ELITE SPIDER	1,000	Magnum Boots - Not final - in negotiations	<a href="http://www.magnumboots.com">http://www.magnumboots.com</a>
28	Tactical basic jacket / Veste tactique	1,000	<i>Not yet decided who will be the source provider</i>	



T'01

2. Regarding technical specification of the items on the list above (only items that we already have suppliers), please find below the description and technical information (according the item number in the above list):

**Item no. 7**



IMI-Z1300 - Polymer Holster for Jericho/Baby Eagle PSL (9mm/.40)

Polymer Holster for Jericho/Baby Eagle PSL (9mm/.40)

**FEATURES & BENEFITS:**

- Comfortable contoured fit
- Retention with zero time to disengage
- Sits where your trigger finger normally slides at "The Ready"
- Simply depress the lever and remove the firearm
- Fully adjustable for cant
- Rotates 360 degrees for every application (small of back, cross draw)
- Tension screw
- Made of durable black polymer
- Adjusts with a simple allen key
- Designed for military & police personnel. Great for civilians & off duty officers
- Detachable single magazine pouch rail
- Right handed Polymer Holster
- Protective sight channel

Color Options:  
Choose Your Color

Black  Tan  OD Green

ATTENTION: NOT A POLYMER HOLSTER \$39.00 Available

Your Basket:  
The Basket is Empty

Home | Contact | Terms & Conditions | Privacy Policy | Shipping & Returns | PayPal

Po Box 396 : Zihron Yaakov : 30900 : Israel : Tel: (972)77 5321622 : Fax: (972)77 5356512

Côte-d'Ivoire – 01 BP 3337 Abidjan 01 rue du Commerce – Plateau Tél : (225) 21 33 11 01, 49931377 Fax : (225) 21 33 19 19  
E-mail : horsforth.trader@gmail.com



**Item no. 8**

**IMI DEFENSE**  
Advanced Polymer Products

Log In SEARCH

Home News Product Videos About Us Gallery Product Animation 360° Basket : 0 Items : Checkout Now

Holsters Concealed Carry Holsters **Mag Pouches** Accessories Tactical Equipment AR15/M16 Parts & Accessories

Glock Sig Sauer 1911 Taurus H & K Beretta Browning Colt Makarov EAA Kai-Tec IMI Ruger S & W Steyr Weather Springfield XD CZ

**IMI-Z2030 - MP03 - Double Paddle Mag Pouch**

FITS BERETTA 92, 96; BROWNING HI-POWER (9/40); BROWNING BDM (9); COLT 2000 (9); EAA WITNESS (9/40); KELTEC P11, P40; MAGNUM BABY EAGLE (9/40); RUGER P89-P95 SERIES (9/40); SIG PRO 9 mm, SPRINGFIELD XD (9/40); S&W SERIES 40, 59, 69; S&W SW99 (9/40); TAURUS 92, 100; TAURUS PT909 (9); Taurus PT800 Series (9&40); CZ, WALTHER P88, P99; Walther PPK M1 (Classic), M2, SIG SAUER 226, 229, MK25

**FEATURES AND BENEFITS:**

- Comfortable contoured fit
- Fully adjustable for cant
- Rotates 360 degrees for every application (small of back, cross draw)
- Made of durable black polymer
- Adjusts with a simple allen key
- Designed for military & police personnel. Great for civilians & off duty officers

Color Options:  
Choose Your Color

Black

DOUBLE MAGAZINE POUCH \$29.00 Available

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E-mail : horsforth.trader@gmail.com



**Item no. 17, 19**

## TACTICAL OPTICS

# AN 7x50 M22 - AN 10x50 M22

### TACTICAL BINOCULAR SERIES




**A** AN 7x50 M22: 1.58 kg  
AN 10x50 M22: 1.58 kg

**B** AN 7x50 M22: 202x146x70 mm  
AN 10x50 M22: 152x152x70 mm

**C** MIL-STD-810G

**D** MIL-STD-810G

**E** MIL-STD-810G

	AN 7x50 M22	AN 10x50 M22
Magnification	7x	10x
Field of view diameter	50°	45°
Field of view @ 1000 metres	131 m	114 m
Apparent field of view	38.9°	34.1°
Prism	53°	65°
Coating	BAK-4	BAK-4
Diopter correction	FMC	FMC
M22 reticle	✓	✓
Waterproof	5 m - factory	5 m - factory
Drop rating [height]	1.6 m	1.6 m
Shockproof	MIL-STD-810G	MIL-STD-810G



M22 Reticle

The AN series of binoculars incorporates BAK-4 prisms for superior light transmission and resolution for brilliant clear vision. Non-slip UV-resistant rubber armoring makes these binoculars comfortable to operate even in cold weather. Both units incorporate M22 reticles and are built to meet the needs of the most demanding professional operators. Both are waterproof and shockproof and adhere to the latest military standards while remaining light and compact.

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WWW.NEWCORP-OPTIK.COM

Côte-d'Ivoire – 01 BP 3337 Abidjan 01 rue du Commerce – Plateau Tél : (225) 21 33 11 01, 49931377 Fax : (225) 21 33 19 19  
E-mail : horsforth.trader@gmail.com





**Item no. 16**

NIGHT VISION SYSTEMS

**NV66-G2 GOGGLES**

The NV66-G2 goggles provide the ability to observe terrain under the darkest conditions. This model uses the same approach to night vision as our popular battle-tested NVS 7 goggles, but places it within reach of night vision enthusiasts. Waterproof, lightweight and compact this is one of the most affordable systems on the market. An excellent choice for recreational or professional use.

Optional 3x or 5x easy-to-attach lenses further extend viewing capability.





**Export License NOT REQUIRED**



NV66-G2



NV66-G2 mounted on a helmet

<b>Optical</b>	
Magnification	3x
Field of view	40°
Objective F / T number	F1.2 / T 1.25
Objective focal length	27.5 mm
Focus range	0.25 m - Infinity
Exit pupil	18.5 mm
Eye relief	25 mm
Diopter range	+5 - -6
Interpupillary distance	57-73 mm
<b>Mechanical</b>	
Battery	2 AA
Battery life (minimum)	Over 80 hr without IR ; 30 hr with IR
Low battery indicator	V
IR ON indicator	V
Auto shut off	No
Dimensions	150x120x55 mm
Weight	480 g
<b>Environmental</b>	
Waterproof	1 m / 30 minutes
Operating temperature	-50°C - +50°C
Storage temperature	-50°C - +70°C
<b>Configurations</b>	
Model	NV66-G2
Generation	2+
III model	EP466-G-2
III resolution range	43-51 lp/mm

www.newcon-optik.com  
newconales@newcon-optik.com

105 Sparks Ave., Toronto, Ontario M2H 2E5 Canada  
Phone: +1 (416) 663-6963 | Fax: +1 (416) 663-9065

Côte-d'Ivoire – 01 BP 3337Abidjan 01 rue du Commerce – Plateau Tél : (225) 21 33 11 01, 49931377 Fax : (225) 21 33 19 19  
E-mail : horsforth.trader@gmail.com

**HORSFORTH T. Lt d.**

**Item no. 18**



THERMAL IMAGING SYSTEMS



Sentinel Front View (640-60)

**SENTINEL**

The Sentinel thermal imaging binocular is a technically-advanced device for observation and perimeter security. The Sentinel utilizes a sensitive, high-resolution uncooled bolometer to perceive differences in the thermal signature of objects within the field of view.

The device is intended for detection and recognition of objects at remote distances around the clock day or night, through smoke, fog or camouflage. The Sentinel can detect objects at up to 8 km with an optional 115 mm lens.

The Sentinel thermal imaging binocular is a powerful tool for commercial and hunting applications as well as law enforcement, military and security professionals. Available in 320 or 640 resolution.



Sentinel (640-75)



Sentinel - In Action

Detector	320-60	320-75	640-60	640-75
Model	320-60	320-75	640-60	640-75
Resolution (pixels)	320 x 256	320 x 256	640 x 512	640 x 512
Full frame rates	30 Hz (NTSC); 25 Hz (PAL)			
Pixel size	17 µ	17 µ	17 µ	17 µ
Operating wavelength	7.5 - 13.5 µm			
Sensitivity (millikelvin)	<50mK	<50mK	<50mK	<50mK
DR1 range (meters)*	2,000 / 510 / 255	2,500 / 650 / 330	2,000 / 510 / 255	3,200 / 850 / 430
Optics				
Objective lens diameter	60 mm	75 mm	60 mm	75 mm
Objective F number	1.25	1.0	1.25	1.0
Field of view	5.5°x4.2°	8.2°x6.5°	10.4°x8.3°	9.5°x7.5°
Eye relief	30mm	30mm	30mm	30mm
Dioptric correction	-6 ... +2	-6 ... +2	-6 ... +2	-6 ... +2
Digital zoom	2x , 4x	2x , 4x	2x , 4x	2x , 4x
Electronics				
Video output	PAL and NTSC	PAL and NTSC	PAL and NTSC	PAL and NTSC
Display (pixels)	MOLED, 800x600	MOLED, 800x600	MOLED, 800x600	MOLED, 800x600
Video interface	RCA	RCA	RCA	RCA
Mechanical				
Operating temperature range	-40 to +50 °C			
Shock resistance	300g	300g	300g	300g
Battery type	4 x AA	4 x AA	4 x AA	4 x AA
Continuous battery life	10 hours	10 hours	10 hours	10 hours
Dimensions	150x146x72 mm	181x146x90 mm	150x146x72 mm	181x146x90 mm
Weight with batteries	775g	970g	775g	970g
* Definition, recognition, identification range to a human size target				

www.newcon-optik.com  
newcon@newcon-optik.com

105 Sparks Ave. Toronto, Ontario M2H 2S5 Canada  
Phone : +1 (416) 663-8963 | Fax : +1 (416) 663-9065

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E-mail : horsforth.trader@gmail.com



T'01

**Item no. 24**



Personal Armor System

**The Israeli Defense Forces:** Plasan is the R&D authority and the leading manufacturer for the personal protection system portion of the IDF Future Soldier Systems project. In addition, Plasan is a major supplier of body armor for IDF, Israeli Special Forces, National Police, and secret services.

**International Activities:** International customers for Plasan's personal protection solutions include the military forces of Belgium, Bulgaria, Canada, Chile, Czech Republic, France, Great Britain, Greece, India, Mexico, Poland, Sweden and the USA.

**2. Deliverables**

2.1 "T-BAV" Tactical Body Armor Vest for Army, police and civil uses,

2.1.1 General

Vest is designed and manufactured for best protection of the wearer while keeping it comfortable for wearing while in duty or during everyday activities.

NIJ Level IIIA.

Weight: 2.8 ± 0.1 Kg



For illustration only

Rev.01

2013

Proprietary Information Of Plasan Sasa

Côte-d'Ivoire – 01 BP 3337 Abidjan 01 rue du Commerce – Plateau Tél : (225) 21 33 11 01, 49931377 Fax : (225) 21 33 19 19  
E-mail : horsforth.trader@gmail.com

**HORSFORTH T. Ltd.**

**C. In response to the third subject: Helicopter Mi24**

1. The End User intent to acquire the most advanced model offered for sale by various countries vendors.
2. The Intention is to purchase a helicopter that is in full flight conditions and Engine with full resources.
3. Weapons systems - We wish to find a helicopter with full standard weapons systems and munitions.
4. As we cannot star any negotiation for a helicopter without an authorization form the UN Security Council, we are waiting that the End User authorities will provide us the required permit from the UN Security Council.
5. On the moment that we will have the authorization we will inform you regarding the progress in efforts to locate the appropriate helicopter Mi24 for the End User with full specification include date of production, date of last technique revision, number of flight hours, and any other information according your request.

Sir coordinator,

Our company Horsforth T. Ltd. a legal company registered in Cote d'Ivoire is working in different domains. We are committed comply with the national and international laws and we'll be at your disposal for all the information you need for your mission.

I hope Sir, this letter answers all the questions asked in your letter, if I missed something please do not hesitate to let me know and we will send you the necessary information.

***Please accept the assurance of my highest consideration and my deep respect.***

  
Daniel Chekroun  
*Horsforth T. Ltd.*

## Annex 5a

## End User Certificate and commercial invoice for 200 bulletproof jackets

PRESIDENCE DE LA REPUBLIQUE  
CONSEIL NATIONAL DE SECURITE  
LE SECRETAIRE

REPUBLIQUE DE COTE D'IVOIRE  
Union-Discipline-Travail

Abidjan, le 30 Janvier 2013

### END-USER CERTIFICATE

1. The End-User of the goods: RFCI -Republican Forces of Côte d'Ivoire
2. End-User adresse: Place de la République, Plateau Abidjan 01 Cote d'Ivoire.
3. Description of the goods:

Description	Quantity
BODY ARMOR VEST level IV	530

4. The National Security Council secretary Republic of Côte d'Ivoire certifies that:
  - A. It is the End-User of the goods listed on this certificate.
  - B. The goods will be used in the purpose of National Security of Côte d'Ivoire, in Côte d'Ivoire only.
  - C. The goods on this list will not re-export or/and resell or/and otherwise dispose outside the borders of the Republic of Côte d'Ivoire without an official and written permission of the state of authority issuing the export license according to this End User Certificate.
  - D. The National Security Council secretary Republic of Côte d'Ivoire hereby declare that all the facts contained this certificate are true and correct.
5. The National Security Council secretary Republic of Côte d'Ivoire after acceptance of all the specified goods will issue a certificate of confirmation that The RFCI - Republican Forces of Côte d'Ivoire is in receipt of all goods from the list.

Name of the authorize signature: *Alain-Richard Domyahi* - Secretary  
The National Security Council  
Presidency of the Republic of Côte d'Ivoire

Signature: *Domyahi*

Date: 30 Janvier 2013





## Commercial Invoice #551658

Page 1 of 1  
ORIGINAL

<b>Date :</b>	12-NOV-13
<b>Customer PO:</b>	020/913
<b>Project:</b>	1120032
<b>Plasan REF:</b>	6010673

<b>EXPORTER</b>	<b>PAYMENT TERMS</b>	<b>INCOTERMS 2010</b>
Plasan Sasa LTD. Kibbutz Sasa, M.P. Merom Hagalil 13870	Pay in Advanced	--CIF -ABIDJAN
<b>SHIPMENT NO.</b>		<b>201928</b>

<b>Ship To:</b>	National Security Council RFCI -Republican Forces of Cote d'Ivoire, Place de la Republique, Republique de Cote d'Ivoire, National Security Council, Presidency of Republic Office, Plateau Abidjan 01, Cote d'Ivoire
<b>Contact:</b>	Mamadou KONE
<b>Title:</b>	National Security Council - Senior Assistant
<b>Phone:</b>	225-202-55999
<b>Email:</b>	<b>Fax:</b> (225)20331919

<b>Bill To:</b>	National Security Council
<b>Contact:</b>	
<b>Title:</b>	
<b>Phone:</b>	<b>Fax:</b>
<b>Email:</b>	

#	Plasan Item	Quantity	Description of Goods	Unit Price (USD)	Total Price (USD)
1	4407000001-00	200	Bulletproof Vest NIJ Level IV (including Ceramic plates - front and back)	506.00	101,200.00
<b>Grand Total: (USD)</b>					<b>101,200.00</b>

<b>Port of Loading</b>	<b>Port of Destination</b>
BEN GURION AIRPORT	

<b>Additional comments:</b>
6 BOXES

The exporter of the products covered by this document (customs authorization No. 513768341) declares that , except where otherwise clearly indicated, these products are of ISRAEL (Kibbutz Sasa 13870) preferential origin .
-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

<b>Our bank details:</b>	Leumi	Branch: 10 Asakim Haamakim	Acc. No: 063600-69
		Acc. Name: Plasan Sasa LTD	Swift Code: LUMIILITTLV
			IBAN: IL060107450000006360069

Place and Date : PLASAN SASA 12-NOV-13

Signature and Stamp :



www.plasan.com

Annex 5b

Airway bill and delivery document for 200 bulletproof jackets

923	TLV	0217 785			3785
Shipper's Name and Address PLASAN SSA KIBITZ SSA SASA KIBITZ SSA 870 ISRAEL			Shipper's Account Number		Not Negotiable <b>Air Waybill CORSAIR</b>  Issued by
Consignee's Name and Address National Security Council Presidency of Republic Office Place de la Republique, Abidjan 01 Republique de Cote d'Ivoire			Consignee's Account Number		Copies 12 made if Air Waybill are in this and last three valid  It is agreed that the goods described herein are accepted in apparent good order and condition (except as noted) for carriage SUBJECT TO THE CONDITIONS OF CONTRACT ON THE REVERSE HEREOF. ALL GOODS MAY BE CARRIED BY ANY OTHER MEANS INCLUDING ROAD OR ANY OTHER CARRIER UNLESS SPECIFIC CONTRARY INSTRUCTIONS ARE GIVEN HEREON BY THE SHIPPER, AND SHIPPER AGREES THAT THE SHIPMENT MAY BE CARRIED VIA INTERMEDIATE STOPPING PLACES WHICH THE CARRIER DEEMS APPROPRIATE. THE SHIPPER'S ATTENTION IS DRAWN TO THE NOTICE CONCERNING CARRIER'S LIMITATION OF LIABILITY. Shipper may increase such limitation at liability by declaring a higher value for carriage and paying a supplemental charge if required.
Issuing Carrier's Name and City ISRAELICRG O.L. GISTICS(OL) LTD. LOD 7100, P.O. B 1009 ISRAEL T:+972-3777333 F:+972-3777334			Accounting Information National Security Council Presidency of Republic Office Place de la Republique Abidjan 01 Republique de Cote d'Ivoire		
Agents ITA Code 37-4-209		Account No.			
Airports of departure (if different from first carrier) and destination TEL-AI V			Reference Number		Optional Sighting Information
To CDG	By First Carrier ELA	Routing as Shipped ABJ	to SS	by to by	Currency USD
Airport of Origin ABIDJAN		Requested Flight/Date 323/15.11	Requested Flight/Date 984/17.11	Amount of Insurance X	Declared Value to Shipper D
Handling Information					Declared Value to Consignee V
(For Use by) These dimensions, weight, etc. are for export. Storage and sales in accordance with the conditions of the contract are the responsibility of the shipper.					
No. of Pieces RCP 6	Gross Weight 2,360.00	kg M	Rate Class M	Chargeable Weight 2,360.00	Rate Charge
Total As Arrived		Nature and Quantity of Goods (incl. Dimensions and Weight) BULLETPROOF VST (DIM: 610x120x125) Q/S AS L 150.000 S 99000 Inv.No:551658			
Total As Arrived					
Prepaid As Arrived		Weight Charge Collect		Other Charges	
Valuation Charge		Tax		Total Other Charges Total Other Charges	
Total Paid As Arrived		Total Collect		Shipper certifies to the carrier and the consignee that the goods are not dangerous and that no part of the shipment contains dangerous goods, as properly described by name in this declaration for carriage by air according to the applicable Dangerous Goods Regulations. I.A. CARB O.L. GISTICS(OL) LTD / ERY MIFODIN REF:83072 J B:83072	
Currency Conversion Rates		CC Charges in Currency		Signature of Shipper or his Agent	
For Carriage at Destination		Charges at Destination		Executed on (date) at (place) Signature of Billing Agent or consignee	
				Total Collect Charges 3785	



**Air Service**

01 BP 1727 ABIDJAN 01  
TEL.: 21.27.77.31 - 21.27.80.27 - 21.27.73.93  
21.27.83.17 - 21.27.80.90 - 21.27.73.27



FAX.: 21.27.77.03 - 21.27.72.32 - 21.27.80.27  
MSSITEX: 21.27.77.39

Correspondants :  
**SDV LOGISTIQUE INTERNATIONAL  
SAGATRANS**

Le 26/11/13

IMPORT AERIEN  
05 01 TRST IAER DIVS  
N° ordre décl.: 1

**13035232**

**BORDEREAU DE LIVRAISON**

Dest.: 00000607 **PRESIDENCE DE LA REPUBLIQUE**

X  
X  
ABIDJAN

O.T.: ABS du 18/11/13

Escale: 0082 **SS 984**  
Voyage: Arrivé le: 17/11/13 acconier: SDVSAGA  
B/L n°: 923/02177685 du 15/11/13  
de: TLV TEL AVIV

Déclaration n°: du  
Régime: 017 Mise a la consommation directe Type: IM 4

6 colis Poids brut: 2.360 Kg  
Designation.: BULLETPROOF  
Marque et n°: PRESIDENCE DE REPUBLIC  
ABJ

Magasin:  
Instructions:

Lieu de stockage:

*Magasin: 26/11/13*

*David Chikroy  
26.11.13*

DATE DE LIVRAISON: \_\_\_\_\_ LITIGES: OUI / NON  
NBRE DE COLIS INTACTS: \_\_\_\_\_ NBRE DE COLIS MANQUANTS: \_\_\_\_\_  
NBRE DE COLIS AVARIES: \_\_\_\_\_  
OBSERVATIONS: \_\_\_\_\_ DEFICIT POIDS BRUT: \_\_\_\_\_ KG

VISA DE LA DOUANE \* VISA DE LA DOUANE \* ABIDJAN le  
du MAGASIN ! à la SORTIE !  
VU sortir....colis ! VU sortir....colis !  
le ..... ! le ..... !  
SIGNATURE ..... ! SIGNATURE ..... !

Voir Conditions Générales au verso

## Annex 5c

## End User Certificate for 400 bulletproof jackets

PRESIDENCE DE LA REPUBLIQUE  
-----  
CONSEIL NATIONAL DE SECURITE  
-----  
Le Secrétaire



République de Côte d'Ivoire  
Union-Discipline-Travail  
-----

Abidjan, le 06 août 2013

## CERTIFICAT D'UTILISATEUR FINAL

1. Utilisateur final des biens: Les Forces Républicaines de la Côte d'Ivoire (FRCI).
2. Adresse de l'utilisateur final : Place de la République, Plateau, Abidjan 01, Côte d'Ivoire.
3. Description des biens :

Description	Quantité
Gilet pare-balles A4	400 unités

4. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire certifie que :
  - A. Les FRCI sont l'utilisateur final des biens spécifiés dans ce certificat.
  - B. Les biens spécifiés dans ce certificat seront utilisés afin de garantir la sécurité nationale de la Côte d'Ivoire. Ils seront utilisés uniquement sur le territoire de la Côte d'Ivoire.
  - C. Les biens spécifiés dans ce certificat ne seront pas réexportés et/ou revendu et/ou disposés d'une autre manière hors de la frontière de la République de la Côte d'Ivoire sans permission officielle et écrite, émis par l'autorité qui a distribué les licences d'exportation selon ce certificat d'utilisateur final.
  - D. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire déclare que les faits contenus par ce certificat sont exacts et corrects.
5. Suivant la réception des biens spécifiés dans ce certificat par les FRCI, le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire distribuera un certificat de confirmation.

Nom du signataire autorisé :

**Alain-Richard DONWAHI**  
Secrétaire du Conseil National de Sécurité  
Présidence de la République de Côte d'Ivoire

Signature: \_\_\_\_\_



Date: 08/2013

## Annex 5d

**Purchase order and commercial invoice and for  
459 bulletproof jackets**

**HORSFORTH T. LTD.**

Date: 09.02.2013

Attention: **Palsan Sassa, Israel**

**Purchase order No. 014/2013**

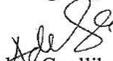
Please be kind to accept our order as follow:

<b>Item description</b>	Bulletproof vest level IV (include Ceramic plats – front and back)
<b>Color</b>	Black
<b>Quantity</b>	459 units
<b>Delivery destination</b>	Abidjan Cote d'Ivoire
<b>Time of delivery CIF Abidjan</b>	Immediately, 14 day from advance payment date (12.02.13)
<b>Price per unit include delivery by air</b>	506.00 U\$D.
<b>Total price for 459 units</b>	232,254.00 U\$D.
<b>Advance payment</b>	50,000.00 U\$D. Done
<b>Balance of Payment - Against presentation of the bill of lading</b>	182,254.00 U\$D. By bank transfer

- Air delivery by Ethiopian airlines.
- Please inform us Export License status.
- Item should reach complex with plates.

For any clarification or question feel free to call us.

Best regards,

  
Adisa Cuolibaly  
Horsforth T. - Abidjan



Commercial Invoice #550301

Page 1 of 1  
ORIGINAL

<b>Date :</b>	28-FEB-13	<b>EXPORTER</b>	<b>PAYMENT TERMS</b>	<b>INCOTERMS 2010</b>
<b>Customer PO:</b>	014/2013	Plasan Sasa LTD.	Pay in Advanced	--CIP -ABIDJAN
<b>Project:</b>	1120032	Kibbutz Sasa, M.P.		
<b>Plasan REF:</b>	6010157	Merom Hagail 13870		
		<b>SHIPMENT NO.</b>	200407	

**Ship To:** Horsforth T. LTD  
 RFCI -Republican Forces of Cote d'Ivoire, Place de la  
 Republique, Plateau Abidjan 01, Cote d'Ivoire

**Contact:** CHEKROUN DANIEL  
**Title:**  
**Phone:** 225-49931377 **Fax:** (225)20331919  
**Email:** horsforth.trader@gmail.com

**Bill To:** Horsforth T. LTD  
 01. Immeuble AMERAL, Rue du Commerce, Plateau,  
 Abidjan, 01 BP 3337, Cote d'Ivoire

**Contact:**  
**Title:**  
**Phone:** **Fax:**  
**Email:**

#	Plasan Item	Quantity	Description of Goods	Unit Price (USD)	Total Price (USD)
1	4407000001-00	459	Bulletproof vest level IV (include Ceramic plats - front and back)	461.87	211,998.33
<b>Grand Total: (USD)</b>					211,998.33

Port of Loading	Port of Destination
TLV	

**Additional comments:**

The exporter of the products covered by this document (customs authorization No. 513768341) declares that, except where otherwise clearly indicated, this products are of ISRAEL (Kibbutz Sasa 13870) preferential origin.

<b>Our bank details:</b> Leumi	Branch: 10 Asakim Haamakim	Acc. No: 063600-69
	Acc. Name: Plasan Sasa LTD	Swift Code:
		IBAN: IL060107450000006360069

Place and Date : PLASAN SASA 28-FEB-13

Signature and Stamp :



www.plasan.com

# Annex 6a

## Purchase of night vision and observation related material



105 Sparks Ave. Toronto M2H 2S5 Canada  
 newconsales@newcon-optik.com http://www.newcon-optik.com  
 Tel: +1 (416) 663-6963 Fax: +1 (416) 663-9065

**INVOICE NO.**  
 43592

**Sold To:**

Horsforth T. Ltd  
 RCI 01 BP 3337 01. Imm. AMIRAL rue du Commerce Plateau

Abidjan, , Ivory Coast  
**Attn:** Daniel Chkroun  
**Tel:** +225 (213) 311-01

**Ship To:**

Horsforth T. Ltd  
 RCI 01 BP 3337 01. Imm. AMIRAL rue du Commerce Plateau

Abidjan, , Ivory Coast  
**Attn:** Daniel Chkroun  
**Tel:** +225 (213) 311-01

Customer ID	Terms	Ship Via	P.O Number	Invoice Date
3789	Prepaid	Synergex Air	051/913	17-Sep-13

#	Product Name	Product Description	Qty	Unit Price	Total
1	NV 66-G2	1x, Gen 2+ NV Goggles, built in I/R, low batt and 'I/R On' i	60	\$1,862.00	\$111,720.00
2	NV 66-G2	1x, Gen 2+ NV Goggles, built in I/R, low batt and 'I/R On' i	1	\$1,862.00	\$1,862.00
3	NVS Lens 4x	4x catadioptric add-on lens for NVS 7	1	\$328.00	\$328.00
4	Hard Case (medium)	Military Standard, hard, waterproof, pressure valve (typi	1	\$190.00	\$190.00
5	AN 7x50M22	7x50, M22 reticle, Mil-Spec Binoculars, Waterproof, Shoc	25	\$274.00	\$6,850.00
6	AN 10x50M22	10x50, M22 reticle, Mil-Spec Binoculars, Waterproof, Sho	20	\$329.00	\$6,580.00
7	SENTINEL	324x256 Thermal Rangefinder Binoculars, 2,000m LRF ra	5	\$19,798.00	\$98,990.00

Tax rate: 0.00% R 132201393  Thank you for your order. If you'd like to order additional items, please Contact order desk at +1 (416) 663-6963 x210	<b>Sub Total:</b> \$226,520.00 <b>Tax:</b> \$0.00 <b>Shipping:</b> \$6,143.00 <b>Total:</b> \$232,663.00 <b>Advance:</b> \$0.00 <b>Grand Total USD:</b> \$232,663.00 <b>Due Date:</b>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**Notes:**

**TERMS AND RESTRICTIONS**

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. NO REFUND on unauthorized returns. All products returned for credit must be in resalable condition. There is no refund or credit for "Special Order" items.<br>2. All RMA products will be replaced or repaired at Newcon Optik's discretion and are subject to the conditions of the Warranty.<br>3. Warranty will be void if product is found to be damaged by accident, misuse, misapplication or as a result of service rendered other than by authorized personnel or representatives of Newcon Optik.<br>4. All products returned for RMA must be accompanied by: (1) copy of the original invoice and packing slip, (2) RMA number and (3) original packing materials. All collect shipments will be refused. | 5. Goods title remains with Newcon Optik until full payment is received.<br>6. Service charge of \$60.00 per item plus shipping will be assessed if an RMA product proves not to be defective.<br>7. 20% restocking charge & back-charge of freight applies to all approved returns and cancelled orders.<br>8. 2% monthly interest charged on all overdue accounts; \$40.00 handling charge for any returned cheque.<br>9. Refer to <a href="http://www.newcon-optik.com/rmainfo.html">http://www.newcon-optik.com/rmainfo.html</a> for full RMA policy. |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

If this order contains any Gen 2+/3 night vision devices or any other dual use/military goods, export of the items without appropriate export permits is strictly forbidden, in accordance with guidelines of the government of Canada and in certain instances the United States International Traffic in Arms Regulation (ITAR). The export of certain thermal imaging devices is prohibited without appropriate export permits issued by the Department of Foreign Affairs and International Trade Canada and/or the State and/or Commerce Department of the United States

**Annex 6b****Communication between the Group of experts and  
Newcon Optik (Extract)**

Further to our last correspondence in the above-captioned matter - in which I responded (my letter of November 12) to your inquiry (your letter of November 8) about a proposed sale to The Cote D'Ivoire via Horsforth T. Ltd. and M. Daniel Chekroun - I have been advised directly and in person by M. Alain-Richard Donwahi, the Conseiller Special du President de la Republique de Cote d'Ivoire, that the transaction has, in fact, now been approved by the UN Special Committee (re Res. 1572) on the basis that all requirements have been satisfied with respect to the applicable exceptions and exemptions in the Sanctions and that the transaction is, as I had previously advised you, one involving the proposed sale of non-lethal equipment to be used by the IC Gendarmerie for public security purposes exclusively within the territory of the IC and for no other purpose (consistent with the End-User Certificates which I forwarded to you).

**Comment:** Relevant elements have been underlined.

## Annex 6c

## End User Certificates

PRESIDENCE DE LA REPUBLIQUE  
-----  
CONSEIL NATIONAL DE SECURITE  
-----  
Le Secrétaire



République de Côte d'Ivoire  
Union-Discipline-Travail  
-----

Abidjan, le 30 septembre 2013

## CERTIFICAT D'UTILISATEUR FINAL

1. Utilisateur final des biens: La Gendarmerie Nationale de la Côte d'Ivoire.
2. Adresse de l'utilisateur final : Place de la République, Plateau, Abidjan 01, Côte d'Ivoire.
3. Description des biens :

Numéro d'article	Description	Quantité
01	NV 66-G2 - 1x, Gen 2+ NV Goggles	61
02	NVS Lens 4x – pour NV 66-G2	1
03	AN 7x50M22 – jumelles	25
04	AN 10x50M22 – jumelles	20

4. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire certifie que :
  - A. La Gendarmerie Nationale est l'utilisateur final des biens spécifiés dans ce certificat.
  - B. Les biens spécifiés dans ce certificat seront utilisés afin de garantir la sécurité publique et l'application de la loi par la Gendarmerie Nationale de la Côte d'Ivoire. Ils seront utilisés uniquement sur le territoire de la Côte d'Ivoire.
  - C. Les biens spécifiés dans ce certificat ne seront pas réexportés et/ou revendu et/ou disposés d'une autre manière hors de la frontière de la République de la Côte d'Ivoire sans permission officielle et écrite, émis par l'autorité qui a distribué les licences d'exportation selon ce certificat d'utilisateur final.
  - D. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire déclare que les faits contenus par ce certificat sont exacts et corrects.
5. Suivant la réception des biens spécifiés dans ce certificat par La Gendarmerie Nationale, le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire distribuera un certificat de confirmation.

Nom du signataire autorisé :

**Alain-Richard DONWAHI**  
Secrétaire du Conseil National de Sécurité  
Présidence de la République de Côte d'Ivoire

Signature :



Date : 30.09.13

PRESIDENCE DE LA REPUBLIQUE  
-----  
CONSEIL NATIONAL DE SECURITE  
-----  
Le Secrétaire



République de Côte d'Ivoire  
Union-Discipline-Travail  
-----

Abidjan, le 30 septembre 2013

### CERTIFICAT D'UTILISATEUR FINAL

1. Utilisateur final des biens: La Gendarmerie Nationale de la Côte d'Ivoire.
2. Adresse de l'utilisateur final : Place de la République, Plateau, Abidjan 01, Côte d'Ivoire.
3. Description des biens :

Description	Quantité
Thermal Imaging - SENTINEL	20 unités

4. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire certifie que :
  - A. La Gendarmerie Nationale est l'utilisateur final des biens spécifiés dans ce certificat.
  - B. Les biens spécifiés dans ce certificat seront utilisés afin de garantir la sécurité publique et l'application de la loi par la Gendarmerie Nationale de la Côte d'Ivoire. Ils seront utilisés uniquement sur le territoire de la Côte d'Ivoire.
  - C. Les biens spécifiés dans ce certificat ne seront pas réexportés et/ou revendu et/ou disposés d'une autre manière hors de la frontière de la République de la Côte d'Ivoire sans permission officielle et écrite, émis par l'autorité qui a distribué les licences d'exportation selon ce certificat d'utilisateur final.
  - D. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire déclare que les faits contenus par ce certificat sont exacts et corrects.
5. Suivant la réception des biens spécifiés dans ce certificat par La Gendarmerie Nationale, le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire distribuera un certificat de confirmation.

Nom du signataire autorisé :

**Alain-Richard DONWAHI**  
Secrétaire du Conseil National de Sécurité  
Présidence de la République de Côte d'Ivoire

Signature :



Date : 30.09.13

## Annex 6d

## Material had to be delivered to Mr. Donwahi personally


**HORSFORTH T. LTD.**

Date: 14.09.2013

Attention: **NEWCON OPTIK**  
 105 Sparks Ave., Toronto, ON, M2H 2S5 Canada  
 Mr. Aaron Buckstein  
 Vice President, Sales and Business Development

**Purchase order No. 015/913**

Please be kind to accept our order as follow:

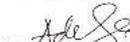
No.	Description	Color	Qty	Price per unit	Total price	Air delivery	Total CIF Abidjan
1	Tactical Night Vision Goggles NV66-G2	Black	60	\$ 1,862.00	\$ 111,720.00	\$ 1,860.00	\$ 113,580.00
2	Tactical Night Vision Goggles NV66-G2	Black	1	\$ 1,862.00	\$ 1,862.00	\$ 31.00	\$ 1,893.00
3	NVS LENS 4X	Black	1	\$ 328.00	\$ 328.00	\$ 452.00	\$ 780.00
4	Hard Case for NV66-G2 + NVS Lens 4X	Green	1	\$ 190.00	\$ 190.00		\$ 190.00
5	Binocular AN 7X50 M22	Green	25	\$ 274.00	\$ 6,850.00	\$ 1,290.00	\$ 8,140.00
6	Binocular AN 10X50 M22	Green	20	\$ 329.00	\$ 6,580.00	\$ 981.00	\$ 7,561.00
7	Thermal Binoculars - SENTINEL	Black	5	\$ 19,798.00	\$ 98,990.00	\$ 1,529.00	\$ 100,519.00
TOTAL >					<b>\$ 226,520.00</b>	<b>\$ 6,143.00</b>	<b>\$ 232,663.00</b>

- Final destination Cote d'Ivoire Abidjan.
- Air delivery only.
- Payments terms: 50% on order, 50% prior to delivery (wire transfer).
- Please send us the invoice including your bank details for payment (call me pls. before issuing the invoice).
- Please inform date of delivery and Air Way Bill number.
- Shipping address: you will be inform next week.
- Item 2, 3, and 4, are a present and sample for the Chief of National Security Council, it has to be packaged separately within main cargo addressed to: the Secretary of National Security Council.



For any clarification or question feel free to call us.

Best regards,

  
 Adisa Cuolibaly  
 Horsforth T. - Abidjan

RCI - 01 RP 3337 Abidjan 01. Imm. AMIRAL rue du Commerce - Plateau  
 Tél: (225) 21 33 11 01, 49931377 Fax: (225) 21 33 19 19 E-mail: horsforth.trader@gmail.com

**Comment:** Items 2, 3 and 4, are a present for the Chief of National Security Council.

## Annex 7

## Supporting documents related to the purchase of 1.500 pistols to IWI

**HORSFORTH T. LTD.** CORRESPONDENCE 4 T 03

Date: 09.10.2013

Attention:  
Mr. Ronen Hamudot V.P. Marketing & Sales  
Mr. Elior Elmaliyah Marketing Director  
Israel Weapon Industries (IWI) Ltd.  
Ramat Hasharon 47100 ISRAEL

FINAL UPDATE 09.10.13

### Purchase order No. 022/1013 (03.10.13)

Continue my telephone conversation with Ronen and Elior today 09.10.13 below the final update of our order from the 03.10.13 as follow:

Item description	JERICO PSL, 9X19
Including:	3 MAGAZINE 9x19; 16rds. ASSEMBLY, 1 BRUSH, NYLON, 1 BRUSH, BRASS, 1 CLEANING ROD, 1 JERICO, CARRYING CASE.
Quantity	1,500 units
Delivery destination	Abidjan Cote d'Ivoire
Time of delivery CIF Abidjan	500 units 90 – 120 days <u>from today 09.10.13</u> 1,000 units from 180 days <u>from today 09.10.13</u>
Price per unit	350.00 USD.
Price of delivery by air	10 USD. Per units
Total price for 1,500 units	525,000 USD.
Total price delivery by air	15,000 USD.
<b>Total deal</b>	<b>540,000 USD.</b>
<b>FIRST SHIPMENT</b>	
<b>500 UNITS = 180,000 USD.</b>	
Down payment 20% for the first shipment of 500 units	36,000 USD. By bank transfer <i>immediately</i>
Balance Payment - Against presentation of the Airway bill of the first shipment of 500 units	144,000.00 USD. By bank transfer
<b>SECOND SHIPMENT</b>	
<b>1,000 UNITS = 360,000 USD.</b>	
Down payment 20% for the second shipment of 1000 units	72,000.00 USD. By bank transfer ( <i>on the week that the first shipment sent to Ivory Coast</i> )
Balance Payment - Against presentation of the Airway bill of the second shipment of 1000 units	288,000.00 USD. By bank transfer

RCI - 01 BP 3337 Abidjan 01. Imm. AMIRAL, rue du Commerce - Plateau  
Tel : (225) 21 33 11 01, 49931377 Fax : (225) 21 33 19 19 E-mail : horsforth.ceo@gmail.com

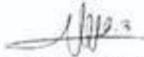
**HORSFORTH T. LTD.**

**FINAL UPDATE 09.10.13**

- Please confirm by signature and IWI stamp the order conditions listed in the above table and send it back to us.
- EUC – we already sent you on the 03.10.13
- We will update you regarding the performance guarantees against our advance payment.
- Please be kind to issue and send us commercial invoices as follow:
  1. Frist invoice on the sum of 180,000 USD.
  2. Second invoice on the sum of 360,000 USD.
- Send us IWI full banking details in English.
- Delivery - Air delivery only.
- I hope you've started the process of issuing export permit, please update us.
- We expect delivery on schedule.

For any clarification or question feel free to call me, Thanks.

Best regards

  
Daniel Chekroun  
Horsforth T. - Abidjan



**PRESIDENCE DE LA REPUBLIQUE**  
**CONSEIL NATIONAL DE SECURITE**



**République de Côte d'Ivoire**  
**Union-Discipline-Travail**

**Le Secrétaire**

Abidjan, le 29 juillet 2013

### **CERTIFICAT D'UTILISATEUR FINAL**

1. Utilisateur final des biens: Les Forces Républicaines de la Côte d'Ivoire (FRCI).
2. Adresse de l'utilisateur final: Place de la République, Plateau, Abidjan 01, Côte d'Ivoire.
3. Description des biens:

Description	Quantité
Pistolet Automatique 9mm	1500 unités

4. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire certifie que:
  - A. Les FRCI sont l'utilisateur final des biens spécifiés dans ce certificat.
  - B. Les biens spécifiés dans ce certificat seront utilisés afin de garantir la sécurité nationale de la Côte d'Ivoire. Ils seront utilisés uniquement sur le territoire de la Côte d'Ivoire.
  - C. Les biens spécifiés dans ce certificat ne seront pas réexportés et/ou revendu et/ou disposés d'une autre manière hors de la frontière de la République de la Côte d'Ivoire sans permission officielle et écrite, émis par l'autorité qui a distribué les licences d'exportation selon ce certificat d'utilisateur final.
  - D. Le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire déclare que les faits contenus par ce certificat sont exacts et corrects.
5. Suivant la réception des biens spécifiés dans ce certificat par les FRCI, le Secrétaire du Conseil National de Sécurité de la République de la Côte d'Ivoire distribuera un certificat de confirmation.

Nom du signataire autorisé:

**Alain-Richard DONWAHI**  
Secrétaire du Conseil National de Sécurité  
Présidence de la République de Côte d'Ivoire

Signature: \_\_\_\_\_





16 December 2013

Mr. David Biggs  
Secretary  
Security Council Committee  
Established pursuant to resolution 1572 (2004) concerning Cote d'Ivoire

Dear Sir,

Re: Your mail ref. s/ac.45/2013/ge/oc.135 of 2.12.13

In the referenced mail, you have asked Israel Weapon Industries (I.W.I) Ltd. ("IWI") to answer certain questions concerning specific individuals and entities concerning activities in Cote d'Ivoire.

Following examinations with the relevant persons, please see below the answers to your questions:

1. Mr. Daniel Chekroun first contacted IWI concerning Cote d'Ivoire on August 25th 2013, by phone, and later by mails, asking for a proposal for sale of pistols for Cote d'Ivoire. We had previous acquaintance with Mr. Chekroun, 5 years ago, not concerning Cote d'Ivoire. IWI provided Mr. Chekroun with a preliminary quote for discussions, and consequently we exchanged some commercial mails. On October 8th 2013 we received by mail a document titled "purchase order" from a company previously unknown to us, named "Horsforth T Ltd.", signed by Mr. Chekroun. We did not confirm this purchase order and applied to the relevant authorities in order to obtain the required approvals for Horsforth T Ltd. About 3 weeks later we received an End User Certificate (Certificat D'utilisateur Final), signed by Alain Richard Donwahi (Attached herewith).
2. We do not know of any stakeholders. The deal was about purchasing 1,500 pistols by Mr. Chekroun, for the Cote d'Ivoire police.
3. The mails exchanged between IWI and Mr. Chekroun dealt only with commercial matters (prices, no. of magazines, time of supply, etc.).
4. Yes. As mentioned above, we received a purchase order from Mr. Chekroun / Horsforth. On December 10th 2013 we received a deposit payment from the company, despite the fact that we did not acknowledge and confirm the order yet.
5. No material whatsoever was delivered to this customer (or to any other customer in Cote d'Ivoire). No order is confirmed, nor implemented, before we receive the required approvals from the Israeli governmental authorities.

We hope this information is of assistance to you.

  
Uri Amit  
President



Israel Weapon Industries (IWI) Ltd. | P.O. Box 63, Ramat Hasharon 4710001 Israel  
T. +972-3-7606000 | F. +972-3-7606001 | www.iwi.net | info@iwi.net

## Annex 8

**Comparative table with date of purchase and  
End User Certificates**

Company	Material ordered	Date of purchase	Date of the end user certificate
Plasan Sasa	200 Bulletproof jackets	Unknown	30 January 2013
	400 Bulletproof jackets	9 February 2013	06 August 2013
	<b>Comment:</b> A total of 649 Bulletproof jackets have been delivered.		
IWI	1.500 pistols Jericho 9mm	9 October 2013	29 July 2013
Newcon Optik	Night vision material	14 September 2013	30 September 2013

**Comment:** The exemption request was transmitted to the Committee by 28 August 2013.

## Annex 9

### Change in the nature of the material notified



To  
Mr. David Biggs  
Secretary, Security Council Committee concerning Cote d'Ivoire  
Teachers' Building, 730 Third Ave, room TB-0804j A  
New-York, NY 10017

Dear Sir,

**RE: Ceramitex Group, Ltd**

On behalf of Ceramitex Group Ltd. (hereinafter "**Ceramitex**") I hereby address the subject matter as follows:

1. Our company operates according to the legal provisions applicable to it. All export products manufactured by us is done after obtaining all permits, if necessary, from the Israeli Ministry of Defense and therefore all the documentation of such export is in the possession of the Israeli Ministry of Defense.
2. Your inquiry relates to information from ten years ago and unfortunately, information from this period of time was kept on a computer that crashed in September 2013. After all our efforts we were able to recover information only from 2011 onwards.
3. To the best of our knowledge, from 2004 to 2012, Ceramitex didn't receive any order from Mr. Daniel Chkroun, except from one order, which the Ministry of Defense – department of export control, gave its approval to. As I mentioned above unfortunately we don't hold any documentation of such order, but you can obtain it from the Ministry of Defense – department of export control.
4. Without derogating from the forgoing, in October 2013, we received one more order from Mr. Daniel Chkroun for the production of a vest for police and security companies (hereinafter "**the product**"). On October 10, 2013 we were granted with export exemption from the Ministry of Defense for exporting the said product.
5. I'm at your service for any clarifications if needed.

Sincerely,

**Comment:** When interviewed by the Group late February 2014, Mr Chkroun specified that 1.500 tactical vests have been ordered to Ceramitex.

## Annex 10

## Price discrepancies

Désignation	Nbre item	Prix remis par Newcom Optik (Canada)	Prix factué par Horsforth T Ltd
<b>Tactical Night Vision Goggles NV66- G2</b>  <i>Commande passée, <b>acompte payé</b>, mais livraison en attente</i>	60	111,720 USD	<b>801,350 USD</b>  (387,853,440 XOF)  <b>Prix multiplié par 7,17</b>
<b>Transport aérien</b>	1	6,143 USD	<b>95,393 USD</b>  (46,170,500 XOF)  <b>Prix multiplié par 15,52</b>

Désignation	Nbre item	Prix remis par IWI (Israel)	Prix factué par Horsforth T Ltd
<b>Pistolets Jericho 9mm</b>  <i>Commande passée, <b>acompte payé</b>, mais livraison en attente</i>	1,500	525,000 USD	<b>1.512.397 USD</b>  (722,211,000 XOF)  <b>Prix multiplié x 2,88</b>

**Table of billing**

	Type of material	Quantity	Price per unit	Total price
1	AK 47 assault rifle	3 000	311 600	934 800 00
2	AK 47 ammunition clip/magazine (5 per gun)	15 000	5 000	75 000 000
3	7.62x39mm AK 47 cartige	525 000	178	93 450 000
4	Air transport		126 225 000	126 225 000
5	9mm automatic pistol	1 500	481 174	722 211 000
6	9mm assault pistol cartridge	125 000	998	124 750 000
7	Pistol holster	1 500	19 025	28 537 500
8	Ammunition clip/magazine pouch	1 500	19 025	28 537 500
9	Leather combat belt	1 500	30 380	45 570 000
10	Air transport		26 720 500	26 720 500
11	Mi-24 attack helicopter	1	5 886 000 000	5 886 000 000
12	Air transport		176 460 000	176 460 000
13	Armoured troop transport, South African RG12 model	2	188 272 000	376 544 000
14	Maritime transport	2	7 250 000	14 250 000
15	Tactical goggles	100	4 066 948	406 694 800
16	Night vision binoculars	60	6 464 224	387 853 440
17	Regular binoculars	25	192 368	4 809 200
18	Thermal imaging binoculars	5	18 077 853	90 368 264
19	Binoculars (Bushnell or Tasco, 10x50 magnification)	20	177 776	3 555 520
20	Maglite torch	40	97 088	3 883 520
21	Air transport		46 170 500	46 170 500
22	Bullet-proof combat helmet	1 250	400 160	500 200 000
23	Air transport		6 812 000	6 812 000
24	A4 Bullet-proof vest	200	600 000	120 000 000
25	Air transport		6 812 000	6 812 000
26	Elite spider shoe	1 000	249 393	249 393 000
27	Air transport		19 300 000	19 300 000
28	Tactical basic jacket	1 000	425 848	425 848 000
29	Air transport		21 037 500	21 037 500

**Annex 11**

**ACMAT vehicles transformed for military operations**



**Mounted with a DShK Heavy machinegun (12.7mm)**



**Gunner seat and mounting for a machinegun (12.7mm or 7.62mm) on the roof**

## Annex 12

### Materiel sold by Condor Brazil to the Presidency of Burkina Faso

#### a. Documents illustrating the sale of the material

**PRESIDENCE DU FASO**  
**ETAT-MAJOR PARTICULIER**



**BURKINA FASO**  
*Unité - Progrès - Justice*

N° 2012/ **0023** /PRES/EMPPF

**CONFIDENTIEL**

**CERTIFICAT DE DESTINATION FINALE**

1. Nous, soussigné, Général de brigade Gilbert **DIENDERE**, Chef de l'Etat-major Particulier de la Présidence du Faso, certifions par la présente que les matériels de CONDOR SA. QUIMICA, ci-après désignés en annexe, appartiennent au Burkina Faso et lui sont exclusivement destinés.
2. Ces matériels ne seront ni expédiés, ni cédés à une tierce partie sans l'autorisation préalable du gouvernement brésilien.
3. En foi de quoi la présente attestation est établie pour servir et valoir ce que de droit.

Ouagadougou, le 9 février 2012.



Le Chef de  
l'Etat-Major  
Général de brigade  
Gilbert **DIENDERE**  
Officier de l'Ordre National.

## ANNEXE

REFERENCE : N° 0023 /PRES/EMPPF DU 26 JAN. 2012

N°	REFERENCE DU PRODUIT	DESCRIPTION DU PRODUIT	QUANTITE
1	AM-600	37/38 mm-Lanceur de Munition Non-Létales	350
2	AM-640	Lanceur de Munitions 40 mm	350
3	GL-201 37/38 mm	37/38 mm Projectile de Moyenne Portée Lacrymogène (CS)	1.000
4	AM-500	Grenade d'entraînement	1.000
5	GL-302	Grenade lacrymogène à Emission Elevée (CS)	700
6	GL-304	Grenade Outdoor à Effet Moral	700
7	GL-305	Grenade Outdoor Lacrymogène (CS)	700
8	GL-307	Grenade à Lumière et Son	700
9	GL-309	Grenade à Emission Lacrymogène-Rubberball (CS)	700
10	GL-310	Grenade Lacrymogène à Mouvements aléatoires (CS)	700
11	MB-502	HC-Grenade Fumigène	700
12	MB-900	Grenade Offensive	700
13	SS-601/AZ	Grenade Fumigène Colorée (Bleu)	700



CONDOR S/A  
INDUSTRIA QUIMICA RUA ARMANDO DIAS  
PEREIRA N 160 ADRIANOPOLIS  
NOVA IGUACU CEP26053 260  
RJ, BRASIL

COMMERCIAL INVOICE No 043/2012

DATE: August 30th, 2012

APPLICANT	NOTIFY			END USER	
<b>SOCOGIEX</b> 09 BP 580 OUAGADOUGOU 09 BURKINA FASO TEL: +226 50 30 07 44	H.K.M TRANS 38 Rue, Tevetias Mson No 208 Quartier KLOUVI - Akodesséwa Près de FUCEC - TOGO 11 B.P. 36 Lomé - TOGO E-mail: hkmtrans@yahoo.fr Cel: (+228) 90 04 34 36 / (+228) 99 45 12 96 Tél/Fax: (+228) 22 71 11 36 Dom.: (+228) 22 71 43 76			<b>PRESIDENCE DU FASO</b> <b>ETAT-MAJOR PARTICULIER</b> <b>BURKINA FASO</b>	
DESCRIPTION OF GOODS	REF.	HSC	QUANTITY	UNIT PRICE (€)	TOTAL PRICE (€)
37/38 mm - Lanceur de Munitions Non-Létales	AM-600	9301.20.00	270	€ 851,29	€ 229.848,30
40 mm Lanceur de Munitions	AM-640	9301.20.00	270	€ 940,90	€ 254.043,00
37/38 mm Projectile de Moyenne Portée Lacrymogène (CS)	GL-201	36.04.90.90	830	€ 29,95	€ 24.858,50
Grenade D'Entraînement	AM-500	3604.90.90	830	€ 39,77	€ 33.009,10
Grenade Lacrymogène à Émission Élevée (CS)	GL-302	3604.90.90	550	€ 63,41	€ 34.875,50
Grenade Outdoor à Effet Moral	GL-304	3604.90.90	549	€ 50,28	€ 27.603,72
Grenade Outdoor Lacrymogène (CS)	GL-305	3604.90.90	550	€ 52,49	€ 28.869,50
Grenade Outdoor à Lumière et Son	GL-307	3604.90.90	550	€ 58,07	€ 31.938,50
Grenade à Émission Lacrymogène - Rubberball (CS)	GL-309	3604.90.90	550	€ 44,44	€ 24.442,00
Grenade Lacrymogène à Mouvements Aléatoires (CS)	GL-310	3604.90.90	550	€ 66,85	€ 36.767,50
HC - Grenade Fumigène	MB-502	3604.90.90	550	€ 72,49	€ 39.869,50
Grenade Offensive	MB-900	3604.90.90	550	€ 29,68	€ 16.324,00
Grenade Fumigène Colorée (Bleue)	SS-601/AZ	3604.90.90	550	€ 65,80	€ 36.190,00
<b>TOTAL FOB</b>					€ 818.639,12
<b>SEA FREIGHT</b>					€ 30.000,00
<b>INSURANCE</b>					€ 1.358,00
<b>TOTAL CIF - LOMÉ, TOGO</b>					€ 849.997,12
<b>Conditions</b>					
<b>DOCUMENTARY CREDIT NUMBER</b>					
CIC12000050					
Date of Issue: 120605					
<b>DESCRIPTION OF GOODS &amp;/OR SERVICES:</b>					
MATERIALS OF LAW AND ORDER MAINTAINING AS PRO FORMA INVOICE NR 008 / 012 DATED ON 08TH MAY 2012, CIF LOME, INCOTERMS 2010.					

Payment:  
Shipment:

**Ricardo Bester**  
Commercial Director

According to Letter of Credit number CIC12000050  
December 2012

Shipper, full style & address

CONDOR S/A INDUSTRIA QUIMICA  
RUA ARMANDO DIAS PEREIRA, 160 - ADRIANOPOLIS - NOVA IGUAÇU  
RJ - 26053-640 , BRAZIL  
CNPJ: 30.092.431/0001-96

Reference No.: CONDOR

B/L No. SSZ-LOME001



Consignee (not negotiable unless consigned to order) full style & address

PRESIDENTE DU FASO  
ETAT- MAJOR PARTICULIER  
BURKINA FASO

Carrier (see Clause 1 overleaf)

Cv Scheepvaart onderneming Fortuna-gracht

**ORIGINAL**

Notify address

H.K.M. TRANS 38 RUE, TEVETIAS MSON  
No 208 QUARTIER KLOUVI -AKODESSEWA PRES DE FUCEC - TOGO-11  
B.P. 36 LOME - TOGO E-MAIL: hkmtrans@yahoo.fr tel:(+228) 90 04 3436  
(+ 228 99 45 12 96 tel/fax:(+228) 22 71 1136 DOM:(+228) 22 71 43 76  
SOCOGIEX 09  
P.O BOX 580 QUAGADOUGOU 09 -BURKINA FASO  
TEL: + 226 60 30 07 44

Law & Jurisdiction

This Bill of Lading shall be governed by and construed in accordance with the laws of the Netherlands, except as provided elsewhere herein and for US Trade the US COGSA shall apply, and any dispute or action arising out of or in connection with this Bill of Lading shall be referred to Arbitration in Amsterdam in accordance with the TAMARA Rules ( available at the Chambers of Commerce of Amsterdam and Rotterdam and at Tamara Institute , P.O. Box 4222, 3006 AE Rotterdam).

Pre-carriage by\*

Place of receipt by pre-carrier\*

Vessel  
mv Fortuna-gracht

Port of loading  
Santos

Freight payable at  
Amsterdam

Port of discharge  
Lome

Place of delivery by on-carrier\*

Number of original Bs/L  
03

Marks and Nos.

PRESIDENCE DU  
FASO - ETAT - MAJOR  
PARTICULIER - BURKINA FASO

Number and kind of packages; description of goods

01 ( ONE ) 20 DRY CONTAINER CONTAINING  
479 FIBREBOARD BOXES AS FOLLOW  
204 FIBREBOARD BOXES CONTAINING 3.029  
GRENADES, PRACTICE (UN0452)-GLASS 1.4G  
G.W:773,90KGS N.W: 644,50KGS CBM 3,155M3  
105 FIBREBOARD BOXES CONTAINING 2.480  
AMMUNITION, TEAR-PRODUCING(UN0310)-GLASS1.4G  
G.W: 825,70KGS N. W: 522,20KGS CBM 3,087M3  
62 FIBREBOARD BOXES CONTAINING 1,100  
AMMUNITIONM SMOKE (UN0303)-GLASS1,4G  
G.W: 609,90KGS N.W:436,90KGS CBM 1,8228M3  
108 FIBREBOARD BOXES CONTAINING 540 LAUCHER  
OF AMMUNITION G.W 1.814,401KGS N.W 1.393,20KGS  
CBM 8,200M3

Gross weight

4023,901 KGS

Measurement

16.2648 M3

PAGE 01 OF 02

4023,901 KGS

16.2648 M3

All terms and conditions, liberties, exceptions and any addenda of the Booking Note, dated [ SANTOS, 12 DEZEMBRO 2012 ] including the Lien, Law and Arbitration Clause, are herewith incorporated.

In case value of the cargo is not declared, any indemnity for loss or damage to cargo caused by a non-accepted fault of the carrier, is to be limited to 666.67 SDRs per package or per unit of freight or 2 SDRs per kg whichever is higher.

Deck clause:

Container: TRLU 308.304-8 carried on deck at Merchant's risk; the Carriers shall not be responsible for any loss or damage or delay howsoever arising, even if caused by negligence or unseaworthiness.

Particulars, including contents etc. are furnished by the Merchant but not acknowledged by the Carrier, unless the Contrary has been expressly agreed. The signing of this Bill of Lading is not to be considered such an agreement.

Freight details, charges etc.  
US\$ 80,000.00 Lump Sum

RECEIVED for (forwarding and\*) shipment in apparent good order and condition, unless otherwise stated in this Bill of Lading, the Goods mentioned above (contents and condition, measurement, weight, quantity, marks, numbers, quality and value unknown), to be carried subject to the terms, conditions and exceptions overleaf, to the port of discharge or so near thereunto as the Vessel may safely get and lie always afloat at all times of the tide, and to be delivered at the aforesaid port unto Consignees or their assigns, they paying freight plus other charges incurred in accordance with the provisions contained in this Bill of Lading. In accepting this Bill of Lading the Merchant expressly accepts and agrees to all its terms, conditions and exceptions on both pages, whether written, printed, stamped or otherwise incorporated. IN WITNESS whereof the Carrier or his Agent has signed the number of original Bills of Lading stated above, all of this tenor and date. One original Bill of Lading must be surrendered duly endorsed in exchange for the Goods upon which the others shall stand void.

Place and date of issue 06 , DEZEMBRO DE 2012

U.S. Trade only:Declared value, if any (see Clause 3 overleaf)

Signed for and on behalf of the Carrier by,

\*In case pre-carriage by and/or place of receipt by pre-carrier and/or place of delivery by on-carrier is filled in, Clause 9 overleaf applies.

**FOR AND ON BEHALF  
OF THE MASTER**

b. Letter from Burkina Faso denying the presence of this materiel in the stockpile

02/11/2014 05:48 2123084690

BURKINA FASO MISSION TO THE UN #3310 F.001/001



**BURKINA FASO**  
*Unité - Progrès - Justice*

Mission Permanente  
auprès des Nations Unies

N° **14.116** /M/PBF /DCF/dk

New York, le **FEB 11 2014**

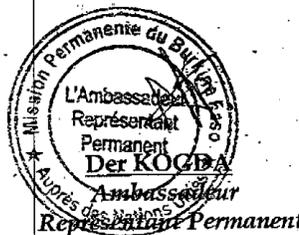
V/Réf.

Objet : Mise en œuvre de la résolution 2101 (2013) du Conseil de Sécurité concernant la République de Côte d'Ivoire.

**Monsieur le Coordonnateur,**

Suite à votre lettre référencée S/AC.45/2013/GE/OC.99 en date du 1<sup>er</sup> octobre 2013, par laquelle vous sollicitiez de mon Gouvernement des informations sur un armement non létal et des munitions associées retrouvées en Côte d'Ivoire en violation de la résolution 2101 du Conseil de Sécurité, j'ai l'honneur de vous informer que les services de sécurité du Burkina Faso ne font pas usage de ce type d'armements.

Je vous prie d'agréer, Monsieur le Coordonnateur, les assurances de ma considération distinguée.



Monsieur Manuel Vasquez-Boiard  
Coordonnateur du Groupe d'experts sur la Côte d'Ivoire  
2 UN Plaza, Room: 0858  
New York NY 10017  
Fax: 212 963 1300  
Tel: 212 963 5598

Received Time Feb. 11, 2014 5:44 PM No. 8337  
First Avenue, Suite 326/327A New York, N.Y. 10017  
Telephone: (1) 212-308-4120/21 - Fax: (1) 212-308-4690 - E-mail: bfapm@un.int - Website: www.burkina-onu.org

Annex 13

Transfer of security equipment by *Etablissements Boche* (France)

DIRECTION GENERALE DES DOUANES

Circuit ROUGE Visite a domicile		DECLARATION		A - BUREAU DE DESTINATION CIAB9 ABIDJAN VRIDI-PORT Référence Douane C 378 20/01/2014 Manifest CIAB1 2013 6477																																																																
2 Exponenteur BOCHE ETS ZA CHAMP THIBAUD 79300 SAINT SAUVEUR		3 Formul. 01 01	4 Liste C 378	20/01/2014																																																																
8 Destinataire MINISTERE DE LA DEFENSE BP V 11 ABIDJAN RCI		5 Article 01	6 Total des colis 67	7 Numéro de référence 2014 004-01/13																																																																
14 Déclarant / Représentant TRANSIT INTERARMEES BP V 02 S, Rue Th Edisjn RCI		9 Destinataire/Exportateur Réel		12 Eléments de la valeur 399 574,00																																																																
18 Identité et nationalité du moyen de transport au départ LADY ROSE		19 Ctr PA	20 Conditions de livraison CIF		13 P.A.C																																																															
21 Identité et nationalité du moyen de transport à l'arrivée LADY ROSE		22 Monnaie et montant total facturé COF 16 005 351,00		23 Taux de 1,00	24 Nature de la transac.																																																															
25 Mode trsp. à l'a 1 Frontière	26 Mode Intérieur	27 Lieu de chargement FRPOV PORT-VENDRES		28 Données financières et bancaires DISP																																																																
29 Bureau d'entrée CIAB1 ABIDJAN-PORT		30 Localisation des marchandises C401		20 Conditions de livraison																																																																
21 Colis et description de la marchandise Marques et -No(s) conteneurs(s) - Nombre et nature Nbr. et typ. 67 No(s) Ct: - Autres chaussures ne couvrant pas la cheville, à cèssus en cuir naturel		32 Article 1	33 Code des marchandises 64039900 00		36 Préfer ATD																																																															
44 Mentions Documents Produit Certificats et auto- risation		No de 918 340+0+81 234+0-0	D val D date	40 Déclaration sommaire / Document précédent LRO1349PA14EAC																																																																
47 Calcul des Taxes		48 Report de paiement		49 Identification entrepôt / Délai																																																																
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Type</th> <th>Base Taxable</th> <th>Taux</th> <th>Montant</th> <th>AP</th> </tr> </thead> <tbody> <tr> <td>DD</td> <td>17 004 925,00</td> <td>5,00</td> <td>850 246,00</td> <td>*</td> </tr> <tr> <td>RST</td> <td>17 004 925,00</td> <td>1,00</td> <td>170 049,00</td> <td>*</td> </tr> <tr> <td>PCS</td> <td>17 004 925,00</td> <td>1,00</td> <td>170 049,00</td> <td>*</td> </tr> <tr> <td>PCC</td> <td>17 004 925,00</td> <td>0,50</td> <td>85 025,00</td> <td>*</td> </tr> <tr> <td colspan="2">Total</td> <td></td> <td>1 275 369,00</td> <td>*</td> </tr> </tbody> </table>		Type	Base Taxable	Taux	Montant	AP	DD	17 004 925,00	5,00	850 246,00	*	RST	17 004 925,00	1,00	170 049,00	*	PCS	17 004 925,00	1,00	170 049,00	*	PCC	17 004 925,00	0,50	85 025,00	*	Total			1 275 369,00	*	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>B - DONNEES</td> <td>Moyen de paiement</td> <td>COMPTANT</td> </tr> <tr> <td>Numéro de liquidation</td> <td>L 378</td> <td>Date 20/01/2014</td> </tr> <tr> <td>Numéro du reçu</td> <td></td> <td>Date</td> </tr> <tr> <td>Garantie</td> <td>0,00</td> <td>Date</td> </tr> <tr> <td>Total taxes</td> <td>20 000,00</td> <td>Unité monétaire nationale</td> </tr> <tr> <td>Total déclaration</td> <td>1 295 369,00</td> <td>Unité monétaire</td> </tr> </table>		B - DONNEES	Moyen de paiement	COMPTANT	Numéro de liquidation	L 378	Date 20/01/2014	Numéro du reçu		Date	Garantie	0,00	Date	Total taxes	20 000,00	Unité monétaire nationale	Total déclaration	1 295 369,00	Unité monétaire	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>41 Numéro d'entrepôt</td> <td>42 Poids net (kg)</td> <td>Code pays</td> </tr> <tr> <td>QA 964,00</td> <td>16 005 351,00</td> <td></td> </tr> <tr> <td>Code de classement</td> <td>Code de classement</td> <td></td> </tr> <tr> <td></td> <td>0,00</td> <td></td> </tr> <tr> <td>43 Valeur statistique</td> <td colspan="2">17 004 925,00</td> </tr> </table>		41 Numéro d'entrepôt	42 Poids net (kg)	Code pays	QA 964,00	16 005 351,00		Code de classement	Code de classement			0,00		43 Valeur statistique	17 004 925,00	
Type	Base Taxable	Taux	Montant	AP																																																																
DD	17 004 925,00	5,00	850 246,00	*																																																																
RST	17 004 925,00	1,00	170 049,00	*																																																																
PCS	17 004 925,00	1,00	170 049,00	*																																																																
PCC	17 004 925,00	0,50	85 025,00	*																																																																
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43 Valeur statistique	17 004 925,00																																																																			
50 Principale obligation		Signature		C BUREAU DE DEPART																																																																
51 Bureaux de transit et pays		Représenté par Lieu et date		53 Bureau de destination (et pays)																																																																
52 Garantie non valable pour		D CONTROLE PAR LE BUREAU DE DESTINATION		54 Lieu et date																																																																
Signature		DOUANES IVORIENNES CABI SERY N° 582		Le 21/01/2014 N° du déclarant/morcelant DIKO ARSENE LOUIS BARTHE MELEDGE Boche																																																																

REPUBLIQUE DE COTE D'IVOIRE  
Ministère de l'Economie et des Finances  
DIRECTION GENERALE DES DOUANES

Circuit VERT		Visite a domicile		1 DECLARATION IM 4		A - BUREAU DE DESTINATION CIAB9 ABIDJAN VRIDI-PORT Référence Douane	
2 Exportateur BOCHE ZA CHAMP THIBAUD 79300 SAINT SAUVEUR FRANCE				3 Formul. 01 01		4 Liste C 10644 13/12/2013 Manifest CIAB1 2013 5930	
8 Destinataire MINISTERE DE LA DEFENSE BP V 11 ABIDJAN RCI				5 Article 01		6 Total des colis 319	
14 Déclarant / Représentant TRANSIT INTERARMES BP V 02 9, Rue Th Edison RCI				15 Pays d'exportation France		17 Code p. dest. a FR b  a CI b	
18 Identité et nationalité du moyen de transport au départ HS DISCOVERER DE yes				19 Ctr yes		20 Conditions de livraison CIF	
21 Identité et nationalité du moyen de transport a l'arrivée HS DISCOVERER				22 Monnaie et montant total facturé XOF 10 677 740,00		23 Taux de 1,00	
25 Mode trsp. à la 1 frontière		26 Mode intérieur		27 Lieu de chargement FRMTX MONTOIR-DE-		28 Données financières et bancaires Cond. de paiement	
29 Bureau d'entrée CIAB1 ABIDJAN-PORT				30 Localisation des marchandises C401			
31 Colis et description de la marchandise Marques et -No(s) conteneurs(s) - Nombre et nature EQUIPEMENTS MILITAIRES Nbr. et typ. 319 PK Colis ("package") No(s) Ctn -- Autres chaussures ne couvrant pas la cheville, à dessus en cuir naturel.				32 Article 1 No.		33 Code des marchandises 64039900 00	
44 Mentions Documents Produit Certificats et auto-risation No de 1 096 760+0+89 374+0-0 D.J. 0007 0014 6611 6612 6603 6001				34 Code P. origine a FR b		35 Poids brut (kg) 428,00	
47 Calcul des Taxes				37 REGIME 4000 798		38 Poids net (kg) 385,00	
Type Base Taxable Taux Montant MP				40 Déclaration sommaire / Document précédent FR2627015		41 Unités d'apurement QA 385,00	
DD 11 863 874,00 5,00 593 194,00 *				42 Prix de l'article 10 677 740,00		43 Code apu. 0,00	
RST 11 863 874,00 1,00 118 639,00 *				44 ATME		45 Ajustement 0,00	
PCS 11 863 874,00 1,00 118 639,00 *				46 Valeur statistique 11 863 874,00			
PCC 11 863 874,00 0,50 59 319,00 *				47 Report de paiement			
Total 889 791,00 *				48 Identification entropôt   Delai			
50 Principal obligé No. Signature				B - DONNEES Moyen de paiement COMPTANT Numéro de liquidation L 10644 / Date 13/12/2013 Numéro du reçu R 3663 Date 13/12/2013 Garantie 0,00 Date Total taxes 20 000,00 Unité monétaire nationale Total déclaration 909 791,00 Unité monétaire			
51 Bureaux de transit et pays Représenté par Lieu et date				C BUREAU DE DEPART			
52 Garantie non valable pour D CONTROLE PAR LE BUREAU DE DESTINATION				Code 53 Bureau de destination (et pays)			
Signature				54 Lieu et date Nom du déclarant/représentant			

REPUBLIQUE DE COTE D'IVOIRE  
Ministère de l'Economie et des Finances  
DIRECTION GENERALE DES DOUANES

Circuit VERT Visite a domicile		1 DECLARATION		A - BUREAU DE DESTINATION	
Mise a la consommation directe		IM	4	CIAB9 ABIDJAN VRIDI-PORT Référence Douane	
2 Exportateur BOCHE ZA CHAMP THIBAUD 79300 SAINT SAUVEUR		3 Formul. 01 01	4 Liste	C 9908 21/11/2013 Manifest CIAB1 2013 5454	
8 Destinataire MINISTERE DE LA DEFENSE BP V 11 ABIDJAN RCI		5 Article 01	6 Total des colis 440	7 Numéro de référence 2013 010/2013	
14 Déclarant / Représentant TRANSIT INTERARMEES BP V 02 9, Rue Th Edison RCI		9 Destinataire/Exportateur Réel		13 P.A.C	
18 Identité et nationalité du moyen de transport au départ COMMANDER AG yes		19 Ctr		20 Conditions de livraison	
21 Identité et nationalité du moyen de transport a l'arrivé COMMANDER		22 Monnaie et montant total facturé XOF 66 382 848,00		23 Taux de 1,00	
25 Mode trsp. à la 1 frontière		26 Mode intérieur		27 Lieu de chargement FRMTX MONTOIR-DE-	
29 Bureau d'entrée CIAB1 ABIDJAN-PORT		30 Localisation des marchandises C401		28 Données financières et bancaires COND. de paiement DISP	
31 Colis et description de la marchandise		32 Article 1 No.		33 Code des marchandises 64039100 00	
Marques et -No(s) conteneurs(s) - Nombre et nature Marques et EQUIPEMENTS MILITAIRES de colis Nbr. et typ. 440 PK No(s) Ctn Colis ("package") -- Autres chaussures couvrant la cheville, à dessus en cuir naturel.		34 Code P. origine a FR b		35 Poids brut (kg) 9 000,00	
		37 REGIME 4000 798		38 Poids net (kg) 8 100,00	
		40 Déclaration sommaire / Document précédent FR2613995		36 Préfer ATD	
44 Mentions Documents Produit Certificats et autorisation		41 Unités d'apurement QA 8 100,00		42 Prix de l'article 66 382 848,00	
No de 1 821 920+0+342 738+0-0 D.J. 0007 0014 6611 6612 6603 6001		Code M. ATME		45 Ajustement 0,00	
47 Calcul des Taxes		48 Report de paiement		49 Identification entrepôt   Délai	
Type	Base Taxable	Taux	Montant	MP	
DD	68 547 506,00	5,00	3 427 375,00	*	
RST	68 547 506,00	1,00	685 475,00	*	
PCS	68 547 506,00	1,00	685 475,00	*	
PCC	68 547 506,00	0,50	342 738,00	*	
Total			5 141 063,00	*	
50 Principal obligé		Signature		C BUREAU DE DEPART	
51 Bureaux de transit et pays		Représenté par Lieu et date			
52 Garantie non valable pour		Code		53 Bureau de destination (et pays)	
D CONTROLE PAR LE BUREAU DE DESTINATION		Tampon:		54 Lieu et date	
Signature				Nom du déclarant/représentant	

Annex 14

Transfer of security equipment by Auger Consulting (France)

REPUBLIQUE DE COTE D'IVOIRE  
Ministère de l'Economie et des Finances  
DIRECTION GENERALE DES DOUANES

Circuit ROUGE Visite a domicile

1 DECLARATION  
IM 4

A - BUREAU DE DESTINATION  
CIAB9  
ABIDJAN VRIDI-PORT  
Référence Douane  
C 373 20/01/2014  
Manifest CIAB1 2014 32

2 Exportateur  
AUGER CONSULTING  
10 ROUTE DE MOLAINES 45510 TIGY  
FRANCE TEL: (+33)360461223

3 Formul. 4 Liste  
01 01

5 Article 6 Total des colis 7 Numéro de référence  
01 163 2014 003.01/14

8 Destinataire 8900007Q

9 Destinataire/Exportateur Réel

14 Déclarant / Représentant 00216B  
TRANSIT INTERARMEES  
BP V 02 3, Rue Th Edison  
RCI

15 Pays d'exportation Espagne  
16 Pays d'origine France  
17 Code p. dest. a) ES b) CI c) AT  
17 Pays de destination Cote d'Ivoire

19 Identité et nationalité du moyen de transport au départ HANJIN GOLD 0004W MT YES  
19 Ctr CIF

20 Conditions de livraison

21 Identité et nationalité du moyen de transport à l'arrivée HANJIN VERSAILLES  
22 Montant et montant total facturé XOF 19 460 000,00  
23 Taux de 0 1  
24 Nature de la transac.

25 Mode trsp. à la frontière 26 Mode intérieur  
27 Lieu de chargement ESALG ALGECIRAS  
28 Opérations financières et bancaires DISP

29 Bureau d'entrée CIAB1 ABIDJAN-PORT  
30 Localisation des marchandises C401

31 Marques et description de la marchandise  
Marques et EQUIPEMENTS MILITAIRES  
de colis  
Nbr. et typ. 163 CT  
No(s) Ctn Carton

32 Article 1  
33 Code des marchandises 73101000 00  
34 Code P. origine a) FR b) 2 000,00  
35 Poids brut (kg) 4000  
36 Préfer ATD  
37 REGIME 798  
38 Poids net (kg) 1 800,00

40 Déclaration sommaire / Document précédent  
FOC307926700

41 Unité d'appréhension QA 1 800,00  
42 Prix de l'article 19 460 000,00  
Code app.

43 Ajustement 0,00  
44 Mentions Documents  
Produit Certificats et autorisation  
No de 1 346 500+0+294 885+0-0  
D.J. 0007 0014 6611 6612 6603 6001  
Code M. ATME  
45 Ajustement  
46 Valeur statistique  
21 101 385,00

47 Calcul des Taxes

Type	Base Taxable	Taux	Montant	MP
DD	21 101 385,00	5,00	1 055 069,00	
RST	21 101 385,00	1,00	211 014,00	
PCS	21 101 385,00	1,00	211 014,00	
PCC	21 101 385,00	0,50	105 507,00	

48 Report de paiement  
49 Identification entrepot / Délai

B - DONNEES  
Moyen de paiement COMPTANT  
Numéro de liquidation L 373 Date 20/01/2014  
Numéro du reçu Date  
Garantie 0,00 Date  
Total taxes 20 000,00 Unité monétaire nationale  
Total déclaration 1 602 604,00 Unité monétaire

Direction Générale des Douanes  
Sous-Direction des Services Économiques  
Bureau des Services Économiques  
Exonérations des Droits de Douane  
RECEVUE  
27 JAN 2014

50 Principal obligé  
Signature  
C BUREAU DE DÉPART

51 Bureaux de transit et pays  
Représenté par  
Lieu et date

52 Garantie non valable pour  
Code 53 Bureau de destination (cf. 13ys)

D CONTROLE PAR LE BUREAU DE DESTINATION  
Taux  
54 Lieu et date  
Signature  
Le 20/01/14  
ARSENÉ LOUIS BARTHÉ MELEDGE  
582

Ministère de l'Économie et des Finances  
DIRECTION GÉNÉRALE DES DOUANES

Circuit ROUGE Visite a domicile		1 DECLARATION		A - BUREAU DE DESTINATION	
2 Exportateur AUGER CONSULTING 10 ROUTE DE MOLAINE 45510 TIGY FRANCE		3 Formul. 01 01	4 Liste	CIAB9 ABIDJAN VRIDI-PORT Référence Douane C 446 22/01/2014 Manifest CIAB1 2013 6539	
8 Destinataire MINISTERE DE LA DEFENSE BP V 11 ABIDJAN RCI		5 Article 01	6 Total des colis 100	7 Numéro de référence 2014 006.01/14	
14 Déclarant / Représentant TRANSIT INTERARMEES BP V 02 9; Rue Th Edison RCI		9 Destinataire/Exportateur Réel		13 P.A.C	
18 Identité et nationalité du moyen de transport au départ MAERSK CAPETOWN		19 Ctr SG yes		20 Conditions de livraison CIF	
21 Identité et nationalité du moyen de transport à l'arrivée MAERSK CAPETOWN		22 Monnaie et montant total facturé XOF 15 374 229,00		23 Taux de 1,00	
25 Mode trsp. à la 1 frontière		26 Mode intérieur		27 Lieu de chargement CNNGB NINGBO	
29 Bureau d'entrée CIAB1 ABIDJAN-PORT		30 Localisation des marchandises C401		28 Données financières et bancaires COND. de paiement DISP	
31 Colis et description de la marchandise Marques et -No(s) conteneurs(s) - Nombre et nature EQUIPEMENTS MILITAIRES Nbr. et typ. 100 CT Carton No(s) Ctn - Réservoirs, futs, tambours, bidons, boîtes et autres récipients similaires de transport ou d'emballages, d'une contenance de moins de 50 litres en		32 Article 1		33 Code des marchandises 73102900 00	
44 Mentions Documents Produit Certificats et auto- risation No de 1 921 349+0+114 357+0-0 D.J. 00C7 0014 6611 6612 6603 6001		34 Code P. origine a FR b		35 Poids brut (kg) 1 380,00	
47 Calcul des Taxes		37 REGIME 4000 798		38 Poids net (kg) 1 242,00	
48 Déclaration sommaire / Document précédent DBST000254		41 Unités d'apurement QA 1 242,00		42 Prix de l'article 15 374 229,00	
49 Identification entrepôt   Dolei		45 Ajustement 0,00		46 Valeur statistique 17 409 935,00	
50 Principal obligé		51 Bureaux de transit et pays		52 Garantie non valable pour	
53 Bureau de destination (et pays)		54 Lieu et date		55 Lieu et date	
56 Lieu et date		57 Lieu et date		58 Lieu et date	

Direction Générale des Douanes  
Sous-Direction des Régimes Composites  
Bureau O...  
RE...

23 JAN 2014

LEUTENANT-COLONEL  
ADIKO ARSENE LOUIS BARTHE MELEDGE  
Chef du Service Transit Interarmées

Ministère de l'Economie et des Finances  
DIRECTION GÉNÉRALE DES DOUANES

**MINISTÈRE DE LA DÉFENSE  
SERVICE  
TRANSIT INTERARMÉES  
IMPORT**

**A - BUREAU DE DESTINATION**  
CIAB9  
ABIDJAN VRIDI-PORT  
Référence Douane  
C 10454 09/12/2013  
Manifest CIAB1 2013 5949

1 **DECLARATION**  
IM 4

2 Exportateur  
AUGER CONSULTING  
10 ROUTE DE MOLAINÉ  
44510 TIGY FRANCE

3 Formul. 01 01 4 Liste 01 01  
5 Article 01 6 Total des colis 400 7 Numéro de référence 2013 009/2013

8 Destinataire 8900007Q  
MINISTÈRE DE LA DÉFENSE  
BP V 11 ABIDJAN  
RCI

9 Destinataire/Exportateur Réel

10 Dernier pays FR 11 Pays con. cor. 12 Eléments de la valeur 1 706 000,00 13 P.A.C

14 Déclarant / Représentant 00216B  
TRANSIT INTERARMÉES  
BP V 02 9, Rue Th Edison  
RCI

15 Pays d'exportation France 16 Pays d'origine Chine 17 Code p. dest. a) FR b) a) CI b) 17 Pays de destination Cote d'Ivoire

18 Identité et nationalité du moyen de transport au départ HERMANN HESSE 19 Ctr. 99 yes 20 Conditions de livraison CIF

21 Identité et nationalité du moyen de transport à l'arrivée HERMANN HESSE 22 Monnaie et montant total facturé XOF 24 000 000,00 23 Taux de 1,00 24 Nature de la 0 1 transac.

25 Mode trsp. à la 1 frontière 26 Mode intérieur 27 Lieu de chargement ESALG ALGECIRAS 28 Données financières et bancaires DISP  
Cond. de paiement

29 Bureau d'entrée CIAB1 ABIDJAN-PORT 30 Localisation des marchandises C401

31 Colis et description de la marchandise  
Marques et -No(s) conteneurs(s) - Nombre et nature  
EQUIPEMENTS MILITAIRES  
Nbr. et typ. 400 CT Carton  
No(s) Ctn  
- Autres chaussures couvrant la cheville, à dessus en cuir naturel.

32 Article 1 No. 33 Code des marchandises 64039100 00  
34 Code P. origine a) CN b) 35 Poids brut (kg) 5 600,00 36 Préfer  
37 RÉGIME 4000 1798 38 Poids net (kg) 5 040,00 37 ATD  
40 Déclaration sommaire / Document précédent  
MOLU11012723950

41 Unités d'apurement QA 5 040,00 42 Prix de l'article 24 000 000,00 43 Code M. 45 Ajustement 0,00  
46 Valeur statistique 25 706 000,00

47 Calcul des Taxes

Type	Base Taxable	Taux	Montant	MP
DD	25 706 000,00	5,00	1 285 300,00	-
RST	25 706 000,00	1,00	257 060,00	-
PCS	25 706 000,00	1,00	257 060,00	-
PCC	25 706 000,00	0,50	128 530,00	-
Total			1 927 950,00	-

48 Report de paiement 49 Identification entre-êt | Délai

**B - DONNÉES**  
Moyen de paiement COMPTANT  
Numéro de liquidation L 10454 / Date 09/12/2013  
Numéro du reçu / Date  
Garantie 0,00 / Date  
Total taxes 20 000,00 Unité monétaire nationale  
Total déclaration 1 947 950,00 Unité monétaire

50 Principal obligé No Signature

51 Bureaux de transit et pays Représenté par Lieu et date

52 Garantie notifiable pour Code 53 Bureau de destination (et pays)

54 Lieu et date

55 Nom du déclarant/représentant

**DOUANES IVOIRIENNES**  
YRO Espérance Acoline  
N° 719

**LIEUTENANT-COLONEL**  
ADIKO Arsène Louis Barthe M.  
Chef du Service Transit Interarmées

Direction Générale des Douanes  
Sous-direction des régimes économiques  
Bureau Opérationnel des Exonérations et des Franchises  
POD

09 DEC 2013

09 DEC 2013

Annex 15

Transfer of security equipment by DCA France (France)

Ministère de l'Économie et des Finances  
DIRECTION GÉNÉRALE DES DOUANES

**A - BUREAU DE DESTINATION**  
CIAB9  
ABIDJAN VRIDI-PORT  
Référence Douane  
20/01/2014  
21  
01  
14

Circuit ROUGE Post control

1 DECLARATION IM 4

Mise à la consommation directe

2 Exportateur  
DCA FRANCE SARL  
710 AVENUE DE LA AQUIERA ZI DE  
L'ARGILE BP 1405  
06371 MOUANS SARTOUX CEDEX

3 Formulaire 01 01

4 Liste C 381

5 Article 01

6 Total des colis 138

7 Numéro de référence 2014 005.01/14

8 Destinataire/Exportateur Réel  
8900007Q  
MINISTERE DE LA DEFENSE  
BP V 11 ABIDJAN  
RCI

9 Destinataire/Exportateur Réel

Dernier pays FR

11 Pays con.

12 Eléments de la valeur 1 205 229,00

13 P.A.C.

14 Déclarant / Représentant 00216B  
TRANSIT INTERARMÉES  
BP V 02 9, Rue Th Edison  
RCI

15 Pays d'exportation France

16 Pays d'origine France

17 Code p. dest. a) FR b) CI

18 Identité et nationalité du moyen de transport au départ  
LADY ROSE

19 Ctr. PA yes

20 Conditions de livraison CIF

21 Identité et nationalité du moyen de transport à l'arrivée  
LADY ROSE

22 Monnaie et montant total facturé XOF 15 414 990,00

23 Taux de 1,00

24 Nature de la transac.

25 Mode trsp. à la 1 frontière 26 Mode Intérieur

27 Lieu de chargement FRPOV PORT-VENDPRES

28 Données financières et bancaires DISP

29 Bureau d'entrée CIAB1 ABIDJAN-PORT

30 Localisation des marchandises C401

31 Colis et description de la marchandise

32 Article 1

33 Code des marchandises 42029300 00 1

34 Code d'origine a) FR b) CI

35 Poids brut (kg) 1 600,00

36 Réfo. 38 Poids net (kg) 4000 798 1 440,00

37 RÉGIME 4000

38 Poids net (kg) 1 440,00

39 Réfo. ATD

40 Déclaration sommaire / Document précédent LRO1349PA15EAG

41 Unités d'équipement QA 1 440,00

42 Prix de l'article 15 414 990,00

43 Code esp.

44 Mentions Documents Produit Certificats et autorisation

No. de 1 128 246+0+76 983+0-0

D.Val 0007 0014 6611 6612 5603 6001

D. Qte

45 Ajustement 0,00

46 Valeur statistique 16 620 219,00

47 Calcul des Taxes

Type	Base Taxable	Taux	Montant	MP
DD	16 620 219,00	5,00	831 011,00	*
RST	16 620 219,00	1,00	166 202,00	*
PCS	16 620 219,00	1,00	166 202,00	*
PCC	16 620 219,00	0,50	83 101,00	*
Total			1 246 516,00	*

48 Report de paiement

49 Identification entrepôt / Date

B - DOKREES

Moyen de paiement COMPTANT

Numéro de liquidation L 381 Date 20/01/2014

Numéro du reçu

Garantie 0,00 Date

Total taxes 20 000,00 Unité monétaire nationale

Total déclaration 1 266 516,00 Unité monétaire

50 Principaux obligés

No.

Signature

C BUREAU DE DÉPART

51 Bureau de transit et pays

Représenté par

Lieu et date

52 Garantie non valable pour

Code 53 Bureau de destination (et pays)

D CONTROLE PAR LE BUREAU DE DESTINATION

Tampon

54 Lieu et date

Nom du déclarant/présentant  
ADIKO ARSENE LOUIS BARTHE MELEDGE

Signature

Direction Générale des Douanes  
Sous-direction des régimes économiques  
Bureau d'Abidjan  
Exécution et services  
BUREAU DE DESTINATION  
Le 21/01/14

REPUBLIQUE DE COTE D'IVOIRE  
Ministère de l'Economie et des Finances  
DIRECTION GENERALE DES DOUANES

Circuit VERT		Post control		1 DECLARATION IM 4		A - BUREAU DE DESTINATION CIAB9 ABIDJAN VRIDI-PORT Référence Douane	
Mise a la consommation directe				3 Formul. 01 03		4 Liste C 9185 28/10/2013 Manifest CIAB1 2013 5054	
2 Exportateur DCA FRANCE SARL 710 AVENUE DE LA QUIERA ZI DE L4ARGILE BP 1405 06371 MOUANS SARTOUX CEDEX FRANCE				5 Article 05		6 Total des colis 462	
8 Destinataire 8900007Q MINISTERE DE LA DEFENSE BP V 11 ABIDJAN RCI				7 Numéro de référence 2013 007/2013			
14 Déclarant / Représentant 00216B TRANSIT INTERARMEES BP V 02 9, Rue Th Edison RCI				9 Destinataire/Exportateur Réel			
15 Pays d'exportation France		11 Pays FR con.		12 Eléments de la valeur 2 771 823,00		13 P.A.C	
16 Pays d'origine France		15 Code p. a FR b		17 Code p. dest. a CI b		17 Pays de destination Cote d'Ivoire	
18 Identité et nationalité du moyen de transport au départ JOLLY MARRONE IT		19 Ctr yes		20 Conditions de livraison CIF			
21 Identité et nationalité du moyen de transport à l'arrivée JOLLY MARRONE		22 Monnaie et montant total facturé XOF 66 279 181,00		23 Taux de 1,00		24 Nature de la transac.	
25 Mode trsp. à la 1   frontière   intérieur		26 Mode interieur		27 Lieu de chargement FRMRS MARSEILLE		28 Données financières et bancaires DISP	
29 Bureau d'entrée CIAB1 ABIDJAN-PORT		30 Localisation des marchandises C401		28 Données financières et bancaires Cond. de paiement			
31 Colis et description de la marchandise		32 Article 1		33 Code des marchandises No. 62104000 00		34 Code P. origine a FR b	
Marques et -No(s) conteneurs(s) - Nombre et nature EQUIPEMENTS MILITAIRES		35 Poids brut (kg) 5 610,00		36 Préfer ATD		37 REGIME 4000 798 5 189,00	
Nbr. et typ. 361 PK Colis ("package")		38 Poids net (kg) 5 189,00		40 Déclaration sommaire / Document précédent MR310930			
No(s) Ctn		41 Unités d'apurement QA 5 189,00		42 Prix de l'article 51 952 451,00		Code apu.	
- Autres vêtements pour hommes ou garçons en produits des n°s 59.03, 59.06 et 59.07.		Code M. ATME		45 Ajustement 0,00		46 Valeur statistique 54 125 124,00	
44 Mentions Documents Produit Certificats et autorisation		No de 1 892 134+0+280 539+0-0		D.Val 0007 0014 6611 6612 6603 6001		D.Qté	
47 Calcul des Taxes		Type		Base Taxable		Taux	
		DD		54 125 124,00		5,00	
		RST		54 125 124,00		1,00	
		PCS		54 125 124,00		1,00	
		PCC		54 125 124,00		0,50	
		Montant		2 706 256,00		MP *	
		Total		4 059 384,00		*	
50 Principal obligé		No.		Signature		C BUREAU DE DEPART	
51 Bureaux de transit et pays		Représenté par Lieu et date					
52 Garantie non valable pour		Code		53 Bureau de destination (et pays)			
D CONTROLE PAR LE BUREAU DE DESTINATION		Signature		Tampon:		54 Lieu et date	
						Nom du déclarant/représentant	

REPUBLIQUE DE COTE D'IVOIRE  
Ministère de l'Economie et des Finances  
DIRECTION GENERALE DES DOUANES

Circuit VERT Visite a domicile		1 DECLARATION IM 4		A - BUREAU DE DESTINATION CIAB9 ABIDJAN VRIDI-PORT Référence Douane C 7862 06/09/2013 Manifest CIAB1 2013 4344	
Mise a la consommation directe		3 Formul. 01 04	4 Liste	7 Numéro de référence 2013 2013/010KY	
2 Exportateur DCA FRANCE SARL 710 AVENUE DE LA QUIERA ZI DE L'ARGILE BP 1405 06371 MOUANS SARTOUX CEDEX FRANCE		5 Article 08	6 Total des colis 271	9 Destinataire/Exportateur Réel	
8 Destinataire 8900007Q MINISTERE DE LA DEFENSE BP V 11 ABIDJAN RCI		11 Pays FR con. Cty.		12 Eléments de la valeur 2 505 753,00	13 P.A.C
14 Déclarant / Représentant 00216B TRANSIT INTERARMEES BP V 02 9, Rue Th Edison RCI		15 Pays d'exportation France		15 Code p. a FR b	17 Code p. dest. a Cl b
18 Identité et nationalité du moyen de transport au départ CITY OF HONG KONG AG		19 Ctr yes	20 Conditions de livraison CIF		
21 Identité et nationalité du moyen de transport à l'arrivée CITY OF HONG KONG		22 Monnaie et montant total facturé XOF 49 311 567,00		23 Taux de 1,00	24 Nature de la transac.
25 Mode trsp. à la 1 frontière	26 Mode intérieur	27 Lieu de chargement ESALG ALGECIRAS		28 Données financières et bancaires COND. de paiement DISP	
29 Bureau d'entrée CIAB1 ABIDJAN-PORT		30 Localisation des marchandises C401		32 Article 1 No.	
31 Colis et description de la marchandise Marques et -No(s) contenétirs(s) - Nombre et nature Marques et EQUIPEMENT MILITAIRE de colis Nbr. et typ. 71 CT No(s) Ctn - Autres meubles en métal.		33 Code des marchandises 94032000 00		34 Code P. origine a FR b	
44 Mentions Documents Produits Certificats et auto-ri-sation		35 Poids brut (kg) 1 642,00		36 Préfer ATD	
No de 685 843+0+187 464+0-0 D.J. 0007 0014 6611 6612 6603 6001		37 REGIME 4000 798 1 477,00		38 Poids net (kg) 1 477,00	
		40 Déclaration sommaire / Document précèdent 602802572		41 Unités d'apurement QA 1 477,00	
		42 Prix de l'article 17 186 073,00		Code apu.	
		Code M. ATME		45 Ajustement 0,00	
		46 Valeur statistique 18 059 380,00			
47 Calcul des Taxes		48 Report de paiement		49 Identification entrepôt   Délai	
Type	Base Taxable	Taux	Montant	MP	
DD	18 059 380,00	5,00	902 969,00	*	
RST	18 059 380,00	1,00	180 594,00	*	
PCS	18 059 380,00	1,00	180 594,00	*	
PCC	18 059 380,00	0,50	90 297,00	*	
Total			1 354 454,00	*	
50 Principal obligé No.		Signature		C BUREAU DE DEPART	
51 Bureaux de transit et pays		Représenté par Lieu et date			
52 Garantie non valable pour		Code		53 Bureau de destination (et pays)	
D CONTROLE PAR LE BUREAU DE DESTINATION		Tampon:		54 Lieu et date	
Signature				Nom du déclarant/représentant	

## Annex 16

### Ammunition of likely Sudanese origin



Small calibre ammunition, Sudan, post-2004

## Annex 17

### Propelled grenades and small caliber ammunition of likely Romanian origin

**Grenade 1:** PG-7 — identification mark: 17-05-451 (production in 2005)



**Grenade 2:** OG – 7 — identification mark: 41-05-425 (production in 2005)

Romanian 7.62x54mm ammunition (production in 2008)



### Annex 18a

## Tear gas grenades with similarities with ammunition of Serbian origin

### Smoke and tear-producing ammunition, type MN-01

Photo 1



Photo 2

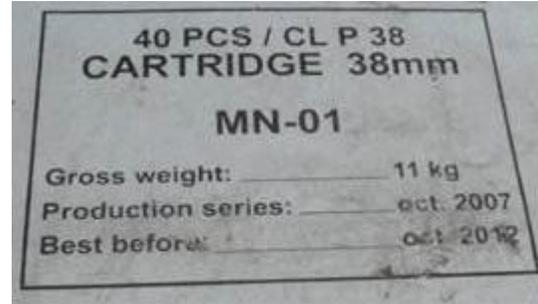


Photo 3



Photo 5

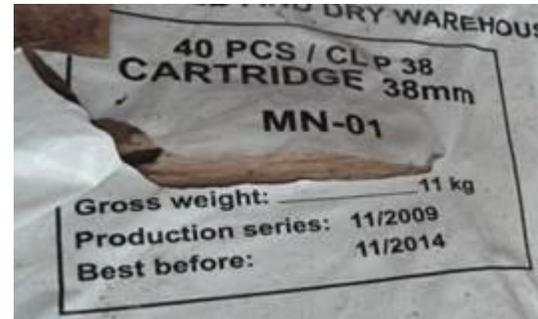


Photo 5



Photo 6



### Tear-producing ammunition, type RB-M2



## Annex 18b

### Answer provided by Serbian authorities



Ambassador  
Permanent Representative of the Republic of  
Serbia  
to the United Nations

*No : 1866-3/2013*

13 December 2013

Dear Mr. Vázquez-Boidard,

I am writing to you in response to the letter dated 8 November 2013 inquiring about information regarding smoke and tear producing ammunition types RB-M2 and MN-01.

Based on the information provided to us by the competent ministries of the Republic of Serbia, as well as expert analysis of the relevant photographs enclosed with your letter, that was conducted by the manufacturer of this type of ammunition - TRAYAL Corporation, it was determined that the referenced ammunition did not originate from the production facilities of the Republic of Serbia.

Comparative analysis of the provided photographs has shown significant distinctions of the labels used by TRAYAL from the labels visible on the packages in the photographs. Precisely, tear-producing ammunition, type RB-M2 contains a label with the reference number "32 PCS/GFL M 48", TRAYAL's reference number on the other hand is "32 PCS". Differences in terms of marking the production series are also evident, since the photograph suggests "Production series: Oct.2007", while TRAYAL's label is marked e.g. "Production series: 11/2007" (number of series/year of production).

In a similar manner, differences between the labels emerge from comparison in the case of ammunition type MN-01. Label on the photograph states "40 PCS/CL P 38", further indicating production series: Oct.2007. TRAYAL's label is "40 PCS" with the production series: 11/2007.

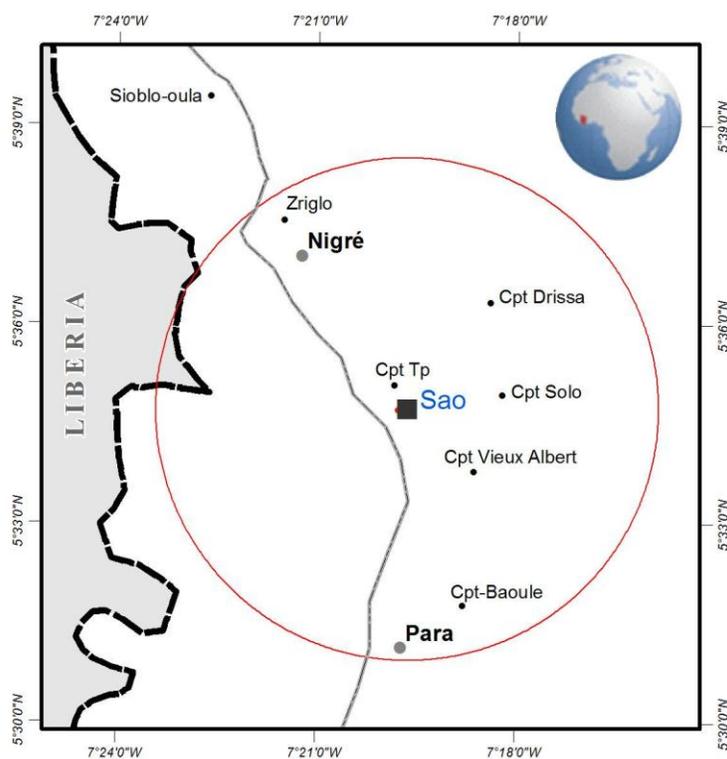
Republic of Serbia is ready to provide all necessary information should you have any additional inquires.

Sincerely,

Milan Milanović

## Annex 19

**Follow-up on Thuraya communications during the Para Sao attack**  
 (See S/2012/766 and S/2013/316)



Pays	Numéro	Emis	Reçus	Durée
Libéria	+231-76747938 Non identifié	13	0	914 secondes
Côte d'Ivoire	+225-03240484 Non identifié	4	0	791 secondes
Ghana	+233-542873874 <b>Didier Goulia</b>	21	1	485 secondes
Libéria	+231-880512893 Non identifié	24	1	408 secondes
Ghana	+233-245838048 Non identifié	2		261 secondes

**Etude du relevé des communications données et reçus par le Thuraya +88-21621201381 identifié par la société Thuraya pour avoir émis de jour de l'attaque depuis la zone de Para-Sao.**

L'étude du schéma de communication de l'intéressé illustre qu'il était en contact avec 4 numéros au Ghana, 5 au Libéria et 1 en Côte d'Ivoire. Ces communications étaient courtes. On observera une augmentation du nombre des communications vers le Ghana les jours qui ont suivi l'attaque sur Para-Sao.

### Ghana

Numéro	Appels émis	Appels reçus	Durée totale
+233-542873874	21	1	485 secondes
+233-245838048	2		261 secondes
+233-543329896		3	145 secondes

### Détail

**+233-542873874** **Didier Goulia** (Nr appelé le jour de l'attaque)

Nbre d'appels : 21 émis – 1 reçu – total 485 secondes

**Remarque :** L'attaque contre Para-Sao a été conduite le 08/06/2012

Date	Emis	Reçu
08/06/2012	53	
16/06/2012	149	
17/06/2012	6	
17/06/2012	120	
17/06/2012	6	

17/06/2012	6	
17/06/2012	6	
17/06/2012		53
18/06/2012	6	
18/06/2012	6	
18/06/2012	6	
18/06/2012	6	
19/06/2012	7	
19/06/2012	6	
19/06/2012	6	
22/06/2012	6	
22/06/2012	6	
22/06/2012	6	
22/06/2012	1	
22/06/2012	6	
22/06/2012	6	
22/06/2012	6	
22/06/2012	6	

**+233-245838048** Non identifié

Nbre d'appels : émis 2 – reçus 0 – total 261 secondes

18/04/2012	19	
18/04/2012	242	

**+233-543329896** Jackson (Moses)

Nbre d'appels : émis : 0 – reçus 3 – total 145 secondes

25/04/2012		50
27/04/2012		24
27/04/2012		71

### Libéria

Numéro	Appels émis	Appels reçus	Durée totale
+231-76747938	13		914 secondes
+231-880512893	24	1	408 secondes
+231-886338030	1		205 secondes
+231-77080132	1		133 secondes
+231-776618220	1		84 secondes
+231-880815636	2		21 secondes

### Détail

**+231-76747938** Non identifié

Nbre d'appels : émis 13 – reçus 0 – total 914 secondes

07/04/2012	249	
07/04/2012	56	
09/04/2012	46	
09/04/2012	17	
09/04/2012	18	
09/04/2012	19	
11/04/2012	12	
11/04/2012	227	
11/04/2012	90	
11/04/2012	58	
11/04/2012	22	
11/04/2012	21	
11/04/2012	79	
25/04/2012	19	
25/04/2012	78	
25/04/2012	106	
26/04/2012	17	
27/04/2012	13	
27/04/2012	60	
27/04/2012	6	
27/04/2012	6	
27/04/2012	6	
27/04/2012	6	

**+231-880512893** Non identifié

Nbre d'appels : émis 24 – reçus 1 –  
total 408 secondes

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27/04/2012	6	
27/04/2012		0
28/04/2012	6	
29/04/2012	7	
30/04/2012	6	
10/05/2012	7	
16/06/2012	16	
22/06/2012	6	
22/06/2012	6	
22/06/2012	6	
23/06/2012	6	
23/06/2012	7	
22/06/2012	6	
14/07/2012	6	

**+231-886338030**      Non identifié

Nbre d'appels : émis 1 – reçus 0 – total 205 secondes

14/03/2012	205	
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**+231-77080132**      Non identifié

Nbre d'appels : émis 1 – reçus 0 – total 133 secondes

18/03/2012	133	
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**+231-776618220** Non identifié

Nbre d'appels : émis 1 – reçus 0 – total 84 secondes

18/03/2012	84	
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**+231-880815636** Non identifié

Nbre d'appels : émis 2 – reçus 0 – total 21 secondes

17/06/2012	14	
19/06/2012	7	

### Côte Ivoire

Numéro	Appels émis	Appels reçus	Durée totale
<b>+225-03240484</b>	4	0	791 secondes

**Détail****+225-03240484** Non identifié

Nbre d'appels : 4 émis – 0 reçus – total 791 secondes

07/03/2012	271	
14/03/2012	358	
14/03/2012	138	
14/03/2012	24	

**Par date et par pays**

Date	Libéria	Ghana	CDI	Observation
23/02/2012	Activation			
07/03/2012			1	
14/03/2012	1		3	
18/03/2012	2			
07/04/2012	2			
09/04/2012	4			
11/04/2012	7			
18/04/2012		2		
25/04/2012	4			
26/04/2012	1			
27/04/2012	7			
28/04/2012	1			

29/04/2012	1			
30/04/2012	1			
10/05/2012	1			
31/05/2012	3			
08/06/2012		1		Attaque Para-Sao – Didier Goulia
16/06/2012	1	1		Didier Goulia x 1
17/06/2012		6		Didier Goulia x 5
18/06/2012		4		Didier Goulia x 3
19/06/2012	1	3		Didier Goulia x 3
22/06/2012	4	9		Didier Goulia x 9
23/06/2012	2			
25/06/2012		2		Moses
27/06/2012		1		Moses
14/07/2012	2			
31/08/2012		1		

Originating Call Details				
Calling	Called	Date	Time(GMT)	Duration
8821621201381	233542873874	31/08/2012	11:55:43	7
8821621201381	231880512893	14/07/2012	23:46:41	6
8821621201381	231880512893	14/07/2012	23:46:13	6
8821621201381	231880512893	23/06/2012	13:02:29	7
8821621201381	231880512893	23/06/2012	13:00:02	6
8821621201381	231880512893	22/06/2012	19:37:57	6
8821621201381	233542873874	22/06/2012	19:37:23	7
8821621201381	233542873874	22/06/2012	19:36:43	6
8821621201381	233542873874	22/06/2012	19:36:07	6
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8821621201381	231880512893	22/06/2012	14:17:23	6
8821621201381	231880512893	22/06/2012	13:41:16	6
8821621201381	231880512893	22/06/2012	13:40:04	6
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8821621201381	233542873874	22/06/2012	13:34:42	6
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8821621201381	233542873874	22/06/2012	13:31:10	6
8821621201381	233542873874	22/06/2012	13:12:36	6
8821621201381	231880815636	19/06/2012	17:20:29	7
8821621201381	233542873874	19/06/2012	16:59:11	6
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8821621201381	233542873874	19/06/2012	10:18:12	7
8821621201381	233542873874	18/06/2012	13:52:53	6
8821621201381	233542873874	18/06/2012	13:51:50	6
8821621201381	233542873874	18/06/2012	13:51:09	6
8821621201381	233542873874	18/06/2012	13:27:14	6
8821621201381	233542873874	17/06/2012	10:19:54	6
8821621201381	233542873874	17/06/2012	10:19:15	6
8821621201381	233542873874	17/06/2012	10:17:20	6
8821621201381	233542873874	17/06/2012	10:00:12	120
8821621201381	231880815636	17/06/2012	08:47:51	14
8821621201381	233542873874	17/06/2012	08:41:02	6
8821621201381	231880512893	16/06/2012	12:36:13	16
8821621201381	233542873874	16/06/2012	07:02:21	249
8821621201381	233542873874	08/06/2012	13:08:52	53
8821621201381	231880354778	31/05/2012	12:49:18	8
8821621201381	231880354778	31/05/2012	09:45:53	1
8821621201381	231880354778	31/05/2012	09:43:23	2
8821621201381	231880512893	10/05/2012	19:37:39	7
8821621201381	231880512893	30/04/2012	15:41:47	6
8821621201381	231880512893	29/04/2012	09:15:46	7
8821621201381	231880512893	28/04/2012	09:45:14	6
8821621201381	231880512893	27/04/2012	12:50:26	6
8821621201381	231880512893	27/04/2012	12:49:18	6
8821621201381	231880512893	27/04/2012	12:22:54	6
8821621201381	231880512893	27/04/2012	11:43:37	6
8821621201381	231880512893	27/04/2012	11:42:31	6
8821621201381	231880512893	27/04/2012	11:40:54	60

8821621201381	231880512893	27/04/2012	11:18:06	13
8821621201381	231880512893	26/04/2012	08:06:58	17
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8821621201381	231880512893	25/04/2012	21:51:25	78
8821621201381	231880512893	25/04/2012	21:50:15	19
8821621201381	233245838048	18/04/2012	18:45:06	242
8821621201381	233245838048	18/04/2012	18:44:05	19
8821621201381	23176747938	11/04/2012	09:02:45	79
8821621201381	23176747938	11/04/2012	09:01:36	21
8821621201381	23176747938	11/04/2012	09:00:29	22
8821621201381	23176747938	11/04/2012	08:58:43	58
8821621201381	23176747938	11/04/2012	08:56:20	90
8821621201381	23176747938	11/04/2012	08:51:34	227
8821621201381	23176747938	11/04/2012	08:49:46	12
8821621201381	23176747938	09/04/2012	11:22:16	19
8821621201381	23176747938	09/04/2012	11:20:01	18
8821621201381	23176747938	09/04/2012	11:19:12	17
8821621201381	23176747938	09/04/2012	11:17:48	46
8821621201381	23176747938	07/04/2012	17:14:40	56
8821621201381	23176747938	07/04/2012	17:09:32	249
8821621201381	23177080132	18/03/2012	19:30:52	133
8821621201381	231776618220	18/03/2012	19:28:05	84
8821621201381	22503240484	14/03/2012	21:11:36	24
8821621201381	22503240484	14/03/2012	20:14:52	138
8821621201381	22503240484	14/03/2012	20:08:18	358
8821621201381	231886338030	14/03/2012	19:34:04	205
8821621201381	22503240484	07/03/2012	19:46:36	271
8821621201381	882160150	23/02/2012	20:50:07	16
8821621201381	882160150	23/02/2012	20:44:04	21
8821621201381	882160150	23/02/2012	20:32:51	156

Terminating Call Details				
Calling	Called	Date	Time(GMT)	Duration
233542873874	8821621201381	17/06/2012	10:02:44	53
233543329896	8821621201381	27/04/2012	11:44:37	71
231880512893	8821621201381	27/04/2012	11:24:09	0
233543329896	8821621201381	25/04/2012	21:56:25	24
233543329896	8821621201381	25/04/2012	21:54:33	50

## Annex 20

### Questions addressed to the Ministry of Defence (unanswered)

**S/AC.45/2013/GE/OC.45 26 July 2013**

J'ai également l'honneur de vous informer que le Groupe d'experts débutera sa mission en Côte d'Ivoire et dans la région ouest africaine le 25 juillet prochain. Comme d'habitude, durant la période de permanence sur le terrain, et conformément à son mandat, le Groupe assurera une présence continue en Côte d'Ivoire, et effectuera aussi des visites dans les pays de la région.

Notamment, le Groupe rencontrera et échangera des informations avec plusieurs autorités civiles et militaires ivoiriennes (Ministres, autorités policières, douanières, militaires et de l'aviation civile, etc.) ainsi qu'avec toute personne physique ou morale susceptible de l'aider dans l'exercice de son mandat.

Je vous serais reconnaissant de bien vouloir informer les autorités pertinentes liées à votre ministère de notre présence en Côte d'Ivoire ainsi que de la nature du mandat du Groupe.

Afin de faciliter la mission, le Groupe souhaiterait vous demander de bien vouloir lui attribuer un laissez-passer officiel permettant aux cinq experts mandatés par le Conseil de Sécurité de mener des missions de vérification sur l'ensemble des positions militaires (y inclus la Gendarmerie), casernes, bases aériennes et navales, dépôts d'armement et munitions, arsenaux et camps d'entraînement.

Lors de sa présence à Abidjan, le Groupe souhaiterait avoir la possibilité de vous rencontrer en vue d'un échange d'information sur la situation actuelle et discuter de vive voix sur les grandes lignes de son mandat et de sa mise en œuvre effective.

Je vous serais obligé de bien vouloir transmettre votre réponse par l'intermédiaire de M. David Biggs, Secrétaire du Comité créé par la résolution 1572 (2004) du Conseil de sécurité concernant la Côte d'Ivoire, Teachers' Building; Bureau: TB 08041 A; 730 3rd Avenue, New York, N.Y. 10017; Tél: 212-963-5598; Télécopie: 212-963-1300/1378; Courriel: [biggs@un.org](mailto:biggs@un.org)

**S/AC.45/2013/GE/OC.77 15 August 2013**

A cet égard, veuillez noter que notre lettre S/AC.45/2012/GE/OC.121 du 30 Juillet 2012 reste à ce jour sans réponse.

Au cours de réunion avec les différents ministères en Côte d'Ivoire, le Groupe a appris que grâce à des opérations conjointes entre les agents de la douane et de la gendarmerie, il y a eu plusieurs saisies de cargaisons en contrebande contenant le cacao, le noix de cajou et autres produits agricoles qui étaient en destination du Ghana et /ou de la Guinée.

Le Groupe continue d'être intéressé à apprendre tous les détails des saisies mentionnées ci dessus, et plus précisément:

1. Description, la quantité et la valeur des biens saisis.
2. Le nom du lieu ou l'endroit où la saisie a eu lieu.
3. Le nom des personnes ou des individus impliqués dans l'opération de contrebande.
4. Photocopie de tous les documents accompagnant la marchandise (factures, contrats et documents de transport)

En outre, le Groupe souhaite savoir si des enquêtes judiciaires ont été lancées basées sur les cas mentionnés.

Finalement, le Groupe souhaite connaître les mesures prises et/ou envisagées par votre Ministère afin de se conformer à la demande du Conseil de sécurité vertu des dispositions prévues au paragraphe 26 de la résolution 2101 (2013) du Conseil de Sécurité (mentionné ci-dessus).

Je vous serais obligé de bien vouloir transmettre votre réponse avant le 20 Septembre 2013 par l'intermédiaire de M. David Biggs, Secrétaire du Comité créé par la résolution 1572 (2004) du Conseil de sécurité concernant la Côte d'Ivoire, United Nations Secrétariat; Bureau: TB 08041 A; New York, N.Y. 10017; Tél: 212-963-5598; Télécopie: 212-963-1300/1378; Courriel: [biggs@un.org](mailto:biggs@un.org)

**S/AC.45/2013/GE/OC.90 20 September 2013**

S/AC.45/2013/GE/OC. 90

Suite à notre réunion du 28 août 2013 le Groupe réitère sa demande de disposer des éléments suivants :

1. Du relevé détaillé et/ou organigramme(s) de la structure de l'appareil de sécurité placé sous les ordres du Ministère de la Défense. Par ailleurs, ce relevé sera complété de la localisation des unités ;
2. Des décrets relatifs à la création des unités dites « spéciales » (GSPR, CCDO, unité des Forces Spéciales, toute(s) autre(s) unités répondant à ce profil) ;
3. De l'étude relative au relevé des check-points identifiés par le Ministère de la Défense et de la stratégie mise en œuvre pour contrer cette dynamique (référence la circulaire N° 3956 du 22 mai 2012 relative au rappel de la discipline militaire).

Par ailleurs, le Groupe demande à disposer des éléments ci-après. Les éléments demandés seront actualisés. Ils illustreront les efforts menés par la République de Côte d'Ivoire pour rétablir l'ordre et la sécurité.

- Nombre de démobilisés et jeunes associés recensés (référence la circulaire ministérielle N° 4391 du 06 juin 2012) ;
- Nombre de démobilisés et jeunes associés qui sont inéligibles aux programmes de réinsertion (référence la circulaire ministérielle N° 4391 du 06 juin 2012) ;
- Le relevé des éléments recensés (a) au sein des FRCI, (b) au sein de la Gendarmerie nationale, conformément à la circulaire N° 3951 du 22 mai 2012 (relative au recensement physique des militaires) ;
- Nombre de militaires et/ou gendarmes qui ont été radiés des effectifs pour ne pas s'être présentés au recensement, conformément à la circulaire N° 3951 du 22 mai 2012 (relative au recensement physique des militaires) ;
- Inventaire des armes et munitions récupérées auprès de militaires et/ou gendarmes qui les détenaient illégalement (relative à la circulaire N° 3953 du 22 mai 2012) ;
- Inventaire des armes et munitions récupérées auprès des militaires et/ou gendarmes qui ont été radiés, conformément à la circulaire N° 3951 du 22 mai 2012 (relative au recensement physique des militaires) ;
- Inventaire des armes et munitions récupérées auprès de la population civile et/ou des ex-combattants (relative à la circulaire ministérielle N° 4346 du 05 juin 2012). Cet inventaire comprendrait le nombre d'armes et munitions, le type, la date et le lieu de récupération ainsi que l'endroit actuel où ces armes et munitions sont stockées.
- Le relevé des poursuites judiciaires conduites contre des contrevenants à la circulaire ministérielle N°4346 du 05 juin 2012 (relative à la détention des armes à feu par des civils).

- Le relevé des poursuites judiciaires conduites contre des contrevenants à la circulaire ministérielle N°4347 du 05 juin 2012 (relative à la présence de « Dozos » aux barrages routiers) ;
- Le relevé des poursuites judiciaires conduites contre des contrevenants à la circulaire N° 3956 du 22 mai 2012 (relative au rappel de la discipline militaire, notamment en ce qui concerne les barrages non autorisés, du racket et des perquisitions).

Le Groupe demande également disposer des éléments relatifs à l'effort d'équipement de l'Outil de Défense, tel que défini par le Programme Présidentiel d'Urgence Militaire (PPUM), situé en amont de la Loi de Programmation Militaire (LPM). Ces éléments sont :

- Répartition du budget alloué à l'effort en objet et ce dans ses différentes étapes ;
  - Inventaire des moyens opérationnels acquis par le biais du PPUM dans les volets suivants : EMG + UREMG, COMTER, COMAIR, COMAR, Forces Spéciales.
- Il sera décrit le type et la quantité de l'armement, matériel associé, munitions et équipements acquis, la date d'acquisition, le fournisseur, les documents relatifs à leur colisage, ainsi que l'utilisateur final (unité(s) qui a (ont) perçu(s) cet armement/matériels associés/munitions/équipements).

Je vous serais obligé de bien vouloir transmettre votre réponse avant le 30 octobre 2013 par l'intermédiaire de M. David Biggs, Secrétaire du Comité créé par la résolution 1572 (2004) du Conseil de sécurité concernant la Côte d'Ivoire, Teachers' Building; Bureau: TB 08041 A; 730 3rd Avenue, New York, N.Y. 10017; Tél: 212-963-5598; Télécopie: 212-963-1300/1378; Courriel: [biggs@un.org](mailto:biggs@un.org)

## S/AC.45/2013/GE/OC.136 2 December 2013

S/AC.45/2013/GE/OC.136

Comme vous le savez, le Groupe a pour mandat également d'examiner les sources de financement qui pouvant servir à l'achat d'armes, comme défini dans le paragraphe 7 (b) de la résolution 1727 (2006) qui autorise le Groupe d'experts à mener des recherches concernant « *les sources de financement, notamment l'exploitation des ressources naturelles en Côte d'Ivoire, consacrées à l'acquisition d'armes et de matériels connexes ou se rapportant à des activités apparentées* ».

Veillez noter que nos lettres S/AC.45/2012/GE/OC.121 et S/AC.45/2013/GE/OC.77 (datées du 30 Juillet 2012 et du 15 Août 2013 respectivement) sont restées à ce jour sans réponse.

Au cours de réunions avec les différents ministères en Côte d'Ivoire, pendant le mandat précédent, le Groupe a appris que grâce à des opérations conjointes entre les agents de la Douane et de la Gendarmerie, il y a eu plusieurs saisies de cargaisons en contrebande contenant du cacao, des noix de cajou et d'autres produits agricoles qui avaient pour destination le Ghana et /ou de la Guinée.

Le Groupe continue d'être intéressé à apprendre tous les détails de ces saisies, et plus précisément:

1. Description, la quantité et la valeur des biens saisis. ;
2. Le nom du lieu où l'endroit où la saisie a eu lieu. ;
3. Le nom des personnes ou des individus impliqués dans l'opération de contrebande. ;
4. Photocopie de tous les documents accompagnant la marchandise (factures, contrats et documents de transport) .

Finalement, le Groupe souhaite toujours savoir si des enquêtes judiciaires ont été lancées basées sur les cas mentionnés.

**S/AC.45/2014/GE/OC.12 6 February 2014**

La demande du Groupe soumise à votre attention lors de la réunion tenue en votre Ministère en décembre 2013 est restée sans réponse.

S/AC.45/2014/GE/OC.12

Le Groupe demande à être informé si des moyens optroniques (matériel d'observation diurne ou nocturne), tels que des jumelles de jour à grossissement, des appareils à intensification de lumière et des amplificateurs de lumière ont été livrés depuis juillet 2013 aux FRCI et/ou à la Gendarmerie nationale.

Dans l'affirmative, le Groupe demande à disposer des éléments suivants :

1. Type, marque ou références du fabricant et nombre des matériels reçus.
2. Date de livraison.
3. Répartition par destinataire final.
4. Documents relatifs à la livraison de ce matériel.

Le Groupe souhaiterait également inspecter ledit matériel et est à cet effet disponible entre les 23 et 28 Février prochains.

Je vous serais obligé de bien vouloir transmettre votre réponse avant le 4 mars 2014 par l'intermédiaire de M. David Biggs, Secrétaire du Comité créé par la résolution 1572 (2004) du Conseil de sécurité concernant la Côte d'Ivoire, Teachers' Building; Bureau: DC2-0858, New York, NY 10017; tel.: 1-212-963-5598; fax.: 1-212-963-1300/1378; Courriel: biggs@un.org

**S/AC.45/2014/GE/OC.13 6 February 2014**

S/AC.45/2014/GE/OC.13

Le Groupe réitère sa demande de disposer des éléments suivants :

1. Du relevé détaillé et/ou organigramme(s) de la structure de l'appareil de sécurité placé sous les ordres du Ministère de la Défense. Par ailleurs, ce relevé sera complété de la localisation des unités ;
2. Des décrets relatifs à la création des unités dites « spéciales » (GSPR, CCDO, unité des Forces Spéciales, toute(s) autre(s) unités répondant à ce profil) ;
3. De l'étude relative au relevé des check-points identifiés par le Ministère de la Défense et de la stratégie mise en œuvre pour contrer cette dynamique (référence la circulaire N° 3956 du 22 mai 2012 relative au rappel de la discipline militaire).

Telles demandes avaient été formulées lors de la réunion qui s'est tenue le 28 août 2013 et à laquelle participait le Groupe d'experts, le Ministre délégué à la Défense et plusieurs de ses conseillers ainsi qu'un représentant du Ministère des Affaires étrangères.

Par ailleurs, le Groupe demande à disposer des éléments ci-après. Les éléments demandés seront actualisés. Ils illustreront les efforts menés par la République de Côte d'Ivoire pour rétablir l'ordre et la sécurité.

- Nombre de démobilisés et jeunes associés recensés (référence la circulaire ministérielle N° 4391 du 06 juin 2012) ;
- Nombre de démobilisés et jeunes associés qui sont inéligibles aux programmes de réinsertion (référence la circulaire ministérielle N° 4391 du 06 juin 2012) ;
- Le relevé des éléments recensés (a) au sein des FRCI, (b) au sein de la Gendarmerie nationale, conformément à la circulaire N° 3951 du 22 mai 2012 (relative au recensement physique des militaires) ;
- Nombre de militaires et/ou gendarmes qui ont été radiés des effectifs pour ne pas s'être présenté au recensement, conformément à la circulaire N° 3951 du 22 mai 2012 (relative au recensement physique des militaires) ;
- Inventaire des armes et munitions récupérées auprès de militaires et/ou gendarmes qui les détenaient illégalement (relative à la circulaire N° 3953 du 22 mai 2012) ;
- Inventaire des armes et munitions récupérées auprès des militaires et/ou gendarmes qui ont été radiés, conformément à la circulaire N° 3951 du 22 mai 2012 (relative au recensement physique des militaires) ;
- Inventaire des armes et munitions récupérées auprès de la population civile et/ou des ex-combattants (relative à la circulaire ministérielle N° 4346 du 05 juin 2012). Cet inventaire comprendrait le nombre d'armes et munitions, le type, la date et le lieu de récupération ainsi que l'endroit actuel où ces armes et munitions sont stockées.
- Le relevé des poursuites judiciaires conduites contre des contrevenants à la circulaire ministérielle N°4346 du 05 juin 2012 (relative à la détention des armes à feu par des civils).

/...

- Le relevé des poursuites judiciaires conduites contre des contrevenants à la circulaire ministérielle N°4347 du 05 juin 2012 (relative à la présence de « Dozos » aux barrages routiers) ;
- Le relevé des poursuites judiciaires conduites contre des contrevenants à la circulaire N° 3956 du 22 mai 2012 (relative au rappel de la discipline militaire, notamment en ce qui concerne les barrages non autorisés, du racket et des perquisitions).

Le Groupe demande également disposer des éléments relatifs à l'effort d'équipement de l'Outil de Défense, tel que défini par le Programme Présidentiel d'Urgence Militaire (PPUM), situé en amont de la Loi de Programmation Militaire (LPM). Ces éléments sont :

- Répartition du budget alloué à l'effort en objet et ce dans ses différentes étapes ;
  - Inventaire des moyens opérationnels acquis par le biais du PPUM dans les volets suivants : EMG + UREMG, COMTER, COMAIR, COMAR, Forces Spéciales.
- Il sera décrit le type et la quantité de l'armement, matériel associé, munitions et équipements acquis, la date d'acquisition, le fournisseur, les documents relatifs à leur colisage, ainsi que l'utilisateur final (unité(s) qui a (ont) perçu(s) cet armement/matériels associés/munitions/équipements).

Je vous serais obligé de bien vouloir transmettre votre réponse avant le 4 mars 2014 par l'intermédiaire de M. David Biggs, Secrétaire du Comité créé par la résolution 1572 (2004) du Conseil de sécurité concernant la Côte d'Ivoire, DC2-0858, New York, NY 10017; tel.: 1-212-963-5598; fax.: 1-212-963-1300/1378; Courriel: biggs@un.org

**S/AC.45/2014/GE/OC.23 20 February 2014**

S/AC.45/2014/GE/OC.23

Le Groupe souhaite disposer de l'inventaire complet des matériels et équipements létaux et non létaux dont ont été équipées les unités opérant sous l'autorité du Ministère de la Défense (FRCI et Gendarmerie Nationale) et ce depuis la date du 1<sup>er</sup> mars 2013.

Cet inventaire comprendra entre-autre le type et la quantité de matériel, la date de livraison auprès de l'unité concernée, l'identification de l'unité réceptrice, l'identification du fournisseur ainsi que tout autre document relatif aux opérations en amont de cette livraison.

En outre, le Groupe souhaiterait recevoir une copie de cet inventaire en main propre à la date de votre convenance, d'ici le 22 Février 2014.

Je vous serais obligé de bien vouloir transmettre votre réponse avant le 25 février 2014 par l'intermédiaire de M. David Biggs, Secrétaire du Comité créé par la résolution 1572 (2004) du Conseil de sécurité concernant la Côte d'Ivoire, au : 2 United Nations Plaza; DC2-0858, New York, NY 10017; tel.: 1-212-963-5598; fax.: 1-212-963-1300/1378; e-mail address: [biggs@un.org](mailto:biggs@un.org)

## Annex 21

## FINANCE

## Request for exceptional cashew nuts exports through Ghana



Autorité de Régulation du Coton et de l'Anacarde

Abidjan, le 12 mars 2013

**N/Réf. :** 0191/KKJC/CJA/DE/MO/ARECA-13

A

**Objet :** Demande d'autorisation  
d'exporter par voie terrestre

Monsieur le Directeur Général  
des Douanes

**ABIDJAN**

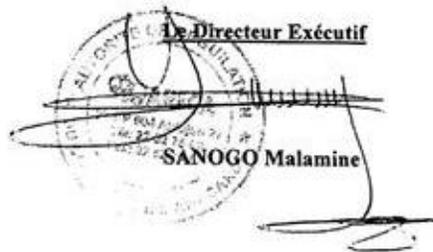
Monsieur le Directeur Général,

Nous avons l'honneur de vous soumettre une sollicitation de l'UCCA-CI (Union des Coopératives de Cajou de Côte d'Ivoire), relative à une autorisation d'exportation à titre exceptionnel de 30 000 tonnes de noix de cajou par voie terrestre.

L'intention manifeste de la dite structure est d'approvisionner deux unités de transformation basées au Ghana, notamment les sociétés RAJKUMAR IMPEX GHANA LIMITED (soit 20 000 tonnes) et MIM CASHEW & AGRICULTURAL PRODUCTS LTD (soit 10 000 tonnes), par l'appui technique et logistique de l'AITM (Agence Internationale de Transit et de Manutention) et l'ATC (AFRICAN TRADE COMPANY).

Par ailleurs, l'activité permettra d'écouler intégralement la production des paysans membres de l'union et de créer des revenus substantiels pour l'Etat émanant des taxes d'exportation.

Nous vous prions de croire, Monsieur le Directeur Général, à l'assurance de notre parfaite considération.

**Le Directeur Exécutif**  
  
**SANOGO Malamine**

**P.J. :**

- Courrier du DG de l'UCCA-CI
- Courrier du DG de RAJKUMAR IMPEX GHAN
- Courrier du DG de MIM CASHEW & AGRICULTURAL PRODUCT LTD

## Annex 22

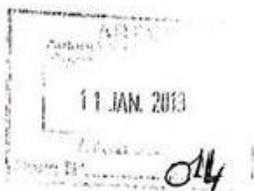
### Authorization for exceptional cashew nuts exports through Burkina Faso

SI/AAC  
MINISTRE DE L'ECONOMIE  
ET DES FINANCES

Direction Générale des Douanes



N° 47 /MEF/DGD



REPUBLIQUE DE COTE D'IVOIRE  
Union - Discipline - Travail

Abidjan, le 110 JAN 2013

LE DIRECTEUR GENERAL

/-)

Monsieur Directeur Exécutif  
de l'ARECA

27 BP 604 ABIDJAN 27

**Objet :** Autorisation exceptionnelle  
d'exportation de noix de cajou  
par voie routière.

**Réf :** V/L n° 0631/KP/DE/MO/ARECA-12.

Monsieur le Directeur Exécutif,

Par courrier susvisé, vous sollicitez, par dérogation à la circulaire n° 1483/MEF/DGD du 17/06/2011, l'autorisation d'exporter par voie routière des noix de cajou à destination du Burkina Faso.

Vous indiquez que cette autorisation vous permettra d'écouler rapidement les stocks actuels procurant ainsi de substantiels revenus aux producteurs ivoiriens.

Je note que vous avez reçu de la société burkinabé ANASTASIS, une lettre d'intention d'achat de quarante mille (40.000) tonnes de noix de cajou.

En réponse, j'ai l'honneur de vous faire connaître que je marque mon accord, à titre exceptionnel, pour l'exportation dudit produit à destination du Burkina Faso.

Par ailleurs, je précise que cette exportation de noix de cajou doit se faire obligatoirement sous escorte douanière organisée par la Direction Régionale de Bouaké.

Veuillez agréer, Monsieur le Directeur Exécutif, l'assurance de ma considération distinguée.

Col. Maj. ISSA COULIBALY



## Annex 23

## Cashew nuts exports declaration (3,000 tons)

JUBILIQUE DE COTE D'IVOIRE  
Ministère de l'Economie et des Finances  
DIRECTION GENERALE DES DOUANES

Circuit ROUGE Visite a quai

1 DECLARATION  
EX 1

A - BUREAU D'EXPORTATION/DESTINATION  
CISKO  
SOKO  
Référence Douane  
E 1 24/04/2013

2 Exportation définitive  
2 Exportateur  
AFRICAN TRADE COMPANY  
17 BP 532 ABIDJAN 17  
RCI 9606953N

3 Formulaire 01 01 4 Liste Manifest

5 Article 01 6 Total des colis 30 000 7 Numéro de référence 2013 CAJOU/01

8 Destinataire  
MIM CASHEW  
GHANA

9 Destinataire/Exportateur Réel  
MIM CASHEW  
GHANA

10 Pays d'origine GH 11 Pays dest. 13 P.A.C

14 Déclarant / Représentant 00372E  
AITM - AGCE INTER DE T. ET DE MANUT  
18 BP 1856 ABIDJAN 18  
PLATEAU 18 RUE PARIS VILLAGE

15 Code p. d'exportation: a| CI b| 17 Code p. dest. a| GH b|

16 Pays d'origine Cote d'Ivoire 17 Pays de destination Ghana

18 Identité et nationalité du moyen de transport au départ CAMION 19 Ctr 99 no

20 Conditions de livraison FOB

21 Identité et nationalité du moyen de transport à l'arrivée CAMION

22 Monnaie et montant total facturé XOF 1 149 000 000,00 23 Taux de 1,00 24 Nature de la transac.

25 Mode trsp. à la 3 frontalière 26 Mode Intérieur 27 Lieu de déchargement

28 Données financières et bancaires  
Cond. de paiement

29 Bureau de sortie CISKO SOKO 30 Localisation des marchandises AIRE

32 Article 1 33 Code des marchandises No. 08013100 00

34 Code P. origine a| CI b| 35 Poids brut (kg) 3 000 000,00 36 Préfer

37 REGIME 1000 000 38 Poids net (kg) 3 000 000,00 ATD

40 Déclaration sommaire / Document précédant ADM

41 Unités d'apurement QA 3 000 000,0 42 Code apu. 02

43 Marques et -No(s) conteneurs(s) - Nombre et nature  
Marques et NOIX DE CAJOU  
de colle  
Nbr. et typ. 30 000 BG  
Sac ("bag").  
No(s) Ctn  
-- Noix de cajou en coques, fraîches ou sèches.

44 Mentions Documents  
No de 0-0 D.Val D.Qnt  
D.J. 6025

45 Code M.  
ATME 46 Valeur statistique 1 149 000 000,00

47 Calcul des Taxes

Type	Base Taxable	Taux	Montant	MP
DAT	3 000 000,00	10,00	30 000 000,00	1
Total			30 000 000,00	1

48 Report de paiement 49 Identification entrepôt | Délai

B - DONNEES  
Moyen de paiement COMPTANT  
Numéro de liquidation L 234 / Date 24/04/2013  
Numéro du reçu R 232 Date 25/04/2013  
Garantie 0,00 Date  
Total taxes 20 000,00 Unité monétaire nationale  
Total déclaration 30 020 000,00 Unité monétaire

50 Principal obligé No. Signature

51 Bureaux de transit et pays Représenté par Lieu et date

52 Garantie non valable pour

D CONTROLE PAR LE BUREAU DE DESTINATION Tampon: 54 Lieu et date

53 Bureau de destination (et pays)  
Code  
Nom du déclarant/représentant  
KOKO ISSIACA

Signature

### Cashew nuts exports declaration (2,000 tons)

REPUBLIQUE DE COTE D'IVOIRE  
Ministère de l'Économie et des Finances  
DIRECTION GÉNÉRALE DES DOUANES

Circuit ROUGE Visite à quit		1 DECLARATION EX 1		A - BUREAU D'EXPORTATION/DESTINATION CISKO SOKO Référence Douane E 2 29/04/2013	
Exportation définitive		3 Formul. 01 01		4 Liste E 2 Manifest	
2 Exportateur AFRICAN TRADE COMPANY 17 BP 532 ABIDJAN 17 RCI		9606953N		5 Article 01	
8 Destinataire MIM CASHEW GHANA		7 Destinataire/Exportateur Réel MIM CASHEW GHANA		6 Total des colis 20 000	
14 Déclarant / Représentant AITM - AGCE INTER DE T. ET DE MANUT 18 BP 1856 ABIDJAN 18 PLATEAU 18 RUE PARIS VILLAGE		15 Pays d'exportation Cote d'Ivoire		7 Numéro de référence 2013 CAJOU/02	
18 Identité et nationalité du moyen de transport au départ CAMION		19 Ctr 99 no		10 Pays 1ère GH	
21 Identité et nationalité du moyen de transport à l'arrivée CAMION		22 Monnaie et montant total facturé XOF 766 000 000,00		11 Pays dest. Cty. 13 P.A.C	
25 Mode transp. à la 3 frontiers		26 Mode Intérieur		16 Pays d'origine Cote d'Ivoire	
29 Bureau de sortie CISKO SOKO		30 Localisation des marchandises AIRE		17 Code p. dest. a) CI b) GH b)	
1 Code et description à la merchandise		23 Article 1 No.		23 Code des marchandises 08013100 00	
Margines et No(x) conteneurs(a) : Nombre et nature Margines et NOIX DE CAJOU		34 Code P. origine a) CI b) 2 000 000,00		35 Poids brut (kg) 2 000 000,00	
de colis Nbr. et typ. 20 000 BG		37 REGIME 1000 000		36 Préfixe ATD	
No(x) Ctn — Noix de cajou en coques, fraîches ou sèches.		38 Poids net (kg) 2 000 000,00		40 Déclaration sommaire / Document précédent ADM	
41 Unités d'épurement QA 2 000 000,0		Code apic. 02		45 Valeur statistique 766 000 000,00	
48 Rapport de paiement		49 Identification entrepôt / Datsi		46 Valeur statistique	
Calcul des Taxes		Type Base Taxable Taux Montant		B - DONNEES	
DAT 2 000 000,00 10,00 20 000 000,00		MP 1		Moyen de paiement COMPTANT	
Total 20 000 000,00 1				Numéro de liquidation L 243 / Date 29/04/2013	
52 Principal obligé		Signature		Numéro du reçu R 240 / Date 01/05/2013	
Bureaux de transit et pays		Représenté par Lieu et date		Garantie 0,00 / Date	
53 Bureau de destination (et pays)		Code		Total taxes 20 000,00 / Unité monétaire nationale	
D CONTROLE PAR LE BUREAU DE DESTINATION		Tempes:		Total déclaration 20 020 000,00 / Unité monétaire	
Signature		54 Lieu et date		Nom du déclarant/représentant KOKO ISSIAKA	

Cashew nuts export declaration (1,000 tons)

URUSSE DE COTE D'IVOIRE  
 Service de l'Économie et des Finances  
 MINISTRE GÉNÉRAL DES DOUANES

A - BUREAU D'EXPORTATION/DESTINATION  
 CISKO SOKO  
 Référence Douane E 3  
 03/05/2013

1 DÉCLARATION EX 1

2 Exportation définitive 9606953N

3 Exportateur  
 AFRICAN TRADE COMPANY  
 17 BP 532 ABIDJAN 17  
 RCI

4 Liste  
 5 Total, par colis 10 000

6 Manifest  
 7 Numéro de référence 2013 CAJOU03

8 Destinataire  
 MIM CASHEW GHANA

9 Destinataire/Exportateur Réel  
 MIM CASHEW GHANA

10 Pays d'origine CI

11 Pays CI

12 P.A.C

13 P.A.C

14 Déclarant / Représentant 00372E  
 AITM - AGCE INTER DE T. ET DE MANUT  
 18 BP 1856 ABIDJAN 18  
 PLATEAU 18 RUE PARIS VILLAGE

15 Pays d'exportation Côte d'Ivoire

16 Pays d'origine Côte d'Ivoire

17 Pays de destination Ghana

18 Conditions de livraison FOB

19 Cn

20 Monnaie et montant total facturé XOF 383 000 000,00

21 Taux de 1,00

22 Nature de la merc.

23 Mode et nationalité du moyen de transport au départ CAMION

24 Mode et nationalité du moyen de transport à l'arrivée CAMION

25 Mode 3

26 Mode

27 Lieu de déchargement AIRE

28 Données financières et bancaires  
 Cond. de paiement

29 Bureau de sortie CISKO SOKO

30 Localisation des marchandises AIRE

31 Code et description de la marchandise  
 Marques et étiquettes (conteneur(s)) - Nombre et nature  
 NOIX DE CAJOU  
 de noix  
 Nbre. et type 10 000 8G  
 Sacs ("bag")  
 Note(s) Cn  
 - Noix de cajou en coques, fraîches ou séchées.

32 Article 1

33 Code des marchandises 08013100 00

34 Code P. origine CI

35 Poids brut (kg) 1 000 000,00

36 Préfixe ATD

37 REGIME 1000 000

38 Poids net (kg) 1 000 000,00

40 Déclaration sommaire / Document précédent ADM

41 Unités d'appréciation GA 1 000 000,0

42 Code apr. 02

43 Code M.

44 Mentions Documents Produits Certificats et autorisation  
 No de 0-0  
 D.J. 6025

45 Valeur statistique 383 000 000,00

46 ATME

47 Calcul des Taxes

Type	Base Taxable	Taux	Montant
DAT	1 000 000,00	10,00	10 000 000,00
Total			10 000 000,00

48 Rapport de paiement

49 Identification entreprise / Débit

B - DONNEES  
 Moyens de paiement COMPTANT  
 Numéro de liquidation L 248 / Date 03/05/2013  
 Numéro de reçu R 242 / Date 03/05/2013  
 Garantie 0,00 / Date  
 Total taxes 20 000,00 / Unité monétaire nationale  
 Total déclaration 10 020 000,00 / Unité monétaire

50 Principale origine No.

51 Bureaux de transit et pays

52 Garantie non valable pour

D CONTROLE PAR LE BUREAU DE DESTINATION

53 Bureau de destination (en pays) Code

54 Lieu et date

Non de déclarant/représentant KOKO ISSIAKA

Signature

## Annex 24

### Timber seized in 2012-2013

MINISTRE DES EAUX ET FORETS

LE MINISTRE

N° 01050 /MINEF/CAB/DGEF

République de Côte d'Ivoire  
Union-Discipline-Travail

Abidjan, le 23 OCT 2013

A  
Monsieur le Coordonnateur  
du Groupe d'experts sur la Côte d'Ivoire

**Objet :** Informations relatives aux saisies récentes (2012-2013) de bois issus de l'exploitation illégale

**Monsieur le Coordonnateur,**

J'accuse réception de votre courrier n°S/CA.45/2013/GE/OC.85 du 04 septembre 2013 et vous remercie du grand intérêt que vous portez à la gestion durable de la forêt ivoirienne.

Comme suite au courrier sus mentionné, j'ai l'honneur de vous informer que nous avons procédé, dans le cadre de la lutte contre l'exploitation illégale de bois, à la saisie de 6050,801 m<sup>3</sup> de bois frauduleux d'une valeur marchande de 625 063 185 FCFA sur l'ensemble du territoire national pendant la période allant de 2012 à 2013. Aujourd'hui, la vente aux enchères de 80 % de ce bois saisi a déjà procuré plus de 500 millions FCFA à la régie financière du Ministère des Eaux et Forêts. L'opération de vente est en cours jusqu'à l'épuisement du stock saisi.

Au cours de ces différentes opérations de saisie, nous avons également appréhendé 74 individus qui exploitaient dans la majorité des cas au-dessus du 8<sup>e</sup> parallèle interdit à l'exploitation forestière. Ces infractions à la réglementation forestière sont punies par l'article 52 de la loi n°65-425 du 20 décembre 1965, portant Code forestier.

En espérant avoir répondu aux préoccupations que vous exposez, je vous prie d'agréer, **Monsieur le Coordonnateur**, l'assurance de ma considération distinguée.

**P.J. :** situation des saisies de bois  
année 2012 et 2013



**Mathieu Babaud DARRET**

## Annex 25

**CUSTOMS****Plasan Sasa Ltd. commercial invoice**

Plasan Sasa Ltd., Kibbutz Sasa, M. P. Merom Hagallil 13870, Israel  
Tel: +972 4 6809000 Fax: +972 4 6809001 www.plasan.com

	Commercial Invoice #551658	Page 1 of 1 ORIGINAL

Date :	12-NOV-13	EXPORTER	PAYMENT TERMS	INCOTERMS 2010
Customer PO:	000/913	Plasan Sasa LTD.	Pay In Advance	--CIF-ABIDJAN
Project:	1120032	Kibbutz Sasa, M.P.		
Plasan REF:	6010673	Merom Hagallil 13870		
		SHIPMENT NO.	201928	

<b>Ship To:</b> National Security Council RFD - Republican Forces of Cote d'Ivoire, Place de la Republique, Republique de Cote d'Ivoire, National Security Council, Presidency of Republic Office, Plateau Abidjan 01, Cote d'Ivoire  <b>Contact:</b> Mamadou KONE <b>Title:</b> National Security Council - Senior Assistant <b>Phone:</b> 225-202-54995 Fax: (225)20331919 <b>Email:</b>	<b>Bill To:</b> National Security Council  <b>Contact:</b> <b>Title:</b> <b>Phone:</b> Fax: <b>Email:</b>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------

#	Plasan Item	Quantity	Description of Goods	Unit Price (USD)	Total Price (USD)
1	446/9000E1-00	200	Bulletproof vest ND Level IV (including Ceramic plates - front and back)	506.00	101,200.00
Grand Total: (USD)					101,200.00

Port of Loading	Port of Destination
BEN GURION AIRPORT	

**Additional comments:**  
6 BOXES

The exporter of the products covered by this document (customs authorization No. 513/68341) declares that, except where otherwise clearly indicated, these products are of ISRAEL (Kibbutz Sasa 13870) preferential origin.

<b>Our bank details:</b> Leumi	Branch: 10 Asakin Haamakin Acz. Name: Plasan Sasa LTD	Acc. No: 053630-69 Swift Code: LUMIIL33TLV IBAN: IL06010745000006350059
--------------------------------	----------------------------------------------------------	-------------------------------------------------------------------------------

Place and Date : PLASAN SASA 12-NOV-13

Signature and Stamp :



www.plasan.com

Annex 26

Corsair Air Waybill

823 T.V 0217 7685		823-0217 7685	
Shipper's Name and Address P. YASAN SASA MINUTE SASA SASA MINUTE SASA 1307C ISRAEL		Air Waybill CORSAIR Issued by:	
Consignee's Name and Address National Security Council Presidency at Republic Office Plateau de la Republique Plateau Abidjan 01 Republique de Cote d'Ivoire		Consignee's Account Number Address of the Addressee National Security Council Presidency of Republic Office Plateau de la Republique, Plateau Abidjan 01 Republique de Cote d'Ivoire	
Shipper's Contact Person and Title ISRAEL CARGO LOGISTICS (CL) LTD. P.O. BOX 11000 ISRAEL T: +972-30777333 F: +972-30777334		Airline's IATA Code 374-7CS9	
Airport of Origin (IATA Code) / ICAO Code and Airport Name TEL-AVIV		Airline's IATA Code 374-7CS9	
To: By Air Date CDG EL AL		To: By Sea Date ABJ SS	
Origin of Destination ABDJAN		Registered Flight Date 02/16/11	
Currency of Invoice USD		Unit of Measure X X X	
Description of Goods NVD		Marking of Goods NCV	
Weight 2,360.00		Volume 0.00	
Value As Agreed		Description of Goods R/L FT PROOF VEST QTY: 2360 PCS FOR QMS AS QTY: 2360 PCS FOR QMS Inv No: 651455	
Charges As Agreed		Description of Goods As Agreed	
Total Charges As Agreed		Description of Goods As Agreed	
Remarks ISRAEL CARGO LOGISTICS (CL) LTD. XERY MIFODIN REF: B0072 JOB: B0072		Description of Goods As Agreed	
Total Charges As Agreed		Description of Goods As Agreed	
Total Charges As Agreed		Description of Goods As Agreed	



Annex 28

Bill of Lading HHLWCON021013-002

Shipper (full style and address) Lt. Col. Zhe QY Headquarters Affairs Office of the Chinese Ministry of National Defense address: 83 Hengst Dajie, Xicheng District, Beijing, People's Republic of China tel: +86-10-62048888 / Cell: +86-15110202115 fax: +86-10-622353 / Email: chinaofa@smell.com		<b>BINCO LINER BILL OF LADING</b> CODE NAME: "COMLINEBILL 2000" Amended January 1950; August 1952; January 1973 July 1974; August 1976; January 1978; November 2000	
consignee (full style and address) or Order HHLUSMA Lt. Paul Boudas, Director Mission Support avenue de la Mare / Yamabo, Mali		B/L No. HHLWCON021013-002	Reference No.
notify party (full style and address) HHLUSMA telex number (+375 708 8043) email: hhlwcon@smell.com Yamabo, Mali		Vessel HHL CONGO	
		Port of loading Dalian China	
		Port of discharge Abidjan, Côte d'Ivoire	
SPECIAL CONDITIONS DECLARED BY THE SHIPPER BUT NOT KNOWN TO THE CARRIER			
(This area is intentionally left blank for special conditions.)			
SHIPPED on board in apparent good order and condition (unless otherwise stated herein) the net (gross) weight of certain packages or units indicated in the list opposite entitled "Total number of Containers/Packages or Units received by the Carrier" and the cargo as specified above, weight, measure, marks, numbers, quality, contents and value unknown, for carriage to a port of discharge or to over-land to the vessel may, while yet and to be delivered to the consignee under and condition at the port of discharge onto the lower deck of a Bill of Lading, on payment of freight as indicated in the right plus other charges incurred in accordance with the provisions contained in this Bill of Lading the Merchant" expressly accepts and agrees to all its obligations on both Page 1 and Page 2, whether written, printed, stamped or otherwise incorporated, as fully as if they were all signed by the Merchant.		Total number of Containers/Packages or Units received by the Carrier <b>100 (ONE HUNDRED)</b>	
		Shipper's declared value	Declared value charge
		Freight details and charges Freight payable as per C/I dated 19.03.2013	
This Bill of Lading must be surrendered duly endorsed in exchange for the cargo or the order, whereupon all other Bills of Lading to be void. Witnesses of the Carrier, Master, or their Agent have signed the number of original Bills of Lading stated below right, all of this tenor and date. at the principal place of business		Date shipped on board 02/10/2013	place and date of issue Abidjan
		Number of original Bills of Lading	
		Pre-carriage by	
Signature _____ Driver _____ 05/10/2013 _____ 01/02/2013		Place of receipt by pre-carrier**	
		Place of delivery by on-carrier**	
* declared hereinafter (CL4) Applicable only when pre- or on-carriage is arranged in accordance with Clause 8			

Annex 29

**Declaration of dangerous goods from DIAMOND SHIPPING SERVICES s.a.r.l.**

DIAMOND SHIPPING SERVICES S.A.R.L.



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**DECLARATION DE MARCHANDISES DANGEREUSES**

Nom du navire : ZNL CONGO Armement : HANSA HEAVY LIFT Cabli  
 Nationalité : ANTIGUA & BARBUDA Consignataire : Diamond Shipping Services SARL  
 ETA (Date/heure) : 09/11/2013 ETD (date/heure) : 10/11/2013  
 Venant de : DALLAN/CITINE A l'inst de : BATAKOM/MAIA  
 Poste à quel prévu: 172 N° Voy : 2013905020

**A. A DECHARGER (Import)**

Nature du produit	Poids(kg)	Class OMI	Plage Code	Conditionnement
Explosive	2484	1.4S	IMDG 1823	1 Feu
Ammonium	5702	1.2F	IMDG 3077	1 Feu
Ammonium	10721	1.4G	IMDG 3082	1 Feu

**A. A CHARGER (TRANSBORDEMENT)**

Nature du produit	Poids(kg)	Class OMI	Plage Code	Conditionnement
			IMDG	

**A. EN TRANSBORDEMENT**

Nature du produit	Poids(kg)	Class OMI	Plage Code	Conditionnement
			IMDG	

**B. EN TRANSIT**

Nature du produit	Poids(kg)	Class OMI	Plage Code	Conditionnement
			IMDG	

**E- GARBAGE (Evaluer la quantité)**

Residu de cargaison				
Dechets domestiques	0,9 M3	A/C		
Residu de machine	Nil			
Entres usés	Nil			
Dechets médicaux	Nil			
Autres	Nil			

Le consignataire  
 Direction des Opérations Maritimes  
 11/13

Direction des Opérations Maritimes

Annex 30

Request of authorization to unload from EVT

3,



**ETABLISSEMENT VICTOIRE TRANSIT**  
TRANSIT - CONSIGNATION - MANUTENTION - ACCONAGE

Abidjan, le 06 Novembre 2013

PORT AUTONOME D'ABIDJAN  
DIRECTION DES OPERATIONS  
MARITIMES ET DE LA SECURITE

CONSIGNATION ARRIVEE

LC: *[Signature]*  
SN: *3713*

A/

**Monsieur le Commandant du port**

---

N/RAT: 611/RV/T/DIR/2013

**Objet :** Demande d'Autorisation de Débarquement et  
De Transit des conteneurs d'armes et des véhicules de la MININMA

Monsieur le Commandant,

Nous venons par la présente, solliciter de votre haute bienveillance, l'autorisation de débarquement des conteneurs d'armes et des véhicules de la mission des Nations Unies au Mali (MINUSMA) au port d'Abidjan.

En effet, le navire **HHL CONGO** en provenance de Dahan (Chine) accostera au port d'Abidjan (quai 2 et 3 ), le 08 novembre 2013 avec à son bord :

- 107 Conteneurs de Nouritures
- 51 Conteneurs de tentes
- 41 Conteneurs de matériel de toilette
- **63 Conteneurs équipements militaires (armes et munitions)**
- 100 véhicules

Les marchandises qui seront débarquées seront acheminées sur des porte-conteneurs à Ouagadougou au Mali par voie routière le même jour. Compte tenu de la délicatesse de ces marchandises, nous sollicitons un suivi et une assistance de votre part.

Dans l'espoir d'une suite favorable, veuillez agréer Monsieur le Commandant, l'expression de nos salutations distinguées.

*[Signature]*  
Le Directeur Général  
01 B, P. 4921 ABIDJAN 01  
Mme COULIBALY Alassiou

P.J : Copie du connaissement et manifeste cargo

Amplifications :

- Monsieur le Directeur Général du Port Autonome d'Abidjan

Siège Social : Abidjan-CÔTE D'IVOIRE, Trésorerie B14 VOE, Immeuble 1er Etage, Porte 5 G - SARL au capital de 200.000.000 F CFA - 01 BP 4027 Abidjan 01  
SCT 146 2517 - 01 (2 Fax) - 7777 91 - 91 50 00 00 00 00 - 91 50 00 00 00 00



Annex 32

**Request of authorization to unload from DIAMOND SHIPPING SERVICES s.a.r.l.**

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DIAMOND SHIPPING SERVICES S.A.R.L. Abidjan le 14 Novembre 2013

AU  
DIRECTEUR GENERAL DU  
PORT AUTONOME D'ABIDJAN

**Objet : DEMANDE D'AUTORISATION SPECIALE  
D'ENTREE NAVIRE / HHL CONGO**

---

Monsieur le Directeur,

Nous venons par la présente solliciter de votre haute bienveillance l'autorisation d'entrée  
du navire : HHL CONGO Voy : 20139005020 Prévû le 09/11/2013

Port de chargement : Dalian/Chine

Port de déchargement : Abidjan/Côte d'Ivoire

Destination finale : Bamako(Mali) P/C MINUSMA /ONU

Poids : 24407 Kgs

Class OMI : 1.4S / 1.2F / 1.4G

---

Dans l'espoir d'une suite favorable, nous vous prions d'agréer, Monsieur le Directeur Général  
l'expression de notre respectueuse salutation.

Le Commandant du port

Le consignataire  
*[Signature]*  
2013



Société à responsabilité limitée - Immeuble P&C2, Zone Elga, 11<sup>ème</sup> Zone Industrielle, Rue des P&C2, Abidjan Côte d'Ivoire 01 BP. 13071 Abidjan 01 - RGCI/ABJ/0516/0-460  
Tel : +225 21 75 19 85 - Fax : +225 21 371983 - Email: info@diamondshipping.com - BIC: DSHN3333 - C.I.B. 3015 8101 0745 2003 91 60 - C.C. 10054730W

## Annex 33

Request of authorization to unload from EVT to the  
Minister of Interior

**ETABLISSEMENT VICTOIRE TRANSIT**  
TRANSIT - CONSIGNATION - MANUTENTION - ACCONAGE

Abidjan, le 05 Novembre 2013

A/

**Monsieur le Ministre d'Etat**  
**Ministre de l'Intérieur et de la Sécurité**  
**Abidjan-Côte d'Ivoire**

N/Réf : 606/EVT/DIR/2013

**Objet : Demande d'Autorisation de Débarquement et**  
**De Transit de conteneurs d'armes de la MINUSMA**

Monsieur le Ministre,

Nous venons par la présente, solliciter de votre haute bienveillance, l'autorisation de débarquement des conteneurs d'armes de la mission des Nations Unis au Mali (MINUSMA) au port d'Abidjan.

En effet, le navire **HHL CONGO** en provenance de Dalian (Chine) accostera au port d'Abidjan (quai 2 et 3), le 08 novembre 2013 avec à son bord :

- 107 Conteneurs de Nouritures
- 51 Conteneurs de tentes
- 41 Conteneurs de matériel de toilettes
- 03 Conteneurs équipements militaires (armes et munitions)

Les marchandises qui seront débarquées seront acheminées sur des porte-conteneurs à Gao au Mali par voie routière le même jour. Compte tenu de la délicatesse de ces marchandises, nous sollicitons un suivi et une assistance de votre part.

Dans l'espoir d'une suite favorable, veuillez agréer Monsieur le Ministre, l'expression de nos salutations distinguées.

La Directrice Générale  
**Eta. Victoire Transit**  
Le Directeur Général  
Mme YVONNE A. F. ANASTOU  
Côté: 57 96 87 47

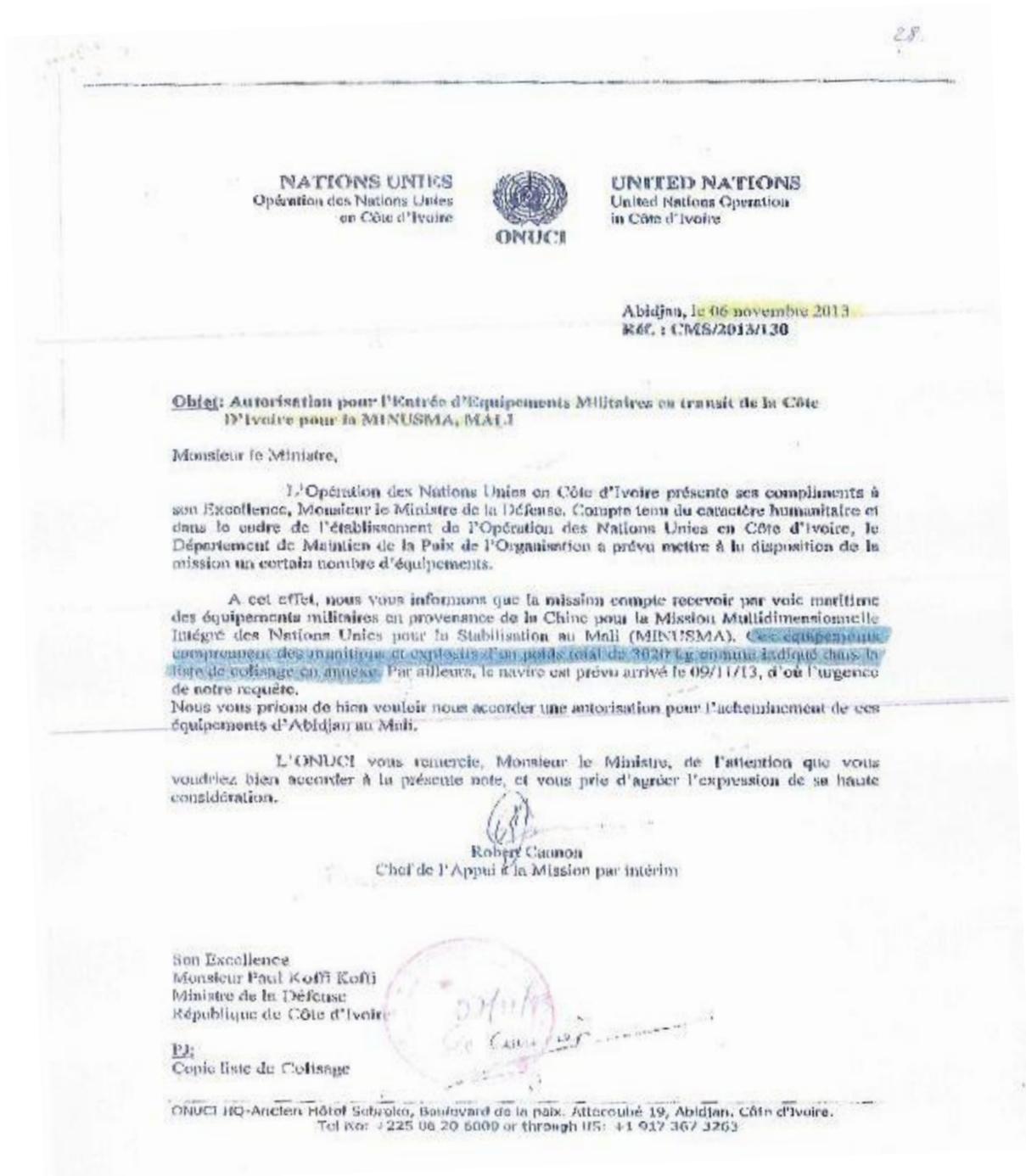
P.J : Copie du connaissement et manifeste cargo

**Amplifications :**  
- Monsieur le Ministre auprès du Président de la République Chargé de la Défense

Siège Social : Abidjan-Côte d'Ivoire, Treichville Blvd VGE, Immeuble Kubulane 1<sup>er</sup> Etage, Porte 5 G  
SARL au Capital de 200.000.000 F CFA / 01 BP 4027 Abidjan 01 / RCCM : CI-ABJ-2012-B-2073 / CC N° : 1013084B  
SERVICE TRANSIT - Tel / Fax: (225) 21 35 59 00 / Email: evtransit@yahoo.fr  
SERVICE SHIPPING - Tel / Fax: (225) 21 35 31 31 / Email: evshipping@yahoo.fr

Annex 34

Request of authorization to entry in transit from UNOCI



## Annex 35

## Authorization to entry in transit of the shipment from the Minister of Defence to UNOCI

PRESIDENCE DE LA REPUBLIQUE  
 MINISTRE AUPRES DU PRESIDENT DE LA  
 REPUBLIQUE, CHARGE DE LA DEFENSE

REPUBLIQUE DE COTE D'IVOIRE  
 Union - Discipline - Travail



N° 1478 /PR/MPRC/DJ

Abidjan, le 08 NOV. 2013

**URGENT**

A  
 Monsieur le Chef d'Appui  
 à la mission ONUCI  
 Abidjan

Objet : autorisation pour l'Entrée d'Équipements Militaires en transit de la Côte d'Ivoire pour la MINUSMA, MALI.

P. JOINTE : une (01) copie liste de colisage.

Monsieur le Chef d'Appui,

En réponse à votre correspondance n° CMC/2013/130 relatif à l'autorisation pour l'Entrée d'Équipements Militaires en provenance de Chine en transit de la Côte d'Ivoire pour la MINUSMA, Mali, j'ai l'honneur de marquer mon accord pour l'entrée en Côte d'Ivoire, par navire, le 09/11/2013 des matériels militaires, en provenance de Chine, qui comprennent des munitions et explosifs d'un poids total de 8020 kg comme indiqué dans la liste de colisage en annexe.

Le Gouvernement de Côte d'Ivoire remercie l'Opération des Nations Unies en Côte d'Ivoire (ONUCI) pour son appui constant dans le soutien humanitaire des populations et à la consolidation de la paix sous régionale.

Je vous prie d'agréer, Monsieur le Chef d'Appui, l'expression de ma considération distinguée.



Ampliations :

- Monsieur le Ministre Directeur de Cabinet du Président de la République

Paul Koffi KOFFI

Annex 36

Authorization to transit from the Minister of Defence to UNOCI



Annex 37

MINUSMA cargo load list from China (1)

30

**DANGEROUS CARGO LOAD LIST AMBISA  
CHINESE PROTECTION COMPANY MINUSMA**

UN FAHRSK: MINUSMA, Unit: Protection Company  
 TOORPDC: CHINA, Emission: Ambisa Post,  
 UNIT Origin: Date:  
 Suspect Description: Dalian Seaport, PRC  
 Suspect Description: Wafan Seaport, Coas d'Azur  
 Final Destination: Goa, PORTAL of MSA

CONTAINER		CONTAINER NO.		SHIP NO. 4470216			
NO	UN assigned code	Container name	Class	Description	QTY of	Weight	No. of dangerous or injurious
1	IMO Flammable	COMPOUNDS, saturated aliphatic			50	350	0.00
						350	0.00

CONTAINER		CONTAINER NO.		SHIP NO. 4470216			
NO	UN assigned code	Container name	Class	Description	QTY of	Weight	No. of dangerous or injurious
1		5. Brown BPTD Type General Purpose Article		Explosive	12	2076	16.00
2		5. Brown DWPDE Type General Purpose Article		Explosive	1	50	1.00
3		12. White FIBI Special Risk Article		Explosive	1	50	1.00
4		12. White FIBI Special Risk Article		Explosive	1	50	1.00
5		12. White FIBI Special Risk Article		Explosive	1	50	1.00
6		12. White FIBI Special Risk Article		Explosive	1	50	1.00
7		5. Brown BPTD Type General Purpose Article		Explosive	1	50	1.00
8		5. Brown BPTD Type General Purpose Article		Explosive	10	250	5.00
9		5. Brown BPTD Type General Purpose Article		Explosive	2	50	1.00
						3016	47.00

Annex 38

MINUSMA cargo load list from China (2)

DANSERVICES CARGO LOAD LIST (P&U)

Reason: MINUSMA  
 TO: OCHA  
 Date: 06/07/14  
 Subject of Submission: Initial Proc. P&U  
 Subject of Procurement: Medical, OHA of Force  
 Destination: Gen. Hospital of Mali

Date: 06/07/2014  
 Location: Abidjan, Ivory Coast  
 Date:

Container Number	Item Number	Class	Description	Quantity	Weight (kg)	Net Weight of Goods
33	CF serial number	Generic zone	Generic zone	Quantity	Weight (kg)	Net Weight of Goods
	CF serial number	Generic zone	Generic zone	Quantity	Weight (kg)	Net Weight of Goods
1	CF serial number	Generic zone	Generic zone	Quantity	Weight (kg)	Net Weight of Goods
30	CF serial number	Generic zone	Generic zone	Quantity	Weight (kg)	Net Weight of Goods
1	CF serial number	Generic zone	Generic zone	Quantity	Weight (kg)	Net Weight of Goods
Total				269921	61220	

## Annex 39

## Request of military escort from EVT

10.



**ETABLISSEMENT VICTOIRE TRANSIT**  
TRANSIT - CONSIGNATION - MANUTENTION - ACCONAGE  
Abidjan, le 05 Novembre 2013

A/

✓ Monsieur le Ministre auprès du Président  
De la République chargé de la Défense

---

N/RM : 608/EVT/DIR/2013

**Objet : Demande d'Escorte des conteneurs et des véhicules de la MINUSMA en transit vers le Mali.**

Monsieur le Ministre,

Nous venons par la présente, solliciter de votre haute bienveillance, l'obtention d'une escorte pour le transit des conteneurs et véhicules de la mission des Nations Unies au Mali (MINUSMA).

En effet, Suite à notre courrier référencé N° 606/EVT/DIR/2013 relatif au débarquement des conteneurs et des véhicules de la MINUSMA, nous vous prions de bien vouloir escorter et sécuriser l'acheminement desdites marchandises jusqu'à Pogo (Bureau de Sortie).

Aussi pourriez-vous informer les autorisations maliennes quant aux dispositions à prendre.

Dans l'espoir d'une suite favorable, veuillez agréer Monsieur le Ministre, l'expression de nos salutations distinguées.

La Directrice Générale

Mme COULIBALY F. Assétou

P.J : Copie de connaissance et manifeste cargo

**Ampliations :**  
- Monsieur le Ministre d'Etat, Ministre de l'Intérieur et de la Sécurité

Ets. Victoire Transit  
Le Directeur Général  
4027 ABIDJAN 01  
Tél: 88 16 02 22

20213807

Siège Social : Abidjan-Côte d'Ivoire, Treichville B1 VCB, Immeuble 1er Etage, Porte 5 G - SARL au capital de 203.000.000 F CFA - 01 BP 4027 Abidjan 01  
0022 20 4027 - Tél / Fax : (225) 21 45 90 00 / Email : info@victoiretransit.com - VICE SHIPPING - Tél / Fax : (225) 21 35 31 31 / Email : info@vice.com



## Annex 41

## Customs circular: Clearance of non-ECOWAS imported goods

KCB/BET  
 MINISTRE AUPRES DU PREMIER MINISTRE  
 CHARGE DE L'ECONOMIE ET DES FINANCES

REPUBLIQUE DE COTE D'IVOIRE  
 Union - Discipline - Travail

**Direction Générale des Douanes**



### **CIRCULAIRE N° 1617 / MPMEF/DGD/DU 21 JUN 2013 (DIFFUSION GENERALE)**

**Objet :** Interdiction relative aux importations  
 et au dédouanement de marchandises  
 aux Bureaux frontières.

**Réf. :** Circulaire n°1592 du 08/03/2013

J'ai l'honneur de porter à la connaissance de l'ensemble du service et des usagers, que les dispositions de ma circulaire visée en référence sont rapportées.

Aussi, pour compter de la date de signature de la présente :

1. Sont interdites d'importation par voie terrestre, les marchandises (y compris les motos) non originaires de la CEEAO. Ces marchandises, même au provenance de ladite Communauté, ne peuvent être dédouanées qu'à Abidjan ou à San-Pédro après transfert par voie maritime ou aérienne.
2. Toute importation de marchandises non originaires de la CEEAO, effectuée par les Bureaux frontières sera considérée comme un cas de contrebande au sens des dispositions de l'article 290 du Code des Douanes et fera l'objet de saisie immédiate **auxdits Bureaux des Douanes.**
3. La sanction applicable est celle prévue à l'article 289 du Code des Douanes. A savoir: la confiscation de l'objet de fraude, la confiscation des moyens de transport, la confiscation des objets servant à masquer la fraude, le paiement d'une amende égale au quadruple de la valeur de l'objet de fraude, sans préjudice du paiement des droits et taxes exigibles, ainsi qu'une peine de prison de 6 mois à trois ans.

## Annex 42

### Minimum fixed price for cocoa



## Annex 43

## Export of agricultural products: 2011-2012-2013

**EXPORTATIONS DE PRODUITS SOUMIS AU DROITS UNIQUE DE SORTIE (DUS)  
PERIODE DE 2011 A 2013**

COURTES ESTIMATION DES BUREAUX DES STATISTIQUES DE L'ONUSIDA

Code Produit	ANNEE 2011			ANNEE 2012			ANNEE 2013		
	Quantité	Montant	Valeur	Quantité	Montant	Valeur	Quantité	Montant	Valeur
0901	1 458 40	32 379 27	23 150 37	4 009 36	81 974 17	77 296 16	8 770 00	97 492 55	66 592 42
0901	2 110 001 89	1 073 282 14	1 423 499 52	173 134 42	1 011 631 13	1 185 541 94	196 809 38	1 112 818 70	1 322 205 59
ANNEE 16	50 124 99	300 636 71	540 381 98	47 044 57	338 082 17	638 450 96	83 081 04	381 074 05	809 459 70
ANNEE 16	285 70	143 338 28	17 783 04	350 38	157 142 70	14 501 41	804 17	257 673 78	21 017 47
ANNEE 16	2 022 35	244 233 83	83 813 31	2 513 88	281 013 48	57 344 13	2 059 87	278 847 34	82 022 14
ANNEE 16	2 783 20	278 519 68	124 326 53	4 028 14	479 813 83	67 262 27	4 458 83	443 862 57	157 984 83
ANNEE 16	104 57	12 332 85	756 15	91 14	10 697 99	36 14	112 38	12 188 42	740 74
TOTAL	273 581 14	2 054 521 46	2 208 729 90	232 141 87	2 281 355 52	2 073 046 90	295 635 41	2 544 937 72	2 282 982 17

Annex 44

UNOCI consolidated SSR project (1)

NATIONS UNIES            UNITED NATIONS  
 Opération des Nations Unies      United Nations Operation      in Côte d'Ivoire  
 en Côte d'Ivoire      in Côte d'Ivoire  
 DNUCI

MEMORANDUM INTERIEUR      INTEROFFICE MEMORANDUM

**TO:** Carlos Palcaro *CP*      Date: 23.05.2012  
 OIC - Chief Mission Support Section      N°...../SSR/12

**THROUGH:** Albert Koenders *AK* → *for follow up*  
 SRSG

**FROM:** Ely Dieng *ED*  
 OIC - Chief SSR Section

**OBJET:** Request for support to consolidated SSR projects in CdI.

The purpose of this Memo is to request your assistance in re-allocating to the security sector reform (SSR) the unencumbered balance in UNOCI budget for fiscal year 2011-12.

In line with the resolution of the Security Council 2009 (2011), UNOCI is expected to assist the Government in conducting, without delay and in close coordination with other international partners, a sector-wide review of the security institutions and in developing a comprehensive national security strategy and plans for their reform. In this regard, in his special report to Security Council (S/2012/186) of 19 March 2012, the Secretary General expressed concerns about the unresolved issues related to security sector reform.

Since then, important steps towards the restructuring of the defence and security institutions have taken place. Following the establishment of a new Government in March 2012 and the reshuffling of portfolios, President Ouattara has personally engaged on SSR, assuming his leadership role as Minister of Defence and sharing his vision of the way forward. Established by presidential decree on 6 April 2012, the recently established SSR Working Group (GT-RSS) offers an opportunity for both the Government to advance its work by defining a clear roadmap for SSR and the international community, and UNOCI in particular, to contribute and assist the country in the preparation within 90 days of the three following outputs: a national SSR strategy, a threats assessment and related actions plans including budgetary costs for both DDR and SSR processes.

As indicated in the attached "Projet Consolidé d'appui à la RSS en Côte d'Ivoire", the GT-RSS working group set up by the "arrêté présidentielle" of 6<sup>th</sup> April 2012 has already began its work with technical support from UNOCI as full member of its Scientific Committee and technical six sub-committees.

To assist the GT-RSS in achieving its objectives within the above-mentioned 90 days timeframe, my office in consultation with national authorities will prepare and support three field missions to Senegal, Democratic Republic of Congo, and France and Belgium.



## Annex 45

## UNOCI consolidated SSR project (2)

to allow the Ivorian authorities responsible for the final design of the security strategy to consult with and collect best practices, lessons learned and experiences from other countries.

In addition, the SSR Section will assist the Government to set up an SSR Coordination Room Center to be used by all national SSR stakeholders to conduct and monitor a more coordinated sector-wide review of the entire security institutions.

While contributing at the SSR strategic level through advisory services and sustained technical assistance, the Section will also assist the Government in addressing the most pressing security related issues by developing national capacity building, providing equipment and rehabilitations of national infrastructures, interventions that will contribute to the restoration of national security institutions and related administrative apparatus. To this end, the SSR Section is planning to improve UNOCI coordination of Rule of Law, Civil Affairs, Mine Action and UNPOL sections.

As indicated in the consolidate project proposal, the estimate cost of the abovementioned activities is of 4,285,821.78 \$. Since this project plan was not budgeted in due course for inclusion in the current fiscal year 2011-12, there is an urgent need to mobilize financial resources against which SSR activities could be charged. This will enable UNOCI to achieve its expected accomplishments on SSR by building on the momentum created by this new conducive political environment.

Following consultation meeting with the Integrated Support Service (ISS), we were informed about the available option to re-allocate to SSR the current UNOCI unencumbered budget balance.

In view of the aforesaid political and operational framework, I trust that UNOCI will extend the assistance and support required for the successful implementation of the SSR of the mission.

CC:

DSRSG/P  
DSRSG/H

Annex 46

Cost estimation: UNOCI consolidated SSR project (1)

SER	PROGRAM OWNER	PROJECTS DESCRIPTIONS	ISS SECTIONS					AS SECTION	TOTAL (USD)
			ENGINEERING	SUPPLY	CTS	TRANSPORT	MON/CON		
1	SSR COORDINATION CENTRE	Establish an operation centre at UJGT abidjan office (TEC) to coordinate SSR strategies at national level  Training: a. 1 month SSR - 10 people - 20 people - one week/US\$ 35,000 per person b. 10 weeks SSR - 10 people - one week/US\$ 10,000 per person  c. Armed Forces Mission & Meeting - 100 - 20 people - one week/US\$ 10,000 per person  d. ETRSS Technical Support - 4 senior experts - US\$ 150,000 per person  e. 6 workshops on leadership for GTRSS & TRC members of Gendarmerie & Police (Abidjan 60,000,000, Yamoussoukro 50,000,000, Daloa 35,000,000, Koroogo 35,000,000, Man 45,000,000 & Daloa 45,000,000)	120,000.00	35,000.00	35,000.00				190,000.00
							100,000.00	100,000.00	
							100,000.00	100,000.00	
							100,000.00	100,000.00	
							600,000.00	600,000.00	
							260,000.00	260,000.00	
		Sub-Total	120,000.00	35,000.00	35,000.00	-	-	1,160,000.00	
2	UNPOL SSR PROJECTS	Let's build classrooms & training facilities at National Police, Gendarmerie & Inspector School (including training for WPK, Judicial Police & Anti Corruption)	408,851.92	177,524.82	-	-	-	505,376.84	
		Sub-Total	408,851.92	177,524.82	-	-	-	505,376.84	



Annex 48

Consolidated requisition: UNOCI consolidated SSR project

Consolidated Requisition for SSR										
Qty	Occ	55 various and border jets	UNPOI Trunk	SSR Condition Cart	Equipable pieces	Total quantity	What is ordered through procurement	Relocation of staff - supply task	PO Number	Total Amount (EU)
125		Executive Desk			15	140	150	10,00		
125		Secretarial Desk	12	20	60	457	500	43,00		
48		Desk Managerial	100		15	153	400	237,00		
230		Chair Visitors	286		150	1186	1300	514,00		
125		Chair Executive			15	140	200	60,00		
8		Chair Managerial			15	173	400	227,00		
125		Chair Secretarial	12	60	60	1527	1700	193,00		
		Computer Table	280		90	370	800	430,00		
		Flip Chart	7	8	15	15	500	485,00		
		White board	8	5	13	13	70	57,00		
		Metal Cupboard - Storage Cabinet	10	10	10	10	100	30,00		
		Executive bookcases - 4 drawers	250		90	340	900	160,00		
		Cabinet - 4 drawers	10	10	10	10	75	25,00		
		Matress			30	30	1000	570,00		
		Residing Set			0	0	1000	1,000,00		
		TV		2	2	2	10	8,00	120CI-300799	\$ 5,128.60
		Projector	18	2	20	20	30	10,00	120CI-300802	\$ 2,531.00
		Mobile screen	18	2	20	20	40	20,00	120CI-300906	\$ 3,800.00
		Office Machine	1		1	1	60	59,00	120CI-300780	\$ 1,215.00
		Scales	60		60	60	45	21,995.00	120CI-300780	\$ 21,995.00
		mat bed	230		230	230	230	-	120CI-300829	\$ 9,046.70
		Torchle	210		210	210	220	10,00	120CI-300848	\$ 719.40
		Impervious (staincoat)	700		1300	2000	2000	-	120CI-300755	\$ 161,280.00
		Hard fold metal detector							120CI-300806	\$ 8,155.40
		Stationery			20	20			SUP12-100123	\$ 146,751.60
										\$1,033,930.39
Total amount obligated into PO										
		Hearing bench of 2 meters each, 4 seat arrived and backrest	570			570	0	-		
		Beach			30	30	0	-		
		Cooking Pot (25L)			90	90	0	-		

Annex 49

Purchased material and equipment: UNOCI consolidated SSR project

Consolidated Inventory for SSR

Chart	UN/OCI Inventory	UN/OCI Quantity	SSR Characteristics Code	Equipment for photo	Total equipment	Total amount of PC	Status of Delivery / ETA Abs-Gen
Executive Desk	123			15	140		
Secretarial Desk	123	240	12	20	457		
Desk Managerial	48	100		15	163		
Chair Visitors	250	285		150	1186		
Chair Executive	123			15	140		
Chair Managerial	48	110		15	173		
Chair Secretarial	123	230	12	60	1507		
Computer Table		280		50	370		
File Chair			7	8	15		
White board			5	5	13		
Micro Keyboard							
Storage Cabinet	10	10	10	10	10	26 x 400 & 1 x 20 #	Under contract clearance
Executive bookcase - 4 drawers	250			90	340		
Cabinets - 4 drawers	10	10	10	10	50		
Admiral				30	30		
Bedding Set				0	0		
TV				2	2	4 Puller = 1170 Kgs	30-Sep-12
Projector				20	20	1 comb = 500kg	31-Aug-12
Mobile oven				2	2		24-Aug-12
Coffee Machine				1	1		
Seeds		60			60		7-Sep-12
set bed		230			230	6 Puller = (10x110cm)	30-Sep-12
Tents		210			210		23-Aug-12
Impersonable (printed)		700		1300	2000	112 boxes of 7 Pillars	30-Sep-12
Hand held metal detector				30	30	3 cartons = 50 Eggs	31-Aug-12
Sanitary							14-Aug-12

*estimated release:  
end of August 2012*

*under contract clearance  
release: end of Aug. 2012*

## Annex 50

## Customs Circular — End of BIVAC-SCAN activities

MINISTRE AUPRES DU PREMIER MINISTRE  
CHARGE DE L'ECONOMIE ET DES FINANCES

REPUBLIQUE DE COTE D'IVOIRE  
Union - Discipline - Travail

Direction Générale des Douanes



CIRCULAIRE N°16 2 1 7/MPMEF/DGD/DU 28 JUN 2013

(DIFFUSION GENERALE)

**OBJET :** Cessation des activités de BIVAC SCAN CI

**Réf :** - Courrier n° 1318/MPMEF/CT-09/BAD du 24/06/2013  
- Courrier n° 1246/MPMEF/CAB/CT/KKO du 13/06/2013

J'ai l'honneur de faire connaître à l'ensemble du service et des usagers qu'il est mis fin, à compter du 1<sup>er</sup> juillet 2013, à la convention de concession pour la conception, le financement, la fourniture, l'installation, l'exploitation, l'entretien et le transfert à l'Etat d'un scanner à rayons X au Port d'Abidjan, entre l'Etat de Côte d'Ivoire et la société BIVAC SCAN CI.

En conséquence, les mesures suivantes sont adoptées:

- 1) La procédure de vérification par scanner des conteneurs au Port d'Abidjan, telle que déclinée dans ma circulaire n°1344 du 13 février 2007 est rapportée ;
- 2) Le circuit de contrôle « A scanner » des déclarations en détail est supprimé ;
- 3) La taxe de sûreté sur les conteneurs à l'importation est supprimée ;
- 4) Les conteneurs de marchandises couverts par des déclarations en détail, initialement destinés à la vérification au scanner, sont orientés en circuit de visite à quai ou à domicile.

J'attache du prix au strict respect des dispositions de la présente qui prend effet à compter du 1<sup>er</sup> juillet 2013.

**Ampliations :**

- MPMEF/Outs
- DG Formation
- FOLIMAT
- GSCG
- Conseil de Côte-Cocote
- CPBRE Cote & Industrie
- FAA
- FASP
- OIC
- Synd. des Trans. s/c: NOT - CIRE
- Synd. Nat. Des Transitaires
- Toutes Directions Douanes

P. LE DIRECTEUR GENERAL DES DOUANES  
P.J. LE DIRECTEUR GENERAL ADJOINT



## Annex 51

## Customs Circular: BIVAC SCAN activities resumed

AC/001  
 MINISTRE AUPRES DU PREMIER MINISTRE  
 CHARGE DE L'ECONOMIE ET DES FINANCES

REPUBLIQUE DE COTE D'IVOIRE  
 Union - Discipline - Travail

Direction Générale des Douanes



**CIRCULAIRE N° 163 0/MPMEF/DGD DU 05 AOU 2013**  
 (DIFFUSION GENERALE)

**Objet :** Reprise des activités de BIVAC-SCAN

**Réf. :** Circulaire n°1621/MPMEF/DGD du 28 juin  
 2013 portant cessation des activités de BIVAC-SCAN

J'ai l'honneur de faire connaître à l'ensemble du service et des usagers que les dispositions de ma circulaire visée en référence sont rapportées.

En conséquence, BIVAC-SCAN reprend ses activités dès le mardi 06/08/2013.

Il convient de préciser que les prestations de BIVAC-SCAN sont désormais limitées au seul contrôle par scanner, des conteneurs de marchandises à l'importation, à l'exclusion des conteneurs en transbordement et de ceux destinés au transit international (D25).

BIVAC-SCAN n'intervient plus, comme par le passé, dans l'évaluation des marchandises importées.

Par ailleurs, en attendant la définition d'un nouveau mode de rémunération de ces prestations, il est mis fin à la perception de la taxe de sûreté.

L'attaché du prix au strict respect des dispositions de la présente et toute difficulté d'application me sera signalée d'urgence.

**AMPLIATIONS :**

- MPF/CAB
- FEDIMAR
- FNIS-CI
- FENADIS
- FENACCI
- DGGCI
- BGGCI
- EMACI
- Chambre de Commerce et d'Industrie
- Synd. Trans. S/C SDV-SAGA
- Synd. Trans./SYNATRANS-CI
- BIVAC
- TRAN-MER
- Toutes Directions Douanes

LE DIRECTEUR GÉNÉRAL DES DOUANES



Col. Maj. Issa COULIBALY

A

## Annex 52

### DIAMONDS

#### Diamond mining operations in Côte d'Ivoire

Figure 1: Areas covered by GoE overflights in Seguela

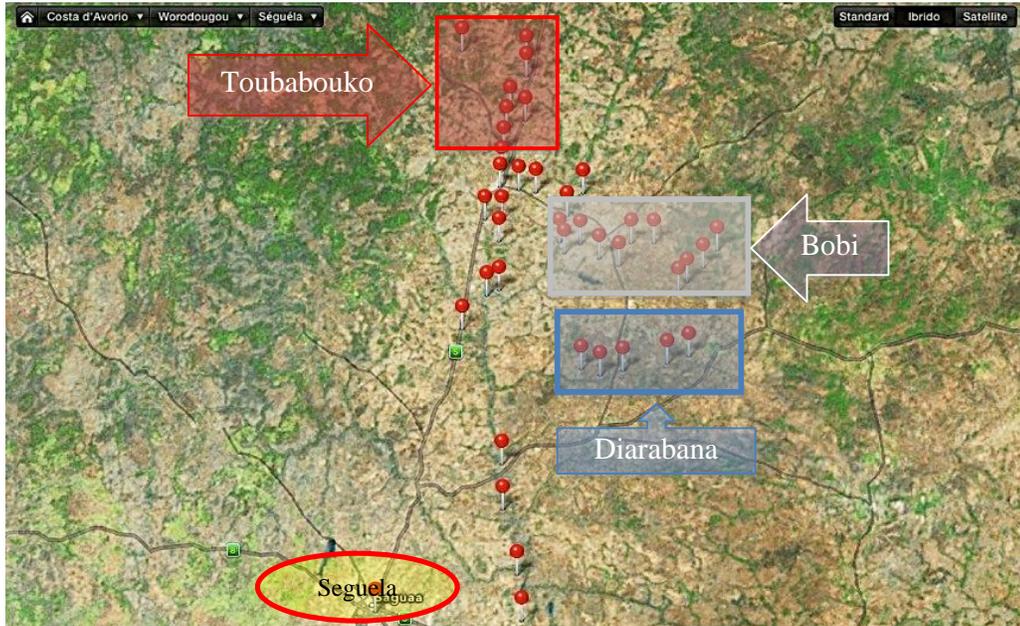


Figure 2: Toubabouko site (08.127702° N – 06.613085° W – From Alt. 353 mt)



Figure 3: Mining operations in Toubabouko



**Figure 4: Bobi Dyke (08.18443° N – 06.598155° W – From Alt. 415 mt)**



**Figure 5: Operations in Bobi site**



**Figure 6: Diarabana site (08.143862 N - 06.546635° W - From Alt. 357 mt)**

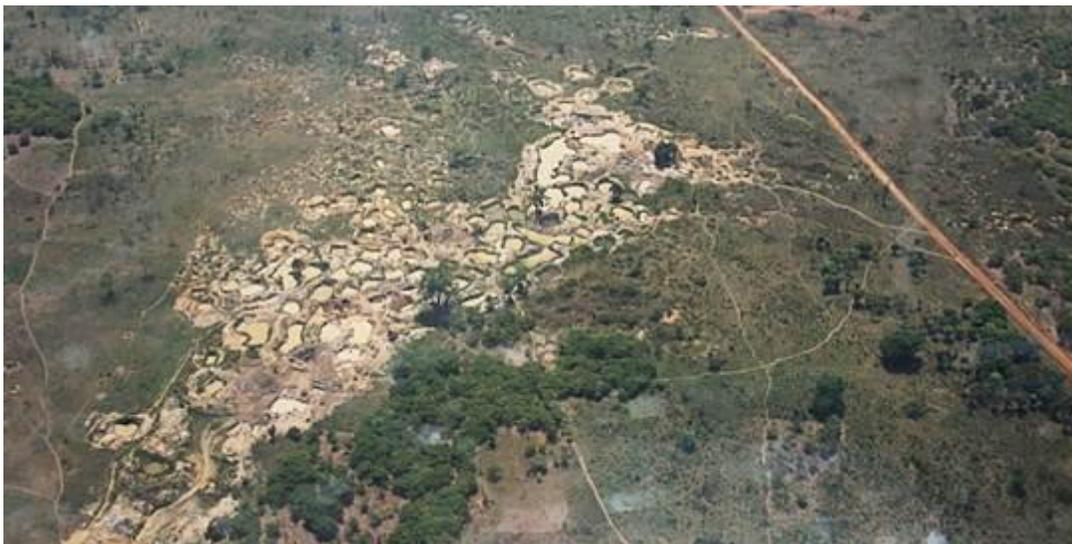


Figure 7: Tortiya site (Not geo-referenced)





Annex 54

COGEAD (Guinea) certificates of origin for rough diamonds

REPUBLIQUE DE GUINEE  
Travail Justice Solidarité

MINISTRE DES MINES ET DE LA GEOLOGIE  
DIRECTION NATIONALE DES MINES  
DIVISION EXPLOITATION ARTISANALE DU DIAMANT  
ET AUTRES GEMMES  
COORDINATION GENERALE DE  
L'EXPLOITATION ARTISANALE DU DIAMANT  
N° 03 /COGEAD/B/2013

**FICHE D'ENREGISTREMENT DES DIAMANTS ET AUTRES GEMMES**

Jour: Mardi Mois: Janvier Année: 2014  
Prénoms: Fanta Nom: Kousouma  
Parcelle N°: 640  
Site d'exploitation: Secteur Banankoro (Zone Kolonka)

N° d'Ordre	N° Lot	Type Joaillerie		Type Industriel		Total	
		Nombre	Poids	Nombre	Poids	Nombre	Poids
<u>03</u>	<u>3</u>	<u>13</u>	<u>13,22</u>	<u>8</u>	<u>6,77</u>	<u>21</u>	<u>19,99</u>
<b>TOTAL</b>		<u>13</u>	<u>13,22</u>	<u>8</u>	<u>6,77</u>	<u>21</u>	<u>19,99</u>

Description sommaire/lot des pierres supérieures ou égales à 5 carats  
*Le lot de 3 pierres contient une pierre de taille de 5 carats de forme capiteuse, le tout est clair à vue d'œil, à la coupe on peut observer certaines impuretés noires et l'intérieur.*

Vu le chef Section Sécurité - Surveillance *[Signature]*  
 Le chef Section contrôle minier et statistiques *[Signature]*

13° 10' 19,67" N  
148° 5' 5" W  
1x  
20 30 40 50

## Annex 55

### Identified bank accounts of individuals involved in the Zogoé-Kipré trading network

#### Dr Abie Zogoé Herve-Brice bank account details

Account Name 1: Abie Zogoé Herve – Brice

Account Number: 0240060057001- dollar offshor

Bank Name: Stanbic Bank Accra

Bank Address:

Swift Code: SBICGHAC

Account Name 2: Dr. Zogoe Herve-Brice ABIE

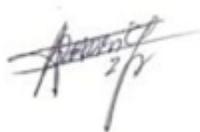
Account Number: 061169447

Bank Name : Standard Bank (Hillcrest/Hatfield branch)

Code SWIFT: SBZAZAJJ

Branch Code: (ZA) 01154515

#### ➤ Signature samples




#### Stephane Kipré bank account details

Account name: Traore Ahamad (Stephane Kipre asked 2.5 millions USD as facilitation commission on a diamonds deal to be transferred to this account)

Name of the bank: United Overseas Bank Ltd

Adress of the Bank: 80 Raffles Place, UOB Plaza 1, Singapore 048624

Swift code: UOVBSGSG

Account number: 350-377-511-3

Bank number/ code: 7375

Branch number / code: 001

**Reine Osso bank account details**

Account Name: African Queen Imports and Exports cc

Account Number: 620 364 00 381

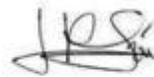
Bank Name: First National Bank (FNB)

Bank Address: First National Bank (FNB) Melville

Swift Code: FIRNZAJJ

Branch No: 256 505

➤ Signature samples



**Nahomie Kragbe bank account details**

Account Name: Nahomie Kragbe

Account Number: 006998674

Bank Name: Standard Bank

Bank Address: Standard Bank Jan Smith Johannesburg, South Africa

➤ Signature samples



**TAYALEX Investments Ltd bank account details**

TAYALEX Investments Ltd is the holder of an account at HSBC in Hong Kong where, according to document in possession of the Group, 25 millions USD were paid for 50.000 carats of diamonds between February and June 2012:

Account name: Tayalex Investments , Limited  
Account number : 400-364600-838  
Name of the bank: HSBC Hong Kong  
Adress of the bank: Des Voeux Road Central - Branch 004  
Swift code: HSBCHKHHHK

## Annex 56

### **Administrative Decision covering Financial Certificates for Scientific Research**



AD 01/2013

Kimberley Process

#### ADMINISTRATIVE DECISION COVERING TECHNICAL CERTIFICATES FOR SCIENTIFIC RESEARCH

Recalling the AD cooperation on KP implementation and enforcement of 2009 that identified the issuance of technical certificates.

Recalling the Terms of Reference of the WGDE, specifically paragraph 7 of 2009, establishing a scientific sub-group to include dedicated scientists and scientific institutions of Participants.

Recalling UNSC Resolution 1893 of 2009, paragraphs 16 and 17. The Kimberley Process Plenary of 2013 hereby adopts the application of technical certificates

subject to the criteria outlined below:

- a. Covering imports and exports relating to non-commercial shipments for the exclusive purpose of scientific research, provided said research is coordinated by the WGDE.
- b. The importing Participant notifies the UN Security Council Committee established pursuant to Resolution 1572 (2004) concerning Côte d'Ivoire of the results of the research (study) and share the results, without delay, with the Group of Experts on Côte d'Ivoire to assist them in their investigations.
- c. These procedures remain consistent with the terms of the Kimberley Process Certification Scheme and its procedures related to the issuance of technical certificates.

Approved by Plenary in Johannesburg, November 2013

## Annex 57

**INDIVIDUALS**

**Communication from Council of Ministers. Review of assets freeze measures**



**République de Côte d'Ivoire**

**Union - Discipline - Travail**

**Porte-parolat du Gouvernement**

**COMMUNIQUE DU CONSEIL DES MINISTRES**

**DU MERCREDI 08/01/2014**

Le mercredi 08 janvier 2014, un Conseil des Ministres s'est tenu de 11 heures à 13 heures, au Palais de la Présidence de la République à Abidjan, sous la présidence effective de Son Excellence Monsieur Alassane OUATTARA, Président de la République, Chef de l'État.

L'ordre du jour de cette réunion comportait les points suivants:

- A/-Mesures Générales
- Projets de décrets
- B/-Mesures individuelles
- C/-Communications
- D/- DIVERS

.....

**D/- DIVERS**

- 3- Le Garde des Sceaux, Ministre de la Justice, des droits de l'homme et des libertés publiques a également informé le Conseil, avoir été instruit par le Chef de l'Etat, en vue de l'examen du dégel des comptes bancaires de certains ex-détenus de la crise postélectorale bénéficiant de la liberté provisoire.

## Annex 58

### Reply from BCEAO



Direction Nationale pour la Côte d'Ivoire

Monsieur David BIGGS  
Secrétaire du Comité de sanctions  
du Conseil de sécurité des Nations Unies  
UNITED NATIONS  
Teachers's Building TB 08041 A  
730, 3<sup>rd</sup> Avenue,  
New York  
NY 10017 - USA

N/Réf : SEC/DG  
SEC/DG/00365D/13 du 06 avril 2013

6619 10/13

VRéf : S/AC.45/2013/GE/OC.82 du 04/09/2013

Abidjan, le  
Page 1/1

26 SEP 2013

Objet : Comité de sanctions du Conseil de sécurité des Nations Unies

Monsieur le Secrétaire,

Faisant suite à la correspondance du Coordonnateur du Groupe d'Experts sur la Côte d'Ivoire et à notre courrier n°00365/D du 06 avril 2013 cités en référence, nous avons l'honneur de vous communiquer les réponses des trois (03) banques ivoiriennes (BOA-CI, ECOBANK-CI et UBA-CI) par lesquelles elles déclarent ne pas détenir dans leurs livres des comptes ouverts appartenant aux personnalités ivoiriennes visées par les sanctions de la Résolution 1975 (2011).

A cet égard, nous vous transmettons ci-jointe la situation des informations mise à jour sur la base des réponses reçues de l'ensemble du système bancaire de Côte d'Ivoire.

Nous vous prions d'agréer, Monsieur le Secrétaire, l'expression de notre haute considération.

Ampliation : SEM. Youssoufou BAMBA  
Ambassadeur Représentant Permanent  
de la République de Côte d'Ivoire auprès  
des Nations Unies  
800 Second Avenue, 5<sup>th</sup> Floor  
New York, NY 10017  
Fax : 00 1646 781 9974 / 00 1646 249 3601  
Tel : 00 1646 649 5061

PJ : 01

Pour le Directeur National  
le Directeur de l'Agence Principale  
chargé de l'intérim

SISSOKO Yaya

**SITUATION DES PERSONALITES IVOIRIENNES VISEES PAR LE COMITE DE SANCTIONS DES NATIONS UNIES  
AUPRES DU SYSTEME BANCAIRE DE COTE D'IVOIRE**

ÉTABLISSEMENT DE CRÉDIT	DÉTIENT DANS SES LIVRES DES PERSONNES VISÉES PAR LE COMITÉ DE SANCTIONS DU CSNU (OUI / NON)	IDENTITÉ DE PERSONNES CONCERNÉES	MONTANT DES AVOIRS	AUTRES OBSERVATIONS
BICICI	Oui	Feu M. Asségnini Désiré Tagro	Non communiqué	La BICICI précise que les montants en jeu sont suivis par leur Direction Juridique et Fiscale conformément à leurs procédures en cas de décès d'un client.
SGBCI	Oui	M. ou Mme N'Guessan Pascal Aïll M. Gbagbo Laurent (Agence Riviera) M. Gbagbo Laurent (Agence Privilège) Mme Gbagbo Née Ehlvet Simone (Agence Riviera) Mme Gbagbo Née Ehlvet Simone (Agence Privilège) Mme Gbagbo Née Ehlvet Simone (Cpte Epargne)	Solde au 18/12/2012 : 0 FCFA Solde au 18/12/2012 : 55 346 202 FCFA Solde au 18/12/2012 : 702 072 002 FCFA Solde au 17/04/2012 : 0 FCFA Solde au 18/12/2012 : 139 096 641 FCFA Solde au 18/12/2012 : 3 477 661 FCFA	La SGBCI a fourni tous les relevés de comptes bancaires depuis 2002
BHCI	Oui	M. Bié Goudé Charles (compte ordinaire) M. Bié Goudé Charles (compte épargne)	Solde au 19/12/2012 : 0 FCFA	La BHCI précise que les deux comptes ont été clôturés le 17/10/2012. Les soldes ont été virés sur le compte BICICI ouvert au nom de l'adm. séquestre.
VERSUS BANK	Oui	Feu M. Asségnini Désiré Tagro (compte courant) Feu M. Asségnini Désiré Tagro (compte épargne)	Solde au 18/12/2012 : 1 573 019 FCFA Solde au 18/12/2012 : 3 918 085 FCFA	Transmission des soldes des comptes du client

ÉTABLISSEMENT DE CRÉDIT	DÉTIENT DANS SES LIVRES DES PERSONNES VISÉES PAR LE COMITÉ DE SANCTIONS DU CSNU (OUI / NON)	IDENTITÉ DE PERSONNES CONCERNÉES	MONTANT DES AVOIRS	AUTRES OBSERVATIONS
GT BANK	NON			
CORIPA	NON			
BBG-CI	NON			
DIAMOND BK	NON			
BGFI BANK	NON			
BRS	NON			
ACCESS BANK	NON			
ECOBANK	NON			
BOA-CI	NON			
UBA-CI	NON			

ÉTABLISSEMENT DE CREDIT	DÉTIENT DANS SES LIVRES DES PERSONNES VISÉES PAR LE COMITÉ DE SANCTIONS DU CSNU (OUI / NON)	IDENTITÉ DE PERSONNES CONCERNÉES	MONTANT DES AVOIRS	AUTRES OBSERVATIONS
BFA	Oui	M. Bie Goudé Charles M. Gbagbo Laurent (compte 155555002) M. Gbagbo Laurent (compte 1777770000)	Solde au 26/12/2012: 9 023 100 FCFA Solde au 26/12/2012 : 11 759 092 FCFA Solde au 26/12/2012 : 59 712 835 FCFA	
CNCE	Oui	M. Gbagbo Laurent	Solde au 31/12/2012 : 0 FCFA	
SIB	Oui	M. DJUE Eugène N'Goran Kouadio	Solde au 31/12/2012 : 8 960 FCFA	Transmission du relevé bancaire. Compte resté sans mouvement depuis 2007
BACI	Oui	M. FOFIE Martin Kouakou	3 comptes Chèques : 19 427 045 FCFA 2 comptes Epargne : 223 089 FCFA 1 compte sequestre : 0 FCFA	Transmission des soldes par compte
BNI	Oui	M. FOFIE Martin Kouakou M. DJEDJE Ilahin Alcide	2 comptes ordinaires : 697 609 FCFA 1 compte ordinaire (fermé)	Fermé suite à la réquisition n°316/MEF/DGI /DDCFET/ SDD/iv du Ministère de l'Economie et des Finances du 28/09/2012
BIAO-CI	Oui	M. FOFIE Martin Kouakou	1 compte épargne : 31 500 FCFA	Transmission des soldes par compte
CITIBANK-CI	NON			
BSIC CI	NON			
STANDARD CB	NON			

## Annex 59

## Reply from Banque pour le Financement de l'Agriculture (BFA)



S.A au capital de FCFA 5 533 851 040  
R.C. N° 286464 Abidjan  
LBCI N° A0114 T

Siège social : 2ème étage immeuble  
Alliance B, Rue Lecoœur, Abidjan-Plateau  
103 BP Post'Entreprises, CEDEX 1 Abidjan

Tél. : (225) 20 25 61 61  
Fax : (225) 20 25 61 99

Abidjan, le 19 Septembre 2013

A  
Monsieur DAVID DIGGS  
Secretary  
Security Council Committee  
established pursuant to  
resolution 1572 (2004) concerning  
Côte d'Ivoire

NEW YORK

V/Réf : S/AC.45/2013/GE/OC.83

N/Réf : DGApi/CG/0360-13/TS-YY-NN

Objet : Informations relatives aux comptes

- 11085920003 BLE GOUDE CHARLES
- 1555550002 GBAGBO LAURENT
- 1777770000 EHIVET EPSE GBAGBO SIMONE

Monsieur le Secrétaire,

Nous accusons réception de votre fax relatif à l'affaire en objet par lequel vous nous demandez de vous confirmer si les numéros de comptes repris ci-dessus ont bien été gelés et de vous fournir par la même occasion les relevés desdits comptes pour les années 2012 et 2013 (13 septembre 2013).

Nous avons l'honneur de vous confirmer que ces comptes sont bien gelés dans nos livres et n'enregistrent que les frais fixes de tenue de compte (voir relevés).

Par ailleurs, nous vous informons que contrairement à ce que vous avez écrit, M. GBAGBO LAURENT ne possède que le compte N° 1555550002 dans nos livres. Le second compte N° 1777770000 (à lui attribué à tort comme écrit dans votre message) est par contre ouvert au nom de EHIVET EPSE GBAGBO SIMONE.

Ces comptes présentaient, sauf erreur ou omission de notre part, les soldes créditeurs ci-dessous au 13/09/2013 :

N° Comptes	Titulaires	Soldes créditeurs
11085920003	BLE GOUDE CHARLES	8 978 550
1555550002	GBAGBO LAURENT	11 714 542
1777770000	EHIVET EPSE GBAGBO SIMONE	59 668 285

Vous assurant de notre totale disponibilité,

Nous vous prions d'agréer, Monsieur le Secrétaire, l'expression de nos sentiments de haute considération.

YEBOUET Y. Barnabé  
Directeur Général Adjoint p.i



S.A au capital de FCFA 5 533 851 040  
R.C. N° 286464 Abidjan  
LBCI N° A0114 T

Siège social : 2ème étage immeuble  
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**NEW YORK**

**V/Réf** : S/AC.45/2013/GE/OC.83

**N/Réf** : DGApi/CG/0360-13/TS-YY-NN

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**YEBOUET Y. Barnabé**  
Directeur Général Adjoint p.i